

## **FY 2016 List of Re-Certification and Re-Assurance Submissions for Audit Year 2**

On February 25, 2016, Office of Justice Programs (OJP) Assistant Attorney General (AAG), Karol V. Mason, and Office on Violence Against Women (OVW) Principal Deputy Director, Bea Hanson, sent letters to the nation's state and territorial governors and the Mayor of the District of Columbia on behalf of the U.S. Department of Justice (DOJ) explaining their FY 2016 PREA-related options. State administering authorities for OJP and OVW grant programs, and governors' criminal justice policy advisors were copied on this letter, which communicated important changes to the timeline for a governor to provide DOJ with a PREA certification or assurance. In previous years, the deadline for the submission of certifications and assurances was May 15. However, this date is incongruent with the audit year, which is established in the National PREA Standards and ends on August 19 each year. This incongruity required governors to submit a certification or an assurance prior to the end of the audit year, based on incomplete audit data.

In order to streamline these processes, and address the challenges and concerns voiced to DOJ by governors and others who have responsibilities related to PREA, DOJ is implementing an amended timeline in 2016 for a governor to provide DOJ with a certification or assurance. Beginning in 2016, the due date for certification and assurance submissions will occur at the beginning of the federal fiscal year that follows the completion of each audit year. In order to implement this timeline change, 2016 is a transition year when governors will make two certification and/or assurance submissions, as follows:

### ***2016 Governor's Re-Certification/Assurance for Audit Year 2***

For the first submission in 2016, governors were asked to provide a certification or assurance to DOJ for Audit Year 2 (August 20, 2014 – August 19, 2015) of the first three-year PREA audit cycle. This is states'/jurisdictions' second opportunity to provide DOJ with a certification or assurance for Audit Year 2, but with the significant benefit of having a full audit year of audit data. This submission—the first in 2016, but the second focused on Audit Year 2—impacted FY 2016 DOJ grant awards. This submission was due on March 31, 2016 and the results are included below.

### ***2016 Governor's Certification/Assurance for Audit Year 3***

For the second submission in 2016, governors are asked to provide a certification or assurance to DOJ for Audit Year 3 (August 20, 2015 – August 19, 2016) of the first three-year audit cycle, and these submissions impact FY 2017 DOJ grant funds. This second submission is due on October 15, 2016.

The following are lists of states/jurisdictions that submitted re-certifications and re-assurances for Audit Year 2 of the first three-year audit cycle.

Certifications (10 total)

- Arizona
- Kentucky
- Missouri
- New Hampshire
- New Jersey
- North Dakota
- Oregon
- Tennessee
- Vermont
- Washington

Assurances (42 total)

- |                        |                       |
|------------------------|-----------------------|
| • Alabama              | • New York            |
| • Alaska               | • North Carolina      |
| • American Samoa       | • Ohio                |
| • California           | • Oklahoma            |
| • Colorado             | • Pennsylvania        |
| • Connecticut          | • Puerto Rico         |
| • Delaware             | • Rhode Island        |
| • District of Columbia | • South Carolina      |
| • Florida              | • South Dakota        |
| • Georgia              | • Texas               |
| • Hawai'i              | • Virginia            |
| • Idaho                | • West Virginia       |
| • Illinois             | • Wisconsin           |
| • Indiana              | • Wyoming             |
| • Iowa                 | • U.S. Virgin Islands |
| • Kansas               |                       |
| • Louisiana            |                       |
| • Maine                |                       |
| • Maryland             |                       |
| • Massachusetts        |                       |
| • Michigan             |                       |
| • Minnesota            |                       |
| • Mississippi          |                       |
| • Montana              |                       |
| • Nebraska             |                       |
| • Nevada               |                       |
| • New Mexico           |                       |

**FY 2016 Re-Certification and Re-Assurance  
Submissions for Audit Year 2**

## **Alabama**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

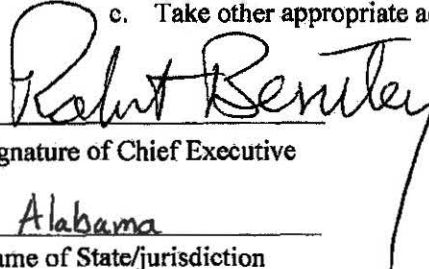
Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive  
Alabama  
Name of State/jurisdiction

Robert Bentley  
Printed name of Chief Executive  
3/31/2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Alaska**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

Alaska

Name of State/jurisdiction

Bill Walker

Printed name of Chief Executive

March 16, 2016

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **American Samoa**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state jurisdiction named below will use not less than 7 percent of its covered DOJ grant funds for FY 2016 to enable the state jurisdiction to adopt and achieve full compliance with the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115) so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state jurisdiction:

1. ☐ As of August 19, 2015, the state jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

Lola M. Molica  
Signature of Chief Executive

Lola M. Molica  
Printed name of Chief Executive

American Samoa  
Name of State jurisdiction

4/3/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*I have submitted in this certification or in the grant application that it supports that I am subject to criminal prosecution, including under 18 U.S.C. § 1601 and 42 U.S.C. § 1903a, DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Arizona**



DOUGLAS A. DUCEY  
GOVERNOR

STATE OF ARIZONA  
OFFICE OF THE GOVERNOR

EXECUTIVE OFFICE

March 9, 2016

Ms. Karol Mason  
Assistant Attorney General  
Office of Justice Programs  
U.S. Department of Justice  
810 Seventh Street NW  
Washington, D.C. 20531

PREA Management Office  
Bureau of Justice Assistance  
U.S. Department of Justice  
810 Seventh Street NW  
Washington, D.C. 20531

*Via Regular Mail and Electronic Mail ([PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov))*

Dear Assistant Attorney General Mason:

I am writing in response to your letter dated February 25, 2016, in which you request that Arizona provide either (i) a certification that Arizona is in full compliance with the Prison Rape Elimination Act (PREA) standards, or (ii) an assurance that the State of Arizona will use not less than five percent of certain Department of Justice (DOJ) grant funds solely for the purpose of enabling Arizona to achieve and certify full compliance with the standards in future years.

To date, PREA auditors have completed 14 audits of Arizona Department of Corrections and Arizona Department of Juvenile Corrections facilities. Arizona is proud to report that all 14 audits have concluded that the facilities meet all PREA standards. For that reason, I am enclosing my signed certification that Arizona is in full compliance with the PREA standards.

Your letter requests additional supporting materials, including (1) Facility Audit Activity, (2) Forthcoming Audit Schedule, (3) Determination of PREA Compliance for Facilities Not Yet Audited, and (4) PREA Compliance of State Investigative Agencies. I will address each item in turn below:

1. Facility Audit Activity: Enclosed is a spreadsheet, entitled "PREA Audits of Facilities Under the Operational Control of the Executive Branch in Arizona," which contains the information you have requested.
2. Forthcoming Audit Schedule: Enclosed is a spreadsheet, entitled "Forthcoming PREA Audit Schedule – Arizona," which contains the information you have requested.

March 9, 2016

Page 2 of 2

3. Determination of PREA Compliance for Facilities Not Yet Audited: You have requested a description of how I have determined that facilities not yet audited in the relevant audit cycle are in full compliance with PREA. You explain there is no prescribed method or process by which a governor may assess compliance in facilities that have not yet been audited, but the governor "may rely upon one or a combination of methods that provide a reasonable measure of certainty regarding facility compliance" with PREA.

There are just four Arizona Department of Corrections facilities under the control of the executive branch that have not yet been audited during the first cycle. Those facilities are timely scheduled to be audited later this year in accordance with PREA standards.

The Arizona Department of Corrections has the following in place designed to promote compliance with PREA standards at all facilities: (i) an agency-wide "Zero Tolerance Policy" against sexual abuse and sexual harassment, (ii) policies requiring that PREA standards be met at all Arizona Department of Corrections facilities, (iii) a full-time PREA Coordinator and full-time PREA Compliance Managers at each facility who provide assessments of all facilities, including those facilities that have not yet been audited, (iv) annual PREA training that is provided to all employees, contractors, and volunteers regarding their responsibilities in detecting sexual abuse and sexual harassment, responding to sexual abuse and sexual harassment, reporting sexual abuse and sexual harassment, and preventing sexual abuse and sexual harassment, (v) an internal monitoring and auditing team that annually assesses each facility for PREA compliance, and (vi) AZPOST certified investigators assigned to each facility, who investigate all allegations of sexual abuse and sexual harassment.

4. PREA Compliance of State Investigative Agencies: You have also requested information regarding external agencies' compliance with PREA for states "where external agencies perform sexual abuse and sexual harassment investigations in confinement facilities." The Arizona Department of Corrections and Arizona Department of Juvenile Corrections do not use external agencies to perform sexual abuse or sexual harassment investigations. Instead, they both have certified in-house staff who perform these investigations.

This State is committed to reducing sexual abuse in our correctional facilities. Please let us know if you have any questions or wish to discuss this further.

Sincerely,



Douglas M. Ducey  
Governor  
State of Arizona

Enclosures



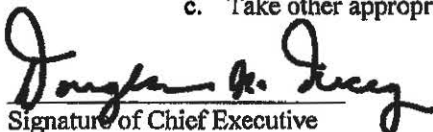
**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Douglas A. Ducey  
Printed name of Chief Executive

Arizona  
Name of State/jurisdiction

March 9, 2016  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**California**

**OFFICE OF THE SECRETARY**

P.O. Box 942883  
Sacramento, CA 94283-0001



March 25, 2016

The Honorable Loretta Lynch  
Attorney General of the United States  
U.S. Department of Justice  
950 Pennsylvania Avenue, NW  
Washington, DC 20530-0001

Dear Attorney General Lynch:

Enclosed you will find a signed assurance that California will utilize the Department of Justice (DOJ) grants to help achieve compliance with the National Standards to prevent, detect, and respond to prison rape, which you requested on March 5, 2015. California remains committed to the elimination of prison rape and to the goals of the Prison Rape Elimination Act of 2003 (PREA).

The California Department of Corrections and Rehabilitation (CDCR) has been working diligently to comply with the mandates of PREA since the final rules were promulgated by the U.S. DOJ in 2012. CDCR has modified policies and developed regulations to meet the PREA National Standards. For adult institutions, staff training was developed and completed June 30, 2015. For facilities within the Division of Juvenile Justice, staff training is expected to be completed in April 2016.

California has also engaged in a consortium with two other states in which each of the states will conduct audits of the facilities in the other states for the costs of travel alone. This is a cost-savings measure that also allows CDCR to benefit from the perspective and experience of other states. Per this agreement, CDCR will have ten institutions audited by the end of Year 3 of the three-year audit cycle. While the state is not yet in full compliance with the National Standards for reasons we have previously articulated, California has completed a significant amount of progress.

California remains committed to the spirit and intent of PREA and I welcome any opportunity to work with you as we move toward our shared goal of eliminating prison rape.

Sincerely,

SCOTT KERNAN  
Secretary

Enclosure

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Edmund G. Brown Jr.

Printed name of Chief Executive

California  
Name of State/jurisdiction

03/23/2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **Colorado**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

- |   |
|---|
| 1. <u>    </u> As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance. |
| 2. <u>    </u> As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.   |

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

Colorado  
Name of State/jurisdiction

John W Hickenlooper  
Printed name of Chief Executive

25/March/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **Connecticut**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

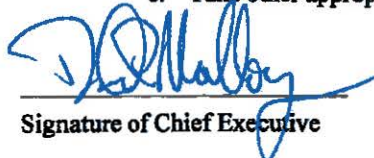
Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

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2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Dannel P. Malley  
Printed name of Chief Executive

Connecticut  
Name of State/jurisdiction

3/29/16  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*



**Delaware**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

- |  |
|--|
| <p>1. <u>      </u> As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.</p> |
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Signature of Chief Executive

Delaware

Name of State/jurisdiction

Jack Markell

Printed name of Chief Executive

5/25/16

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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## **District of Columbia**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

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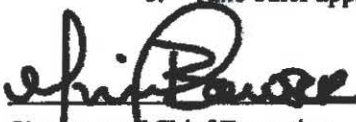
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  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive  
District of Columbia

\_\_\_\_\_  
Name of State/jurisdiction

Muriel Bowser

\_\_\_\_\_  
Printed name of Chief Executive

March 31, 2016

\_\_\_\_\_  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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**Florida**





**FLORIDA  
DEPARTMENT of  
CORRECTIONS**

**Governor  
RICK SCOTT**

**Secretary  
JULIE L. JONES**

501 South Calhoun Street, Tallahassee, FL 32399-2500

<http://www.dc.state.fl.us>

March 24, 2016

Ms. Karen V. Mason  
Assistant Attorney General  
U.S. Department of Justice  
Office of Justice Program  
810 Seventh Street NW  
Washington, D.C. 20531

Ms. Bea Hanson  
Principal Deputy Director  
Office of Violence Against Women

Dear Ms. Mason and Deputy Director Hanson:

In response to your letter dated February 25, 2016, the Florida Department of Corrections (FDC) and the Florida Department of Juvenile Justice (DJJ) are addressing the findings from Prison Rape Elimination Act (PREA) audits being conducted at facilities throughout Florida. Regardless of access to federal grant funding, Florida is currently, and will remain working toward full compliance with the National Standards to Prevent, Detect and Respond to Prison Rape.

Florida does not need federal dollars to ensure the safety of our inmate population but will continue to meet and exceed standards set forth in the PREA to serve as national leader in correctional policy and practice.

FDC and DJJ are currently in phase three of the three year audit cycle that has been established to identify and remedy inefficiencies in PREA compliance. During phase one, the FDC conducted ten PREA audits, phase two resulted in twenty-five PREA audits and currently ten PREA audits have been completed in phase three. All phases yielded consistent findings of deficiencies in adequate staffing levels and issues associated with access to outside victim advocacy services.

Similarly, DJJ completed twenty-four audits during phase one, twenty-seven during phase two and fifteen in phase three that resulted in complete compliance with the PREA standards. Phase two and three did not require any of the DJJ programs to complete a corrective action phase.

The state of Florida is committed to providing excellent care and custody in Florida's correctional facilities. My Budget Recommendations for Fiscal Year 2016-17 is a testament to Florida's commitment to the safety and protection of inmates. Included in the recommended budget for the FDC is \$18 million for staffing to address the adequate staffing issue identified through the audits.

Ms. Mason and Deputy Director Hanson  
Page Two

The first and foremost priority of the FDC and DJJ is to provide for the care, custody and management of inmates. To that end, Florida will continue to develop innovative and strategic measures that increase the safety of our inmates through educational and preventative resources.

Sincerely,

  
Julie L. Jones  
Secretary  
Florida Department of Corrections

  
Christina K. Daly  
Secretary  
Florida Department of Juvenile Justice

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(c)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

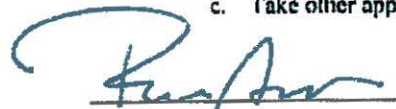
Check the boxes below only if they apply to your state/jurisdiction.

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Signature of Chief Executive

RICK SCOTT  
Printed name of Chief Executive

FLORIDA  
Name of State/jurisdiction

3/23/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREA.Compliance@usdoj.gov](mailto:PREA.Compliance@usdoj.gov).

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# Georgia

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

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Nathan Deal

Signature of Chief Executive

Georgia

Name of State/jurisdiction

Nathan Deal

Printed name of Chief Executive

3/7/16

Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

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**Hawai'i**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

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Signature of Chief Executive

State of Hawai'i

Name of State/jurisdiction

David Y. Ige

Printed name of Chief Executive

Mar 29, 2016

Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

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**Idaho**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

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Signature of Chief Executive

C.L. "Batch" OTTER  
Printed name of Chief Executive

Idaho  
Name of State/jurisdiction

March 30, 2016  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

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**Illinois**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
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2016 Governor's Assurance for Audit Year 2**


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- |  |
|--|
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Signature of Chief Executive

  
Printed name of Chief Executive

  
Name of State/jurisdiction

  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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**Indiana**



**STATE OF INDIANA**

**Department of Correction**

Indiana Government Center – South

302 W. Washington Street • Indianapolis, Indiana 46204-2738

Phone: (317) 232-5711 • Fax: (317) 232-6798 • Website: [www.in.gov/idoc/](http://www.in.gov/idoc/)

**Michael R. Pence**  
Governor

**Bruce Lemmon**  
Commissioner

Karol Mason  
Assistant Attorney General  
Office of Justice Programs

Bea Hanson  
Principal Deputy Director  
Office on Violence Against Women

Dear Assistant Attorney General Mason and Principal Deputy Director Hanson:

Since it was enacted in 2003, Indiana has been dedicated to following the spirit and mandates of PREA. Before the standards were developed, Indiana had put forth great effort to create policies and procedures to prevent, detect and respond to sexual abuse in our adult and juvenile prisons. Since the final standards were released in 2012, Indiana has continued its efforts to ensure the safety of prisoners and students through self evaluation audits, adjustments to our existing policies and procedures, and enhancement of staff training in an effort to improve standards compliance and the PREA culture in our facilities.

Enclosed you will find a signed copy of the 2016 Governor's Assurance for Audit Year two form and PREA Certification and Assurance Submission worksheet. During year two of the audit cycle, Indiana had a juvenile facility and a contracted community confinement facility certified compliant with the PREA standards. An audit consortium was established with the California and Michigan through an MOU to conduct PREA audits in adult prisons. A lengthy delay in the certification process for California's auditors did not allow for additional audits in year two. Our remaining audits are now being conducted in year three through the MOU and contracted auditors. It is our intent to have all facilities under the Governor's operational control to have a completed audit before the end of the three year audit cycle.

Indiana welcomes the opportunity to work with the National PREA Resource Center and your department to further improve and evaluate our compliance with PREA.

Sincerely,

A handwritten signature in black ink that reads "Bruce Lemmon".

Bruce Lemmon,  
Commissioner  
Indiana Department of Correction

Enclosure

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

  
Printed name of Chief Executive

Indiana  
Name of State/jurisdiction

3/30/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Iowa**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Idaho  
Name of State/jurisdiction

  
Printed name of Chief Executive

3-30-2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*



**Kansas**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

SAM BROWNBACK

Printed name of Chief Executive

KANSAS

Name of State/jurisdiction

MARCH 31, 2016

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Kentucky**

**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(c)(3), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the uncertainty and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Matthew G. Berin  
Printed name of Chief Executive

Kentucky  
Name of State/Jurisdiction

3-15-16  
Date

This form must be received by the Department of Justice, PHSA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PHSACompliance@usdoj.gov](mailto:PHSACompliance@usdoj.gov).

I have reviewed this certification or all the grant applications that it supports and am subject to criminal prosecutions including under 18 U.S.C. § 1001 and 18 U.S.C. § 3709. DOJ grants, including expenditures provided in connection with such grants, are subject to review by the DOJ Comptroller that issued the grant, and to the DOJ Office of the Inspector General.

**Louisiana**



**Office of the Governor**  
**State of Louisiana**

**JOHN BEL EDWARDS**  
GOVERNOR



P.O. Box 94004  
BATON ROUGE, LOUISIANA 70804-9004  
(225) 342-7015  
GOV.LA.GOV

**March 30, 2016**

**PREA Management Office  
Bureau of Justice Assistance  
U.S. Department of Justice  
810 Seventh Street NW  
Washington, DC 20531**

**RE: Prison Rape Elimination Act (PREA)**

**Dear Sir,**

**Please find attached Louisiana's Prison Rape Elimination Act Assurance for Audit Year 2 document pursuant to 42 U.S.C. 15607(e)(2), along with the information complied as part of the PREA Certification and Assurance Submission Worksheet as required.**

**You will note that Louisiana has committed to using at least five percent (5%) of our DOJ grant funds for 2016 to enable full compliance with the National Standards to Prevent, Detect, and Respond to Prison Rape.**

**Sincerely,**

A handwritten signature in blue ink, appearing to read "John Bel Edwards", is written over a faint, larger version of the same signature.

**John Bel Edwards**  
Governor

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

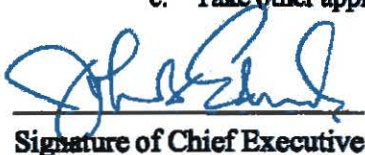
Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

Louisiana

Name of State/jurisdiction

John Bel Edwards, Governor

Printed name of Chief Executive

March 30, 2016

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **Maine**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

Paul R. LePage

Signature of Chief Executive

Maine

Name of State/jurisdiction

Paul R. LePage

Printed name of Chief Executive

October 31, 2016

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **Maryland**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

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2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

LARRY HOGAN

Printed name of Chief Executive

MARYLAND  
Name of State/jurisdiction

3/21/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **Massachusetts**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. § 15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

CHARLES D. BAKER

Printed name of Chief Executive

MASSACHUSETTS

Name of State/jurisdiction

30 MARCH 2016

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Michigan**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

Richard D. Snyder

Printed name of Chief Executive

Michigan

Name of State/jurisdiction

March 29, 2016

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*



## **Minnesota**



# STATE OF MINNESOTA

## Office of Governor Mark Dayton

116 Veterans Service Building • 20 West 12th Street • Saint Paul, MN 55155

March 24, 2016

Ms. Karol Mason  
Assistant Attorney General  
PREA Management Office  
Bureau of Justice Assistance  
810 Seventh Street NW  
Washington, DC 20531

Dear Ms. Mason:

The State of Minnesota continues its commitment to the principles in the Prison Rape Elimination Act (PREA) and is in the process of implementing the requirements of the Act. The Minnesota Department of Corrections (MN DOC) has adopted policies and procedures that meet the final PREA standards. Six of the ten MN DOC correctional facilities have undergone audits and are in full compliance. Two more are in the process of audits and the remaining facilities are scheduled for their audits.

Since complete compliance cannot be asserted until all of the audits are complete, the MN DOC requests the use of five percent of the Department of Justice (DOJ) grant funds for fiscal year 2017 be dedicated to the agency's efforts in achieving full compliance.

The MN DOC uses a proactive approach toward zero tolerance of sexual abuse and sexual harassment in all MN DOC facilities. This includes implementing systems to more efficiently identify victims and predators, as well as track, communicate and document follow-up care to victims of sexual assault and sexual harassment. These efforts contribute to a safer Minnesota.

The importance of eliminating sexual abuse in correctional facilities is fully understood by the MN DOC and this agency will continue partnering with the DOJ to meet the PREA standards.

Commissioner Tom Roy of MN DOC would be pleased to discuss this matter further with you and answer any of your questions. He can be reached at 651-201-3499.

Sincerely,

Mark Dayton  
Governor

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Minnesota  
Name of State/jurisdiction

Mark Dayton  
Printed name of Chief Executive

3/24/2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Mississippi**



PHIL BRYANT  
GOVERNOR

April 14, 2016

Honorable Karol Mason  
United States Department of Justice  
Office of General Counsel  
Office of Justice Programs  
810 7<sup>th</sup> St. NW  
Room 5400  
Washington, D.C. 20531

Re: *Mississippi's Response to PREA Compliance Request*

Dear Ms. Mason:

The State of Mississippi fully appreciates the importance of the National Prison Rape Elimination Act (PREA) and takes its responsibility to comply with the federal law very seriously. In that regard, please accept this correspondence in response to the Department of Justice's letter to me regarding the state's compliance report that was due on March 31, 2016. My office has been working with the Mississippi Department of Corrections ("MDOC") to prepare the response, and I understand that an extension of time was granted to do so.

With regard to the Assurance form that was submitted to me for execution, it is my understanding that MDOC is not yet in full compliance with its PREA Audits but is working diligently to complete this task. They have discussed their compliance plan with me, and I am confident that all of our correctional facilities within the state will be in full compliance by the end of the year. I enclose the executed Assurance Form, as requested, noting the State's intention to use not less than 5 percent of the covered DOJ grant funds for FY 2016.

Thank you for your attention to this matter. Should you have any questions about the enclosed information or need additional information, feel free to contact me.

Sincerely,

A handwritten signature of Phil Bryant in dark ink, written over a circular embossed seal of the Governor of Mississippi.

Phil Bryant  
Governor of Mississippi

---

STATE OF MISSISSIPPI • OFFICE OF THE GOVERNOR

POST OFFICE BOX 139 • JACKSON, MISSISSIPPI 39205 • TELEPHONE: (601) 359-3150 • FAX: (601) 359-3741 • [www.governorbryant.com](http://www.governorbryant.com)



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.


Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

Mississippi

Name of State/jurisdiction



Printed name of Chief Executive

April 14, 2016

Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Missouri**


**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. § 15607(c)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Jeremiah W. (Jay) Nixon  
Printed name of Chief Executive

Missouri  
Name of State/jurisdiction

3/29/2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREA\\_compliance@usdoj.gov](mailto:PREA_compliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Montana**

OFFICE OF THE GOVERNOR  
STATE OF MONTANA

STEVE BULLOCK  
GOVERNOR



MIKE COONEY  
LT. GOVERNOR

March 31, 2016

Attorney General Loretta Lynch  
U.S. Department of Justice  
950 Pennsylvania Ave., NW  
Washington, DC 20530

Dear Attorney General Lynch:

As requested, I am submitting an update of our May 2015 letter regarding Montana PREA compliance for the second audit year, which ended in August 2015. What we achieved during that period has not changed. The only modification to note is that Montana's private regional prison had its federal PREA audit in August 2015 and is now certified as compliant.

Montana continues to make progress in implementing the National PREA standards and combating sexual abuse in our adult and youth confinement facilities. As Montana's Governor, I fully support the creation of a "zero tolerance" culture for sexual assault throughout my state's correctional facilities. Achieving full compliance remains a primary goal of my administration and the Montana Department of Corrections (DOC).

While we have not yet achieved our ultimate goal, we have substantial progress to report. This time two years ago, I noted that Montana's Department of Corrections had not been able to train the auditors necessary to conduct the required audits and had no funding to conduct them. In 2015, DOC's PREA Coordinator Andy Jess became the state's first federally certified PREA auditor for youth and adult secure facilities.

In addition, Montana's DOC secured the funding to conduct PREA audits through a 2014 PREA grant that provided \$90,000 each year of the biennium for that purpose. At that time, we considered some combination of hiring independent auditors and joining the Western State Consortium to cooperatively audit our adult facilities.

Over the past two years, the PREA coordinator and a team from DOC's Office of Quality Assurance completed full, mock PREA audits at the Montana State Prison, Montana Women's Prison, Riverside Youth Correctional Facility for girls and Pine Hills Youth Correctional Facility for boys.

DOC's PREA coordinator also updated the department's PREA policy and drafted the first PREA-specific procedures for Montana State Prison, Montana Women's Prison and Riverside Youth Correctional Facility. We submitted the DOC PREA policy to the PREA Resource Center for review and, based on the feedback we receive, reviewed and submitted our facility-specific procedures.



Over the past two years, DOC's Office of Quality Assurance laid out an ambitious agenda that included:

- Seeking PREA Resource Center's assistance in further defining the role of Montana's PREA specialist
- Conducting a second round of preliminary audits at Montana State Prison and Montana Women's Prison to fine tune those facilities' readiness for a federal audit
- By September 2015, commencing the federal PREA audit process at the state's two youth correctional facilities (Riverside and Pine Hills)
- By August 2016, initiating federal PREA audits at the adult facilities (Montana State Prison, Montana Women's Prison and Treasure State Correctional Training Center)

Montana also has a privately run adult corrections facility, Crossroads Correctional Center (CCC) in Shelby, which is operated by Corrections Corporation of America. That facility engaged its own auditor and, in August 2015, CCC had a federal audit performed at its facility, which is now certified as compliant.

When you weigh the significant strides made towards PREA compliance over the past two years, it is clear that the Montana Department of Corrections has made a genuine, long-term commitment to achieving and maintaining PREA compliance. While we are not there yet, our goal is to diligently pursue full compliance within the next five months.

Sincerely,



STEVE BULLOCK  
Governor

Enc: 2016 Assurance to Utilize Department of Justice grants to Achieve Full Compliance with the National Standards to Prevent, Detect, and Respond to Prison Rape

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
\_\_\_\_\_  
Signature of Chief Executive

Steve Bullock  
\_\_\_\_\_  
Printed name of Chief Executive

Montana  
\_\_\_\_\_  
Name of State/jurisdiction

5/30/16  
\_\_\_\_\_  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **Nebraska**



**Pete Ricketts**  
Governor

## STATE OF NEBRASKA

OFFICE OF THE GOVERNOR  
P.O. Box 94848 • Lincoln, Nebraska 68509-4848  
Phone: (402) 471-2244 • [pete.ricketts@nebraska.gov](mailto:pete.ricketts@nebraska.gov)

March 31, 2016

The Honorable Loretta E. Lynch  
United States Attorney General  
U.S. Department of Justice  
950 Pennsylvania Avenue, NW  
Washington, DC 20530-0001

*Via U.S. Mail & electronic mail to:*  
[PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov)

Dear Attorney General Lynch:

In order to become fully compliant with the Prison Rape Elimination Act (PREA), I hereby assure the U.S. Department of Justice that the State of Nebraska will use not less than five percent of its covered Department of Justice grant funds for federal fiscal year 2016 to enable the state to achieve full compliance with, the National Standards to Prevent, Detect and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

As all juvenile facilities within Nebraska are fully compliant the PREA standards, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposed and shall not be used for purposes of the above assurance.

Sincerely,

A handwritten signature in black ink, appearing to read "Pete Ricketts".  
Pete Ricketts  
Governor



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

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If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

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2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Pete Ricketts  
Printed name of Chief Executive

State of Nebraska  
Name of State/jurisdiction

MARCH 31, 2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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**Nevada**

ONE HUNDRED ONE NORTH CARSON STREET  
CARSON CITY, NEVADA 89701  
OFFICE: (775) 684-5670  
FAX NO.: (775) 684-5683



555 EAST WASHINGTON AVENUE, SUITE 5100  
LAS VEGAS, NEVADA 89101  
OFFICE: (702) 486-2500  
FAX NO.: (702) 486-2505

## Office of the Governor

March 29, 2016

PREA Management Office  
Bureau of Justice Assistance  
United States Department of Justice  
810 Seventh Street  
Washington, DC 20531

Re: 2016 PREA Standards Assurance Form  
Nevada Confinement Facilities Under State Operational Control List

To Whom it May Concern:

Nevada is committed to achieving full compliance with the Prison Rape Elimination Act (PREA) and has obtained significant success based on audits of adult and juvenile correctional facilities and conservation camps in the past year. We look forward to continuing our progress on this important work.

Pursuant to your letter dated February 25, 2016, enclosed is a signed 2016 PREA Standards Assurance Form with no exceptions checked and a list of confinement facilities under the operational control of the State, including adult correction facilities, conservation camps, transitional housing centers, and juvenile facilities.

Please contact my staff at (775) 684-5670 if you have any questions or concerns about this response.

Sincere regards,

A handwritten signature in black ink, appearing to read "Brian Sandoval".

BRIAN SANDOVAL  
Governor

Attachments

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. § 15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

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  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Nebraska  
Name of State/jurisdiction

Brian Sandoz  
Printed name of Chief Executive

3/29/16  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**New Hampshire**



**STATE OF NEW HAMPSHIRE**  
**OFFICE OF THE GOVERNOR**

**MARGARET WOOD HASSAN**  
Governor

March 30, 2016

United States Department of Justice  
PREA Management Office  
Bureau of Justice Assistance  
810 Seventh Street NW  
Washington, DC 20531

To Whom It May Concern:

As Governor of New Hampshire, I can assure you that we are committed to the safety of all incarcerated individuals within our State. On May 13, 2015, on behalf of the State of New Hampshire, I submitted a Certification Regarding Adoption and Full Compliance with the National Standards to Prevent, Detect, and Respond to Prison Rape 2015. I hereby recertify that New Hampshire is in full compliance with the National Standards for the period of August 20, 2014 – August 19, 2015. This recertification does not encompass facilities outside the control of the Governor; namely, those facilities that are under the operational control of the counties, cities, or other municipalities, or privately operated facilities not operated on behalf of the State's Executive Branch.

In addition to the Certification Form for Audit Year 2 that I have executed, enclosed is a document setting forth the full audit activity of New Hampshire facilities that has occurred since 2014. The audit of the remaining two New Hampshire facilities, the Women's Correctional Facility and Shea Farm, are scheduled to begin on August 9, 2016. Thus, all New Hampshire facilities under the State's Executive Branch control will be audited within the 3-year audit cycle.

Finally, the United States Department of Justice has requested a statement detailing how New Hampshire determines full PREA compliance regarding all facilities including those not yet audited. New Hampshire is committed to statewide compliance with PREA standards and, to date, all five facilities that have been audited have been found in full compliance.

Beginning in 2010, the Commissioner for the New Hampshire Department of Corrections (NH DOC) required all state prison facilities to meet PREA standards. To assist in this goal, NH DOC has a state PREA coordinator, Colon Forbes, Director of Professional Standards. In addition, Jean Carroll serves as the PREA Victim Advocate. The department also has a Policy and Procedure Directive – PPD 5.19 – that sets forth in detail the numerous ways in which the State ensures that all correctional facilities are in full compliance with PREA. In summary, it provides that:



- (1) Inmates are classified within the correctional system with the goal of identifying and protecting vulnerable inmates from sexual abuse. This includes mental health screenings and risk and needs assessments and housing assignments made by the Classification Board.
- (2) The Orientation and Intake process for new offenders entering all state facilities includes education consisting of verbal and written information about preventing and reporting sexual abuse. A review of this same information is provided when offenders transfer to different state controlled facilities.
- (3) All NH DOC staff and volunteers receive training on PREA and PPD 5.19 as well as applicable criminal statutes. Staff receives annual training on offender sexual victimization. Additional specialized training is provided to mental health professionals, members of the sexual assault response team, health services, chaplains, and DOC investigators.
- (4) The PREA Victim Advocate helps a victim by assuring all proper agencies have been contacted, helping to maintain the victim's privacy and dignity, providing emotional support and educating the victim on available resources.

In addition to the seven facilities managed by NH DOC, the New Hampshire Department of Health and Human Services (NH HHS) operates the John H. Sununu Youth Service Center (Sununu Center). The Sununu Center serves as a secure juvenile facility for both male and female juveniles ranging in age from 13- to 17-years-old. In August 2014, a PREA audit of the Sununu Center was conducted and it was found to be in full compliance with PREA standards. The following measures are in place at the Sununu Center to ensure ongoing compliance with PREA standards:

- (1) There is an on-site PREA coordinator who is responsible for the development, implementation, and oversight of the agency efforts to maintain compliance with PREA standards.
- (2) The Sununu Center has a written policy on Sexual Assault and Harassment.
- (3) There is a Resident and Parent Orientation where all new youth to the facility are educated on PREA including being provided with a PREA Handbook.
- (4) In classifying youths, a PREA vulnerability assessment is conducted to help determine housing and treatment planning.
- (5) All staff receive employee training regarding PREA as well as state laws and departmental policies governing sexual misconduct and specialized training is provided to investigators, clinical staff, and medical staff.

(6) Victim Advocates are available for the youths.

In conclusion, I am satisfied that, under the direction of NH DOC Commissioner William Wrenn and NH DHHS Commissioner Jeffrey Meyers, all New Hampshire facilities that are under executive control are in full compliance with PREA standards.

With every good wish,

A handwritten signature in blue ink, appearing to read "Maggie / L", followed by a long horizontal line extending to the right.

Margaret Wood Hassan  
Governor

cc: Karol Mason, Assistant Attorney General

**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Margaret Woody Hassan  
Printed name of Chief Executive

New Hampshire  
Name of State/jurisdiction

3/30/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**New Jersey**

**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

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2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Chris Christie  
Printed name of Chief Executive

New Jersey  
Name of State/jurisdiction

3/21/2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*



**New Mexico**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

New Mexico

Name of State/Jurisdiction

Susana Martinez

Printed name of Chief Executive

3/29/16

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**New York**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

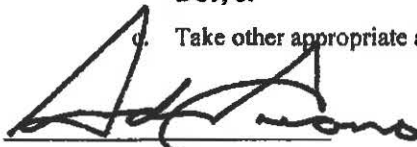
Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.



Signature of Chief Executive

New York State

Name of State/jurisdiction

Andrew M. Cuomo

Printed name of Chief Executive

March 30, 2016

Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **North Carolina**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

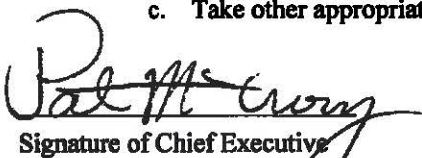
Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

North Carolina  
Name of State/jurisdiction

Pat McCrory  
Printed name of Chief Executive

March 31, 2016  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **North Dakota**

**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Jack Dalrymple  
Printed name of Chief Executive

North Dakota  
Name of State/jurisdiction

3/16/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Ohio**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

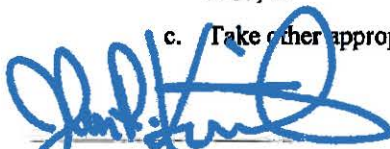
Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

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2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Ohio

Name of State/jurisdiction

John R. Kasich  
Printed name of Chief Executive

3/31/2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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**Oklahoma**



**Mary Fallin**  
Governor

March 29, 2016

*Via Electronic Delivery - PREACompliance@usdoj.gov*

The Honorable Loretta Lynch  
Attention: PREA Management Office  
United States Department of Justice  
810 Seventh Street, NW  
Washington, D.C. 20531

Dear General Lynch:

The federal Prison Rape Elimination Act (PREA) was passed in 2003 in an effort to reduce incidents of sexual abuse in United States correctional facilities. The PREA standards provide that in situations where a Governor is not able to certify to the federal government that the State is in full compliance with these PREA standards, the Governor has the option of submitting a form to the Department of Justice stating that not less than five percent of certain designated grant funds will be used for the purpose of enabling the State to achieve and eventually certify full compliance with the PREA standards in future years. 42 U.S.C. § 15607(e)(2). Pursuant to this PREA standard, I have attached the following:

- 1.) Governor's Assurance for Audit Year 2, indicating the State of Oklahoma will use not less than 5 percent of its covered Department of Justice grant funds for FY2016 to enable the state/jurisdiction to adopt, and achieve full compliance with the National Standards to Prevent, Detect, and Respond to Prison Rape;
- 2.) PREA Certification and Assurance Submission Worksheet listing the names of each confinement facility in the State of Oklahoma covered by the Standards, facility type, state agency responsible for the facility, audit year, audit completion date, site review date, compliance determination and links to final audit reports; and
- 3.) Forthcoming Audit Schedule of confinement facilities covered by the Standards (included in attachment number 2).

This information fulfills the State of Oklahoma's reporting and certification requirements pursuant to PREA and outlined in USDOJ correspondence received February 25, 2016.

Respectfully,

*Mary Fallin*

Mary Fallin  
Governor

Enclosures

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

- |   |
|---|
| <p>1. <input type="checkbox"/> As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.</p> |
| <p>2. <input type="checkbox"/> As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.</p>   |

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

State of Oklahoma

Name of State/jurisdiction

Mary Fallin

Printed name of Chief Executive

March 29, 2016

Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Oregon**

**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

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and
2. Within 15 days of providing such notice,
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  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

Kate Brown  
Signature of Chief Executive

Kate Brown, Governor  
Printed name of Chief Executive

Oregon  
Name of State/jurisdiction

March 31, 2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*



## **Pennsylvania**



**COMMONWEALTH OF PENNSYLVANIA  
Governor's Office of General Counsel**

**PREA Management Office  
Bureau of Justice Assistance  
810 Seventh Street NW  
Washington, D.C. 20531**

**SENT VIA ELECTRONIC MAIL: [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov)  
AND UPS OVERNIGHT DELIVERY**

**RE: PREA Standards Assurance Form from the Commonwealth of Pennsylvania  
The Honorable Tom Wolf  
Governor of Pennsylvania**

**March 29, 2016**

**Please find attached Pennsylvania's Prison Rape Elimination Act (PREA) Standards Assurance form for the second part of Audit Year 2. Please note Pennsylvania has checked Box 1 of this Assurance form. In addition, as per your request, attached is a list of confinement facilities in the Commonwealth of Pennsylvania considered to be under the operational control of the State's executive branch.**

**The Commonwealth of Pennsylvania looks forward to continuing to collaborate with the Department of Justice as we work together to implement the National PREA Standards.**

**Sincerely,**

A handwritten signature in blue ink, appearing to read "A. G. Cornick".

**Anne Gingrich Cornick, Esq.  
Deputy General Counsel  
Governor's Office of General Counsel  
Commonwealth of Pennsylvania  
333 Market Street, 17th Floor  
Harrisburg, PA 17101  
Phone: 717.783.2353  
Fax: 717.787.1788**

**Encl.**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

PA  
Name of State/jurisdiction

TOM WOLF  
Printed name of Chief Executive

3/25/16  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **Puerto Rico**



COMMONWEALTH OF  
PUERTO RICO  
GOVERNOR

ALEJANDRO J. GARCÍA-PADILLA

March 31, 2016

Karol V. Mason  
Assistant Attorney General  
Office of Justice Programs  
U.S. Department Of Justice  
950 Pennsylvania Avenue, NW  
Washington, DC 20530-0001

Dear Ms. Mason:

In accordance with the Prison Rape Elimination Act (PREA) which orders that all governor's offices certify or assure the compliance with requirements under PREA before March 31, 2016, I hereby formally enclose a signed assurance that the Puerto Rico Department of Correction and Rehabilitation (DCR) will use the U.S. Department of Justice (DOJ) grants to achieve compliance with National Standards; Prevent, Detect, and Respond to Prison Rape, as requested on February 25, 2016.

The DCR has been working diligently to comply with PREA's mandates following the final rules. The DCR has modified and developed regulations and policies to meet the PREA National Standards such as:

- Contracted Professional/Consultant Service PREA Coordinator. [115.11(b), 115.311(b)]
- Signed contract with specialized professionals in order to provide workshops on rape, to be offered to the DCR's Train the Trainers Team.
- The DCR has established a "Zero Tolerance Policy to Sexual assault/harassment" in all correctional facilities in Puerto Rico (as defined in PREA's standards). [115.11(a), 115.311(a)]
- Regulations, policies and procedures have been developed to include sexual assault/harassment, in order to comply with PREA National Standards, such as:
  - A. PREA Purpose
  - B. General Definitions
  - C. Responsibilities
  - D. Prevention
  - E. Response
  - F. Risk Detection Planning



- G. Trainings and Education
- H. Procedures to Report and Response
- I. Investigation
- J. Discipline
- K. Medical and Mental Health Service
- L. Data Collection and Review
- M. Audit and Corrective Action Plan

- The DCR established a toll-free confidential contact number (787-332-7732) and an email address (prea@dcpr.pr.gov) to report any sexual assault/harassment incidents anonymously. [115.54, 115.354]
- Correctional facilities staff were selected and appointed as PREA compliance managers. Currently, every Correctional Facility in Puerto Rico has been designated with a PREA Compliance Manager, for a total of 53 officials. [115.11(c), 115.3119(c)]
- The DCR amended contracts of private organizations that administer the Community Confinement Programs to comply with PREA National Standards and Policy. The agency amended a contract with *Nueva Vida* program to establish an agreement in which they will adopt and comply PREA National Standards. Agreements with other programs, such as *Hogar Crea*, *Nuevo Pacto* and *Teen Challenge*, will be signed. [115.12]
- The DCR is in the process of modifying existing regulations to be compliant with PREA's National Standards. [115.17, 115.317]
- Currently, DCR is in process of completing trainings and education on PREA National Standards. It has impacted approximately 35% of employees [115.31] and contractors [115.22]. Furthermore, the Agency has trained 10% of volunteers. [115.22]
- The DCR is in the process of completing education to the inmates on the Zero Tolerance Policy. Currently, we have educated 52% of the adult correctional population and 60% of correctional facilities have completed this process. [115.33]
- All employees and juvenile offenders of the Bureau of Juvenile Institutions have been educated and trained on the Zero Tolerance Policy. [115.331; 115.332; 115.333]
- Brochures have been developed in order to educate DCR's staff and correctional population. This written material will serve for promoting consciousness within employees and the correctional population, according to the PREA National Standards. It contains information regarding the PREA Law, the Zero Tolerance to Sexual Violence Policy within correctional institutions, prevention mechanisms, detection and response of incidents of sexual violence. [115.31, 115.32, 115.33; 115.331, 115.332, 115.333]
- DCR is in the process of preparing PREA's information posters to be located in every correctional facility island wide and in the DCR's offices with the intention

of promoting and establishing a Zero Tolerance Zone for sexual incidents, this includes the PR PREA logo and contact phone numbers to inform incidents. [115.33; 115.333]

- The DCR is in process of establishing a Memorandum of Understanding (MOU) with local agencies, such as the Puerto Rico Woman's Advocate Office (WAO), that will provide emotional support services for victims of sexual abuse in confinement. Furthermore, the WAO will assist the DCR in providing training for employees and correctional population, addressing prevention of sexual assault incidents, dynamics and effects of an aggression and how to report them. [115.53, 115.353] Furthermore, the DCR will establish agreements with the criminal investigation division of the Puerto Rico Police Department. [115.22, 115.322] The DCR will also establish agreements with the Puerto Rico Department of Health to provide medical services to victims of sexual abuse according to PREA standards. [115.82, 115.382]
- The DCR has contacted DOJ certified auditors to request a quote for auditing services. As such, auditors Sheila Vaughan, James Garvey of Curnyn Consulting of the State of Florida and Hector Marquez of the State of Texas were contacted to request a quotation for audit services. The DCR is in the process of evaluating and selecting an auditor to comply with National Standards of PREA. [115.93, 115.393]
- Currently, the DCR has achieved compliance with approximately 62% of National Standards of Prisons and Jails and 85% of the National Standards of Juvenile Facilities.
- Enclosed is a list of the correctional facilities under the operational control of the Executive Branch in Puerto Rico and PREA's audit status, including a projection of when these audits will take place.

The Commonwealth of Puerto Rico is working diligently and is committed to achieve full compliance with PREA's National Standards. Many challenges such as reduction in personnel, early retirement and fiscal hardship have been experienced in the process of restructuring areas within the DCR, which needed to be overcome in order to comply. Nevertheless, the DCR is looking after these situations to achieve full compliance and provide a safe and secure environment to personnel and correctional population.

I hereby formally certify the information included.

Cordially,

The Governor of the Commonwealth of Puerto Rico,



Alejandro J. García-Padilla

Enclosure



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**


Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
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1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
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  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
\_\_\_\_\_  
Signature of Chief Executive

Alejandro J. García-Padilla  
Printed name of Chief Executive

\_\_\_\_\_  
Name of State/jurisdiction

March 31, 2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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**Rhode Island**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

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  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Gina M. Raimondo, Governor  
Printed name of Chief Executive

RAHODE ISLAND  
Name of State/jurisdiction

3/30/2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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## **South Carolina**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. § 15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

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  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Nikki R. Haley  
Printed name of Chief Executive

SC  
Name of State/jurisdiction

3/23/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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**South Dakota**



## STATE OF SOUTH DAKOTA

DENNIS DAUGAARD, GOVERNOR

March 16, 2016

Karol Mason and Bea Hanson  
PREA Management Office  
Bureau of Justice Assistance  
U.S. Department of Justice  
810 Seventh Street NW  
Washington, D.C. 20531

Dear Karol and Bea,

The state of South Dakota and the Department of Corrections has a zero-tolerance policy relating to sexual abuse and harassment of juvenile and adult offenders. The Department has adopted policies and practices and entered into agreements that support compliance with the Prison Rape Elimination Act (PREA) standards adopted by the United States Attorney General.

The state's lone juvenile facility, STAR Academy, successfully completed its PREA audit on August 15, 2015, and was found compliant. On July 12, 2015, Sequel Transition Academy, a private facility operated on behalf of the state, was found PREA compliant in its audit. These are the only two juvenile facilities under the operational control of the Governor.

For the adult facilities, the South Dakota Women's Prison successfully completed its PREA audit on May 27, 2015, and the Mike Durfee State Prison was found PREA compliant on August 14, 2015. The South Dakota State Penitentiary, the final facility under the operational control of the Governor to have its PREA audit, is scheduled for its PREA on-site review from May 3-6, 2016. Enclosed is a summary of the PREA audits dates and compliance determinations.

Based on the policy actions and operations of the Department of Corrections and the results of the PREA audits, I am certifying the state of South Dakota's juvenile facilities are PREA compliant and the state will use not less than 5 percent of the non-juvenile Department of Justice grant funds to achieve and maintain full compliance with PREA standards. Enclosed is a signed assurance for the 2016 audit year.

It is anticipated the state will be in a position to certify compliance in May 2016, assuming the results of the compliance audit conducted that month are favorable.

Sincerely,

A handwritten signature in cursive script that reads "Dennis Daugaard".

Dennis Daugaard

Enclosures



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

- |  |
|--|
| <p>1. <input checked="" type="checkbox"/> As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.</p> |
| <p>2. <input type="checkbox"/> As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.</p>  |

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

Dennis Daugaard  
Signature of Chief Executive

South Dakota  
Name of State/jurisdiction

Dennis Daugaard  
Printed name of Chief Executive

March 16, 2016  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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# **Tennessee**

**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy;  
and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
\_\_\_\_\_  
Signature of Chief Executive

Bill Haslam  
\_\_\_\_\_  
Printed name of Chief Executive

Tennessee  
\_\_\_\_\_  
Name of State/jurisdiction

3/30/16  
\_\_\_\_\_  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

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**Texas**



GOVERNOR GREG ABBOTT

March 31, 2016

The Honorable Loretta E. Lynch  
Attorney General  
U.S. States Department of Justice  
950 Pennsylvania Avenue, NW  
Washington, D.C. 20530-0001

Through: PREA MANAGEMENT OFFICE  
810 Seventh Street, N.W.  
Washington, D.C. 20531

Dear Attorney General Lynch:

The State of Texas has taken significant steps to eliminate prison rape under the Prison Rape Elimination Act (PREA). I can assure you that the state will use not less than 5 percent of our PREA funds for fiscal year 2016 to enable us to work toward adopting and achieving full compliance with the U.S. Department of Justice's (DOJ) PREA standards in future years. While I cannot yet certify that the state is in full compliance with these standards, I can assure you that our PREA audits will be complete by the end of 2017.

**A. Texas Department of Criminal Justice (TDCJ)**

1. We have 110 state jails and prisons in Texas. Ninety-four of them are owned and operated by TDCJ; 13 are owned by TDCJ and privately operated; and 3 are privately owned and operated. As of March 26, 2016, the state has completed PREA audits for 56 of those units. Of those 56 audits, we have final reports for 53 units. And of the 53 units that have final audit reports, all of them have been certified as fully compliant with PREA. Moreover, TDCJ has scheduled audits for all of the remaining units that it owns and operates. TDCJ's audit schedule is attached.

TDCJ is continuing to address the confinement of offenders under 18 years old. DOJ's PREA standards generally require states to segregate "youthful inmates" from prisoners who are 18 years of age or older. See 28 C.F.R. § 115.5 (defining "youthful inmate"); *id.* § 115.14. TDCJ has three units that house youthful inmates with older offenders: Clemens (males), Travis (males) and Hilltop (females). As of January 31, 2016, TDCJ has 36 youthful male inmates and 8 youthful female inmates in

those three units. While TDCJ is confident it has taken adequate measures to segregate youthful inmates in accordance with PREA standards, we will not know for sure until the year is over. Clemens has been certified as fully compliant with PREA, but the audits for Hilltop and Travis will not be completed until September 2016 and October 2016, respectively.

2. TDCJ also manages 30 community residential facilities that are owned and operated by private third parties. Those 30 facilities include seven halfway houses and 23 transitional treatment centers. Because TDCJ does not own or operate those facilities, it does not control their PREA audit schedules. TDCJ has negotiated clauses in its contracts with these facilities that require PREA compliance or has plans to include these requirements in future contracts. A list of those facilities is attached.

**B. Texas Juvenile Justice Department (TJJD)**

1. The Texas Juvenile Justice Department owns and operates 14 residential facilities for juvenile offenders. Audits have been completed for all 14 residential facilities. Of these 14 residential facilities, 10 have been certified as fully compliant with PREA, and 4 are undergoing corrective measures to achieve full compliance. TJJD's audit schedule is attached.
2. TJJD also oversees eight juvenile residential facilities that are owned and operated by private third parties. Of those eight facilities, PREA applies to only five. Of those five facilities, two have completed audits and been certified as fully compliant; the other three have audits scheduled for July and August 2016.

**C. Texas Department of Public Safety (DPS)**

Finally, the Texas Department of Public Safety uses 120 "lockup" (or temporary confinement) facilities across the state. Many of those facilities are in driver's license offices and other places the Texas Highway Patrol may use to temporarily detain or confine individuals. The Texas Highway Patrol is in the process of choosing a PREA coordinator for these facilities and DPS is in the process of finalizing its PREA compliance standards. DPS reports that none of its lockup facilities are utilized to house detainees overnight, and therefore it need not conduct audits for those facilities. See 28 C.F.R. § 115.193. A complete list of DPS' lockup facilities is also attached.

Due to the ongoing audits, our state cannot yet certify that only our non-juvenile facilities are non-compliant with national PREA standards. We also cannot yet certify that only new construction would bring Texas into full compliance with national PREA standards. Therefore, we will continue to use no less than 5 percent of the relevant DOJ grant funds solely for the



The Honorable Loretta E. Lynch  
March 31, 2016  
Page 3

purpose of enabling Texas to achieve and certify full compliance with the standards in future years.

Please do not hesitate to contact me with any questions or concerns.

Sincerely,

A handwritten signature in black ink, appearing to read "Greg Abbott", written in a cursive style.

Greg Abbott  
Governor

GA:jvk

Attachments

**Vermont**

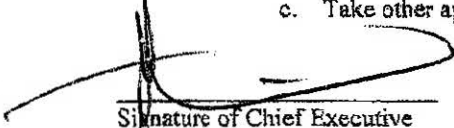
**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

**GOVERNOR PETER SHAHULIN**  
Printed name of Chief Executive

**VERMONT**  
Name of State/jurisdiction

**March 29, 2016**  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Virginia**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape**

**2015**

Pursuant to 42 U.S.C. §15607(c)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the State/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2015 to enable the State/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.


1. ☒ the State/jurisdiction named below has adopted, and is in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but is not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above Assurance.

2. ☐ the State/jurisdiction named below has adopted, and is in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the require set aside of funds for PREA purposes and shall not be used for the purposes of the above Assurance.

If the State/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this Assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable double on the accuracy (as of the date of signature) of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate as of the date of signature. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice, submit a revised Assurance, signed by the Chief Executive.



  
Signature of Chief Executive

TERRY MCAULIFFE  
Printed name of Chief Executive

VIRGINIA  
Name of State/jurisdiction

AUGUST 3, 2016  
Date

**This form must be received by the Department of Justice, PREA Management Office, by May 15, 2015. A signed, electronic version of this form may be sent to: PREACompliance@usdoj.gov.**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Washington**

**Certification Regarding Adoption of and Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Certification for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I certify to the U.S. Department of Justice (DOJ):

As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115.

If, after the date of signature of this certification, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of this certification, the same shall conduct a reassessment and determine whether this certification was accurate. If the certification is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit an assurance signed by the chief executive (using the form provided by DOJ) indicating that the state/jurisdiction will expend not less than 5 percent of its covered DOJ grant funds for FY 2016 to adopt, and achieve full compliance with, the National Prison Rape Elimination Standards (28 C.F.R. Part 115), so as to ensure that a certification may be submitted in future years;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

JAY INSLEE  
Printed name of Chief Executive

WASHINGTON  
Name of State/jurisdiction

3/29/16  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**West Virginia**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. § 15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

West Virginia  
Name of State/jurisdiction

Earl Ray Tomblin  
Printed name of Chief Executive

3/31/16  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*



**Wisconsin**

**Scott Walker**  
Governor

**Jon E. Litscher**  
Secretary



**Mailing Address**

3099 E. Washington Ave.  
Post Office Box 7925  
Madison, WI 53707-7925  
Telephone (608) 240-5000  
Fax (608) 240-3300

**State of Wisconsin**  
**Department of Corrections**

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To: Governor Scott Walker  
Wisconsin State Capitol

From: Secretary Jon E. Litscher  
Department of Corrections

Date: March 16, 2016

Re: Prison Rape Elimination Act Compliance – Audit Year 2

The State of Wisconsin is required to notify the United States Department of Justice (USDOJ) by March 31, 2016, on our status or intentions with regard to the requirements of the federal Prison Rape Elimination Act (PREA). The Wisconsin Department of Corrections (DOC) has a zero tolerance standard for sexual abuse and sexual harassment in its confinement facilities and is committed to working towards and maintaining compliance with PREA. As Secretary of the Wisconsin DOC, I offer the following information for your consideration in determining the response you deem appropriate.

Since our assurance letter last year stating our commitment work towards compliance with the federal PREA standards, Wisconsin DOC has made the following strides towards PREA compliance for all of our 38 facilities in Audit Year 2 (August 20, 2014 – August 19, 2015):

- Complete revision of our Executive Directive 72 (PREA) to align with the PREA standards
- Complete revision of inmate and youth PREA handbooks to align with the standards
- Trained over 100 employees to investigate sexual abuse and sexual harassment in accordance with the PREA standards
- Collaborated with the Capitol Police to create an outside reporting line for inmates
- Created new training curriculum for all DOC employees that was completed at the end of 2015
- Awarded a federal PREA grant in the amount of \$495,998 to assist Wisconsin DOC in working towards compliance; this grant allows for the hiring of a PREA Psychologist and a PREA Research Analyst
- Released a RFB to solicit for PREA auditors to conduct audits in all of our adult facilities
- Secured DOJ certified PREA auditors through the RFB process to audit all of our facilities
- Schedule 12 on-site audits for adult facilities to be completed by the end of calendar year 2015.
- Entered into a consortium audit with the states of Michigan and South Dakota to complete one PREA audit of a juvenile facility. Lincoln Hills / Copper Lake School was audited in June, 2015

In addition to the highlighted accomplishments above, Wisconsin DOC continues to work towards compliance and has applied for the three eligible PREA reallocation grants and is awaiting award information. The reallocation grants authorized five percent of the following grants to use towards compliance:

- Bureau of Justice Assistance's Edward Byrne Memorial Justice Assistance Grant Formula Program - \$77,714.
- Office of Juvenile Justice and Delinquency Prevention's Juvenile Justice and Delinquency Prevention Act Formula - \$13,536.
- Office of Violence Against Women; the STOP (Services, Training, Officers, and Prosecutors) Violence Against Women Formula Grant Program - \$120,836.

The funds from the three reallocation grants will primarily be used to pay for contracted auditors to conduct our audits. A small portion of these funds will be allocated to the Wisconsin Coalition Against Sexual Assault to collaborate with sexual assault services providers across the state and enter into a Memorandum of Understanding (MOU) to provide services to inmates, as directed by the PREA standards. Finally, Wisconsin has entered into a consortium with Michigan and South Dakota to conduct one audit of a juvenile facility and a small amount of the reallocation funds was applied to the costs associated with this consortium agreement.

As described above, Wisconsin DOC is continuing to work towards compliance and is dedicated to achieving compliance with the PREA standards.

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.
2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

State of Wisconsin  
Name of State/jurisdiction

Scott Walker  
Printed name of Chief Executive

3/28/2016  
Date

**This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).**

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

**Wyoming**



**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

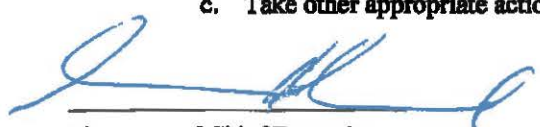
Check the boxes below only if they apply to your state/jurisdiction.

1. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

2. ☐ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 CFR Part 115, except to the extent that full compliance would require new construction. Accordingly, grants issued by the Office on Violence Against Women are not subject to the required set aside of funds for PREA purposes and shall not be used for the purposes of the above assurance.

If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

1. Within 15 days, notify DOJ via the email address below of the existence of the inaccuracy; and
2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Governor Matthew H. Mead

Printed name of Chief Executive

Wyoming

Name of State/jurisdiction

3/14/16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*

## **U.S. Virgin Islands**

**Assurance to Utilize Department of Justice Grants to Achieve Full Compliance with  
the National Standards to Prevent, Detect, and Respond to Prison Rape  
2016 Governor's Assurance for Audit Year 2**

Pursuant to 42 U.S.C. §15607(e)(2), I assure the U.S. Department of Justice (DOJ) that, subject to the exceptions checked below (if applicable), the state/jurisdiction named below will use not less than 5 percent of its covered DOJ grant funds for FY 2016 to enable the state/jurisdiction to adopt, and achieve full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape (28 C.F.R. Part 115), so as to ensure that a certification of full compliance may be submitted in future years.

Check the boxes below only if they apply to your state/jurisdiction.

1. ☒ As of August 19, 2015, the state/jurisdiction named below had adopted, and was in full compliance with, the National Standards to Prevent, Detect, and Respond to Prison Rape, 28 C.F.R. Part 115, insofar as those standards implicate juvenile facilities, as defined in 28 C.F.R. Part 115, but was not in full compliance with one or more standards insofar as those standards implicate non-juvenile facilities. Accordingly, grants issued by the Office of Juvenile Justice and Delinquency Prevention are not subject to the required set aside of funds for PREA purposes and shall not be used for purposes of the above assurance.

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If the state/jurisdiction has checked Box 1 and/or 2, and after the date of signature of this assurance, credible information should come to the attention of the undersigned (or his or her successor) that casts reasonable doubt on the accuracy of the information relied upon in checking Box 1 and/or 2, the same shall conduct a reassessment and determine whether this selection of such box(es) was accurate. If the selection of one or both boxes is determined not to have been accurate, the undersigned or a designee will:

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2. Within 15 days of providing such notice,
  - a. Submit a revised assurance, signed by the chief executive;
  - b. Agree to return 5 percent of its covered DOJ grant funds for FY 2016 as calculated by DOJ; or
  - c. Take other appropriate action as instructed by the awarding agency.

  
Signature of Chief Executive

Kenneth E. MAPP  
Printed name of Chief Executive

US Virgin Islands  
Name of State/jurisdiction

3-29-16  
Date

This form must be received by the Department of Justice, PREA Management Office, by March 31, 2016. A signed, electronic version of this form may be sent to [PREACompliance@usdoj.gov](mailto:PREACompliance@usdoj.gov).

*A false statement in this certification or in the grant application that it supports may be subject to criminal prosecution, including under 18 U.S.C. § 1001 and 42 U.S.C. § 3795a. DOJ grants, including certifications provided in connection with such grants, are subject to review by the DOJ component that issued the grant and/or by the DOJ Office of the Inspector General.*