

Death in Custody Reporting Act (DCRA)

Commonwealth of Pennsylvania FY 2023 State Implementation Plan

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I. Introduction

The Pennsylvania Commission on Crime and Delinquency (PCCD) was established in 1978 and serves as the justice planning and policymaking agency for the Commonwealth of Pennsylvania. PCCD also serves as Pennsylvania's State Administering Agency (SAA) for federal and state grant funds. For 45 years, PCCD has supported programs and practices that promote justice for all people and communities of Pennsylvania.

Since 1978, PCCD has supported justice system improvements, victim services and compensation, and other initiatives responsive to the needs of practitioners, communities, and the Commonwealth.

Over the course of its history, the agency has been charged with administering a wide range of programs and funding streams related to its mission. As the Commonwealth of Pennsylvania's justice planning and policymaking agency, PCCD brings together a wide range of people and perspectives necessary to understand issues facing communities, identify potential solutions, and invest in strategies that can make a difference. PCCD's governance structure consists of an overarching Commission, two training boards, the School Safety and Security Committee (SSSC), and six Advisory Committees:

- Children's Advocacy Center Advisory Committee;
- County Adult Probation and Parole Advisory Committee;
- Criminal Justice Advisory Committee;
- Juvenile Justice and Delinquency Prevention Advisory Committee;
- Mental Health and Justice Advisory Committee; and
- Victims' Services Advisory Committee.

The Commission and its related Advisory Committees are comprised of subject matter experts, practitioners, and community members who are well-attuned to the public safety challenges and opportunities faced by Pennsylvania's communities. PCCD's funding support is guided by the agency's *Strategic Framework*, developed every four years in collaboration with PCCD leadership and a committee comprised of Advisory Committee Chairs to ensure alignment with respective Advisory Committee priorities and responsibilities. PCCD also routinely seeks feedback and input from stakeholders on funding, policy, and programmatic strategies via surveys and other mechanisms.

The agency's work is guided by core values of service, integrity, collaboration, innovation, and preparedness. PCCD is also committed to ensuring impact, fairness, transparency, quality, and equity in how the agency conducts business and allocates resources.

II. Death in Custody Reporting Act (DCRA) Background

The Death in Custody Reporting Act (DCRA; Pub. L. No. 113-242) requires states to report information to the U.S. Attorney General regarding the death of any person who is detained, under arrest, in the process of being arrested, en route to be incarcerated, or is incarcerated at a municipal or county jail, state prison, state-run boot camp prison, boot camp prison that is contracted out by the state, any state or local contract facility, or other local or state correctional facility (including any juvenile facility).

As Pennsylvania's SAA, PCCD is responsible for collecting death-in-custody data from state and local entities including law enforcement agencies, local jails, correctional institutions, medical examiners, and other state agencies on a quarterly basis. PCCD is also responsible for compiling and aggregating data collected from these entities for submission to the U.S. Department of Justice (DOJ), Bureau of Justice Assistance (BJA). Each quarter, PCCD must submit a report to DOJ/BJA that does one of the following:

- (1) Report all deaths in custody that occurred in Pennsylvania during the corresponding quarter, including basic information about the circumstances of those deaths; or
- (2) Affirm that no deaths in custody occurred during the reporting period.

PCCD provides required data and information related to each death-in-custody incident using BJA's Performance Measurement Tool (PMT), including:

- The decedent's name, date of birth, gender, race, and ethnicity;
- The date, time, and location of the death;
- The law enforcement or correctional agency that detained, arrested, or was in the process of arresting the deceased; and
- A brief description of the circumstances surrounding the death.

PCCD works closely with reporting entities providing DCRA incident data to ensure compliance with required PMT reporting fields. If PCCD does not have enough information to complete certain data elements, the status is entered as "unknown" data values (when allowed in the PMT). For cases that remain under investigation, the "manner of death" is reported as "unavailable, investigation pending," and PCCD specifies when the information is expected to be available. Once the information is available, PCCD staff work with counterparts at BJA to ensure reports are updated appropriately.

III. Pennsylvania DCRA Data Collection Infrastructure

PCCD is responsible for DCRA data collection within the Commonwealth of Pennsylvania. While Pennsylvania does not have a death-in-custody reporting law, PCCD, in partnership with stakeholders, developed an infrastructure to collect data in compliance with DCRA requirements. PCCD works with justice system stakeholders through effective informal agreements that provide information regarding death-in-custody cases. These stakeholders include, but are not limited to, the PA State Police (PSP), PA Department of Corrections (PA DOC), local law enforcement agencies, county jails, and the PA Department of Human Services' (DHS) Bureau of Juvenile Justice Services.

There are currently 1,553 non-federal law enforcement agencies in the Commonwealth of Pennsylvania. These law enforcement agencies consist of the PA State Police, regional police departments, municipal police departments, housing authority police departments, college and university police departments, sheriff departments, park police, and others. In addition, other entities in the Commonwealth that are required to submit DCRA information include 65 county correctional facilities (jails), 23 State Correctional Institutions (SCIs), one adult boot camp, four secure Youth Development Centers, and one Youth Forestry Camp.

Pennsylvania ranks among the top states in the nation for the sheer volume of law enforcement and justice-related agencies; and, as a Commonwealth, much of the responsibility and oversight of these agencies is addressed at the local level. This structure, coupled with the self-reporting nature of DCRA reporting procedures, mean that PCCD relies on covered agencies and entities to comply with the law's provisions and protocols. PCCD is unaware of any agencies who do not actively participate in the agency's DCRA data collection efforts. Through partnerships with PSP and the PA Chiefs of Police Association (PCPA), PCCD has established lines of communication to encourage entities to comply with the federal DCRA law.

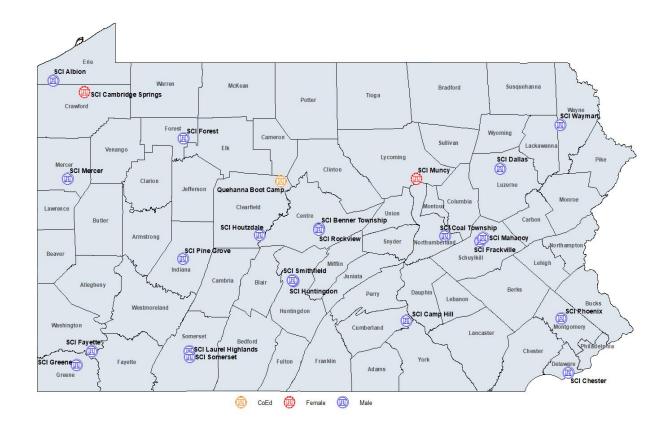
PCCD also provides training and technical assistance as needed to assist state and local agencies with understanding their responsibilities under the law and reporting DCRA data. As an example, PCCD presented to the PA DOC County-State Liaison Committee in 2022 to relay guidance on the reporting of county jail inmate deaths. PCCD has offered training regarding DCRA to attendees of the PA Justice Network/PA Chiefs of Police Conference (both virtually and in-person) several times during their bi-annual conferences. Additionally, PCCD communicates regularly to local police chiefs through the PCPA, provides email updates to county wardens and deputy wardens, and has regular email and phone communications with PSP, DOC, and DHS. PCCD also provides one-on-one technical assistance as requested.

A map of current PA municipal and regional police jurisdictions can be found here: <u>Police Jurisdictions (arcgis.com)</u>. Pennsylvania's county correctional facilities are listed below along with a map that displays the locations of all SCIs.

Fiaure 1 · Pa	ennsylvania County Correctiona	ıl Institutions
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Adams County Adult Correctional Complex 45 Major Bell Lane	Erie County Prison 1618 Ash Street	Philadelphia Department of Prisons Curran-Fromhold Correctional Facility
Gettysburg, PA 17325-8265	Erie, PA 16503-2168	(CFCF)
Gettysburg, 1 A 17323-6203	Elle, 1 A 10303-2106	7901 State Road
		Philadelphia, PA 19136-3407
Allegheny County Jail	Fayette County Prison	Philadelphia Department of Prisons
950 2nd Avenue	12 Court Street	Policy & Audit Division
Pittsburgh, PA 15219-3100	Uniontown, PA 15401-3502	8201 State Road
		Philadelphia, PA 19136-2912
Armstrong County Jail	Franklin County Jail	Philadelphia Department of Prisons
171 Staley's Court Road	1804 Opportunity Avenue	Philadelphia Industrial Correctional Center
Kittanning, PA 16201-3709	Chambersburg, PA 17201-7841	(PICC)
		8301 State Road Philadelphia, PA 19136-2914
Beaver County Jail	Greene County Prison	Philadelphia Department of Prisons
6000 Woodlawn Blvd.	855 Rolling Meadows Road	Riverside Correctional Facility (RCF)
Aliquippa, PA 15001-2482	Waynesburg, PA 15370-6727	8151 State Road
1 11 .,	,, <i>g</i> ,	Philadelphia, PA 19136-2910
Pulford Country Co. 12 17 17	Hantingdon Co. 1 D.	Pile County C
Bedford County Correctional Facility 425 Imlertown Road, Suite 1	Huntingdon County Prison 300 Church Street	Pike County Correctional Facility
Bedford, PA 15522-8437	Huntingdon, PA 16652-1434	175 Pike County Boulevard Lords Valley, PA 18428-9151
Berks County Jail System	Indiana County Jail	Potter County Jail
1287 County Welfare Road	665 Hood School Road	102 East Second Street
Leesport, PA 19533-9197	Indiana, PA 15701-6506	Coudersport, PA 16915-1744
Blair County Prison	Jefferson County Jail	Schuylkill County Prison
419 Market Square Alley	578 Service Center Road	230 Sanderson Street
Hollidaysburg, PA 16648-1872	Brookville, PA 15825-7166	Pottsville, PA 17901-1758
Bradford County Correctional Facility	Elk County Prison	Northumberland County Jail
15927 Route 6	225 Center Street, P.O. Box 448	720 Northumberland County Drive
Troy, PA 16947-9440	Ridgway, PA 15853-0448	Coal Township, PA 17866
Bucks County Department of Corrections	Lackawanna County Prison	Perry County Prison
Bucks County Correctional Facility Bucks County Mens/Womens CCC	1371 N. Washington Avenue Scranton, PA 18509-2840	300 South Carlisle Street, P.O. Box 520 New Bloomfield, PA 17068-0520
1730 South Easton Road	Scianton, FA 16309-2640	New Bloommeid, FA 17008-0320
Doylestown, PA 18901-2885		
Butler County Prison	Lancaster County Prison	Snyder County Prison
202 South Washington Street	625 East King Street	600 Old Colony Road
Butler, PA 16001-5752	Lancaster, PA 17602-3911	Selinsgrove, PA 17870-8610
Cambria County Prison	Lawrence County Corrections	Somerset County Jail
425 Manor Drive	111 South Milton Street	127 E. Fairview Street
Ebensburg, PA 15931-4917	New Castle, PA 16101-3524	Somerset, PA 15501-1410
Carbon County Correctional Facility	Lebanon County Correctional Facility	Susquehanna County Correctional Facility
331 Broad Street	730 East Walnut Street	137 Ellsworth Drive
Nesquehoning, PA 18240-1801 Centre County Correctional Facility	Lebanon, PA 17042-5655 Lehigh County Department of Corrections	Montrose, PA 18801-8912 Tioga County Prison
700 Rishel Hill Road	Lehigh County Jail	1768 Shumway Hill Road
Bellefonte, PA 16823	38 North 4th Street	Wellsboro, PA 16901-6838
	Allentown, PA 18102-3448	
Chester County Prison	Luzerne County Correctional Facility	Union County Jail
501 South Wawaset Road	99 Water Street	103 South 2nd Street
West Chester, PA 19382-6762	Wilkes-Barre, PA 18702-2500	Lewisburg, PA 17837-1903
Clarion County Corrections	Lycoming County Prison	Venango County Prison
309 Amsler Avenue, Suite 2	277 West Third Street	1186 Elk Street
Shippenville, PA 16254-4807 Clearfield County Jail	Williamsport, PA 17701-6427 McKean County Prison	Franklin, PA 16323-1247 Warren County Prison
115 Twenty-first Street	17013 Route 6	407 Market Street
Clearfield, PA 16830-3216	Smethport, PA 16749-4023	Warren, PA 16365-1763
Clinton County Correctional Facility	Mercer County Prison	Washington County Correctional Facility
58 Pine Mtn. Road, P.O. Box 419	55 Thompson Road	100 West Cherry Avenue
McElhattan, PA 17748-0419	Mercer, PA 16137-5637	Washington, PA 15301-6820
Columbia County Prison	Mifflin County Correctional Facility	Wayne County Correctional Facility
721 Iron Street	103 West Market Street	44 Mid-Wayne Drive
Bloomsburg, PA 17815-2719	Lewistown, PA 17044-2130	Honesdale, PA 18431

Crawford County Correctional Facility	Monroe County Correctional Facility	Westmoreland County Prison
2100 Independence Drive	4250 Manor Drive	3000 South Grande Boulevard
Saegertown, PA 16433-5040	Stroudsburg, PA 18360-9441	Greensburg, PA 15601-9176
Cumberland County Prison	Montgomery County Correctional Facility	Wyoming County Correctional Facility
1101 Claremont Road	60 Eagleville Road	10 Stark Street
Carlisle, PA 17015-8561	Eagleville, PA 19403-1400	Tunkhannock, PA 18657-1207
Dauphin County Prison	Montour County Prison	York County Prison
501 Mall Road	255 Church Street	3400 Concord Road
Harrisburg, PA 17111-1202	Danville, PA 17821-1907	York, PA 17402-9007
Delaware County	Northampton County Jail	
George W. Hill Correctional Facility	666 Walnut Street	
500 Cheyney Road, P.O. Box 23	Easton, PA 18042-4481	
Thornton, PA 19373-0023		

Figure 2: Pennsylvania State Correctional Institutions



IV. DCRA Data Collection Methods

PCCD has an extensive track record of fostering coordination between and among federal, state, and local partners. PCCD receives information regarding death-in-custody cases through effective informal agreements with various external stakeholders.

1. Arrest-Related Deaths

PA Uniform Crime Reporting (PAUCR) Agencies

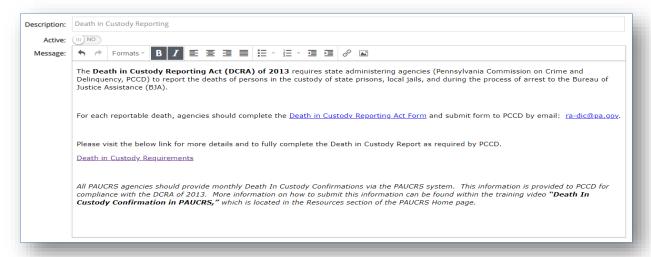
Per the <u>PA Department of Community and Economic Development</u>, Pennsylvania has more police departments than any other state in the country and 83% of the state's municipal police departments have less than 10 officers. There are currently 1,553 law enforcement agencies in Pennsylvania required to submit data into the PA Uniform Crime Reporting (PAUCR) System, which is maintained by PSP.

The PAUCR Program serves as the state repository for the collection of crime statistics for the Commonwealth. Its primary objective is to generate reliable information for use in law enforcement administration, operation, and management, and also serves as a vehicle for collecting death-in-custody data. Pursuant to state law, PCCD is prohibited from administering any grant to a local law enforcement agency that fails to report their criminal justice statistics to the PAUCR program (see <u>PA Uniform Crime Reporting Act</u>).

Given this context, it seemed natural for PCCD and PSP to form a partnership to utilize the PAUCR System to convey DCRA requirements to local law enforcement agencies across Pennsylvania. In July 2023, PCCD and PSP collaborated to develop a consent message that provides more guidance to agencies on the information they are required to provide in the PAUCR System relating to deaths in custody, as well as how to report the required information to PCCD (see below). A similar message will be displayed for agencies as they transition to the National Incident Based Reporting System (NIBRS).

Historically, the number of reportable incidents by law enforcement agencies, excluding PSP, vary quarter to quarter. In 2022, these agencies reported a total of 13 deaths in custody.

Figure 3: PAUCR System Consent Message



For example, each time an agency logs into the PAUCR System, a consent message is generated prior to accessing the system. For an agency to continue in the system, they must acknowledge the language contained within the consent. In concert with the consent message notification, the PSP also recently provided a training resource for agencies regarding DCRA reporting requirements and the PAUCR System. A link to the training video can be found here: PSP Training Video

For reportable deaths, agencies are also directed through the PSP website to complete the <u>Death in Custody Reporting Act Form</u> and submit it to PCCD through an email account specific to DCRA reporting. As linked to earlier in this plan, PCCD has also established a detailed <u>webpage</u> explaining Death in Custody Reporting, and directs all traffic and/or questions to that webpage.

Pennsylvania State Police (PSP)

In addition to assisting PCCD in collecting data from municipal law enforcement agencies, PSP is also subject to DCRA requirements. There are currently 16 PSP Troops comprised of 87 individual PSP stations.

Troop T SUSQUEHANN E POTTER MERCER CLINTON ARMSTRON BUTLER BEAVER M G PERRY WESTMORFLAND Н LANCASTER K В J FRANKLIN GREENE

Figure 4: PSP Troops

PCCD worked with PSP's Records Management Section to develop a process to automate reporting by troopers. PCCD provided the necessary information regarding DCRA reporting for the submission of complete and accurate records. It is now included in PSP's records management system (RMS) and the agency provides extracts of information to PCCD on a quarterly basis. The RMS Death in Custody extracts include data queried from RMS about persons who died in custody and their related custom data, sudden death data, and demographic data. The RMS Death in Custody extracts are generated quarterly on the 15th of the month after the end of a calendar year quarter (i.e., Jan. 15, Apr. 15, Jul. 15, Oct. 15). The extracts are uploaded to a secure website as Excel files that can be further analyzed and aggregated by PCCD staff for federal DCRA reporting purposes.

PSP's process for handling a death in custody began with defining the required information to capture. Pursuant to DCRA, a 'death in custody' is defined as the death of any person who is detained, under arrest, or is in the process of being arrested; or is en route to be incarcerated or is incarcerated at a municipal or county jail, state prison, staterun boot camp prison, boot camp prison that is contracted out by the state, any state or local contract facility, or other local or state correctional facility (including any juvenile facility).

Regulations were then promulgated within PSP's Records Management Section specifying reporting requirements. A Sudden Death Details Page is required to be completed in its entirety for any non-traffic death investigated by the PSP. This Sudden Death Details Page is comprehensive and documents injuries, laboratory/forensic analysis, and other investigative discoveries. Additionally, any death that meets the above criteria of death in custody requires the completion of additional fields.

Once completed, the report is submitted for supervisory approval. During this process, the supervisor reviews the report to ensure a detailed investigation, thorough documentation, and adherence to applicable regulations. Any deficiencies or discrepancies noted by the supervisor are addressed and corrected. If the supervisor fails to notice that any requirements were omitted and mistakenly approves the report, there are additional safeguards and quality control checks to ensure that PSP reporting is accurate. In addition, PSP maintains internal dashboards to audit the information contained within the reports to ensure adherence to regulations.

Once the approval process is completed and all investigative/reporting requirements have been satisfied, the information is marked as ready for extraction. The reports selected for this extract is determined by the information contained within the Sudden Death Details Page. The extract contains detailed investigative information from the report, documenting the circumstances surrounding the death in custody. Notification of any failures or errors with the extract are sent to several resource accounts for action. After reviews are completed, the approved extract is uploaded to a secure File Transfer Protocol (FTP) site on a quarterly basis and accessible by appropriate PCCD staff. Historically, the number of PSP reportable incidents vary quarter to quarter. In 2022, PSP reported a total of 6 deaths in custody.

2. Jail-Based Deaths

County Correctional Facilities

In November 2019, PCCD collaborated with PA DOC's Office of County Inspections and Services to inform all county wardens and deputy wardens of DCRA requirements. This communication included advising county jails of PCCD's designation as the agency responsible for reporting any deaths occurring while an individual is in the custody of any correctional facility to BJA pursuant to the law. The communication also detailed the reporting process for county correctional facilities. The PA DOC County Inspection and Services Office continues to serve as the liaison between county jails and PCCD. On behalf of PCCD, PA DOC continues to send communications out as requested by PCCD, including a twice-a-year update for new wardens and/or deputy wardens to be properly informed of DCRA requirements. County correctional facilities are also directed to complete the Death in Custody Reporting Act Form as included on PCCD's Death in Custody webpage and submit it to PCCD through a designated email account. Historically, the number of reportable incidents in county jails vary quarter to quarter. In 2022, county jails reported a total of 41 deaths in custody.

3. Prison-Based Deaths

PA Department of Corrections (PA DOC)

Upon notification of the requirement for PCCD to begin reporting all PA DOC inmate deaths to BJA, PCCD and PA DOC's Bureau of Health Care Services collaborated to develop a process to meet these requirements. The Bureau of Healthcare Services

developed a spreadsheet based on the information needed to complete death in custody reporting. A spreadsheet is submitted to PCCD quarterly, which includes an average of 46 reportable deaths; the majority of these deaths occur due to natural causes attributed to an aging population. In 2022, PA DOC reported a total of 185 deaths in custody. PA DOC has historically faced challenges in providing complete records to PCCD; specifically, the PA DOC has difficulty obtaining the ethnicity of the decedent and the required brief description of the circumstances leading to the death. PCCD continues to work closely with counterparts at PA DOC to identify ways to improve the consistency with which death-in-custody incidents are tracked and reported to help Pennsylvania continue to make progress in achieving full DCRA compliance.

V. Pennsylvania's DCRA Data Reporting Methods

To improve DCRA compliance, PCCD has designated a lead staff for the data collection and reporting of death-in-custody records. This lead staff is a supervisor in PCCD's Office of Justice Programs' Unit of Criminal Justice System Improvements (OJP-CJSI). OJP-CJSI is responsible for the administration and oversight of numerous state and federal funding streams and assumed responsibility for the agency's DCRA compliance efforts in 2019.

OJP-CJSI staff review all records that are received and ensures the information is complete prior to submitting the data in BJA's PMT quarterly. If a record is not complete, staff contacts the agency who submitted the form for more information or clarification.

PCCD submits DCRA data to BJA mainly using the data import feature in the PMT. Staff utilize the manual data entry to update records and to enter records that were submitted by agencies for previous reporting periods, in accordance with BJA policies and procedures.

PCCD maintains a spreadsheet of all records that were submitted in the PMT to easily track which records still are pending investigation and others that may need additional follow-up.

VI. Future Direction

PCCD has made substantial progress in the full implementation of DCRA requirements, but further improvements are currently underway. Specifically, PCCD will utilize additional regionally assigned OJP-CJSI staff to proactively follow-up on incomplete report submissions and to provide technical assistance to agencies as needed to facilitate reporting.

Further, OJP-CJSI staff are working in partnership with PCCD's Office of Research, Evaluation, and Strategic Policy Development (ORESPD) to develop a public-facing DCRA data dashboard to display aggregated death-in-custody information. (Note: ORESPD serves as PA's Statistical Analysis Center.) The purpose of the dashboard will be two-fold: 1) to increase general awareness of deaths that occur in custody in Pennsylvania; and 2) to educate agencies on their need to report. In the past, PCCD has seen improvement in fingerprint compliance efforts statewide by creating similar public-facing dashboards and would seek to replicate that success.

The increase in DCRA data reporting to PCCD has also generated an increase in PA Right-to-Know Law (RTKL) requests from reporters and other interested parties throughout the state that are interested in learning more about deaths in custody. PCCD receives RTKL requests quarterly along with many inquiries from the media, state legislators, and state membership organizations. A public-facing dashboard displaying aggregated data should improve transparency and mitigate the need for individual data draws. However, in accordance with RTKL, PCCD will continue to respond timely to RTKL requests ensuring full transparency of data received.

PCCD plans to continue consulting with relevant law enforcement, jail, and state correction membership organizations for the improvement of DCRA reporting in Pennsylvania. With the recent hire of a dedicated Communications Director, PCCD intends to utilize social media, newsletters, and other means to promote reporting throughout the state. Pennsylvania is also committed to providing continuous training through collaborations with key criminal justice stakeholders. Below are scheduled PSP UCR/NIBRS training courses for of the remainder of 2023 that will include DCRA reporting requirements:

Course	Dates
Summary UCR	September 13, 2023
NIBRS	September 20-21, 2023
Summary UCR	November 14, 2023
NIBRS	November 15-16, 2023
Summary UCR	December 12, 2023
NIBRS	December 13-14, 2023

Figure 5: 2023 DCRA Reporting Requirement Trainings

PCCD has earned a reputation as a leader in state criminal and juvenile justice matters, including efforts related to DCRA reporting. As part of the agency's commitment to comply with Byrne Justice Assistance Grant funding requirements and achieve full compliance with DCRA, PCCD plans to increase agency capacity to take on this work, including dedicating staff resources to support justice-related entities.