

**FY 2020 List of Certification and Assurance Submissions for  
Audit Year 3 of Cycle 2  
August 20, 2018 – August 19, 2019**

On September 16, 2019, the Office of Justice Programs (OJP) Principal Deputy Assistant Attorney General (PDAAG) sent letters to the nation’s state and territorial governors and the Mayor of the District of Columbia on behalf of the U.S. Department of Justice (DOJ) explaining their FY 2020 PREA-related options.<sup>1</sup> State administering authorities for OJP grant programs and governors’ criminal justice policy advisors were copied on this letter. The deadline for certifications and assurances for Audit Year 3 of Cycle 2, which impact FY 2019 grant funds, was October 15, 2019.

The Justice for All Reauthorization Act of 2016, which includes an amendment to the PREA statute, was enacted on December 16, 2016. This change to the PREA statute provides a second option regarding impacts to DOJ grant funds for states that are not able to certify full compliance with the National PREA Standards. For three years following the date of enactment, governors have the option to choose whether affected grant funds are reallocated to the state to use for PREA compliance purposes or are held in abeyance by DOJ pending future action by the state. *See* 42 U.S.C. § 15607(e)(2)(E).<sup>2</sup>

Below is a list of states and territories that submitted certifications and assurances for Audit Year 2 of the second three-year PREA audit cycle.

| States that certified full compliance (21) |               |              |
|--|---------------|--------------|
| Alabama                                    | Missouri      | Ohio         |
| Delaware                                   | Montana       | Oklahoma     |
| Georgia                                    | Nebraska      | Pennsylvania |
| Illinois                                   | New Hampshire | Rhode Island |
| Iowa                                       | New Jersey    | South Dakota |
| Maine                                      | New York      | Vermont      |
| Minnesota                                  | North Dakota  | Virginia     |

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<sup>1</sup> A PREA amendment under the Justice for All Reauthorization Act of 2016 was enacted on December 16, 2016, which exempts grant programs administered by OVW. Therefore, beginning in FY 2017, only OJP grant programs are impacted by PREA.

<sup>2</sup> Additional information regarding the PREA amendment under the Justice for All Reauthorization Act of 2016 can be found here: [https://bja.ojp.gov/sites/g/files/xyckuh186/files/media/document/jfara-fact-sheet\\_updated-2017.03.01.pdf](https://bja.ojp.gov/sites/g/files/xyckuh186/files/media/document/jfara-fact-sheet_updated-2017.03.01.pdf).

| States and territories that submitted an assurance (30), plus the District of Columbia (31) |                |                     |
|---|----------------|---------------------|
| Alaska  | Kansas         | Puerto Rico         |
| Arizona   | Kentucky       | South Carolina      |
| California  | Louisiana      | Tennessee           |
| Colorado  | Maryland       | Texas               |
| Connecticut   | Massachusetts  | U.S. Virgin Islands |
| District of Columbia  | Michigan       | Washington          |
| Florida   | Mississippi    | West Virginia       |
| Guam  | Nevada         | Wisconsin           |
| Hawaii  | New Mexico     | Wyoming             |
| Idaho   | North Carolina |                     |
| Indiana   | Oregon         |                     |

| States and territories subject to a five percent reduction in certain department grant funding after declining to provide an affirmation or certification of compliance (4) |
|---|
| American Samoa  |
| Arkansas  |
| Commonwealth of the Northern Mariana Islands  |
| Utah  |