

The <u>U.S. Department of Justice</u>, <u>Office of Justice Programs'</u> <u>Bureau of Justice Assistance</u> is pleased to announce that it is seeking applications for funding under the Drug Court Discretionary Grant Program. This program furthers the Department's mission by providing resources to state, local, and tribal governments and state and local courts to establish or enhance drug courts and systems for nonviolent substance-abusing offenders.

Adult Drug Court Discretionary Grant Program FY 2008 Competitive Grant Announcement

Eligibility

Implementation and Enhancement Grants: Applicants are limited to states, state and local courts, counties, units of local government, and Indian tribal governments, acting directly or through other public or private entities. **Statewide Grants:** Applicants are limited to state agencies.

(See "Eligibility," page 1)

Deadline

All applications are due by 8:00 p.m. e.t. on January 10, 2008. (See "Deadline: Applications," page 1)

Contact Information

For assistance with the requirements of this solicitation, contact Eunice Pierre at 202–514–1473 or Eunice.Pierre@usdoj.gov.

This application must be submitted through Grants.gov. For technical assistance with submitting the application, call the Grants.gov Customer Support Hotline at 1–800–518–4726.

Grants.Gov number assigned to announcement: BJA-2008-1723 Release date: November 13, 2007

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Adult Drug Court Discretionary Grant Program CFDA #16.585

Overview of the Adult Drug Court Discretionary Grant Program

The purpose of the Drug Court Discretionary Grant Program (42 U.S.C. 3797u(a)) is to provide resources to state, local, and tribal governments and state and local courts to establish or enhance adult drug courts and systems for nonviolent substance-abusing offenders.

Deadline: Registration

Registering with Grants.gov is a one-time process; however, if you are a first-time registrant, it could take up to several weeks to have your registration validated and confirmed and to receive your user password. It is highly recommended you start the registration process as early as possible to prevent delays in submitting your application package to our agency by the deadline specified. There are three steps that you must complete before you are able to register: 1) register with Central Contractor Registry (CCR), 2) register yourself as an Authorized Organization Representative (AOR), and 3) be authorized as an AOR in your organization. For more information, go to www.grants.gov. Note: Your CCR registration must be renewed once a year. Failure to renew your CCR registration will prohibit submission of a grant application through Grants.gov.

Deadline: Applications

The due date for applying for funding under this announcement is 8:00 p.m. e.t. January 10, 2008.

Eligibility

Drug Court Implementation and Enhancement Grants: Applicants are limited to states, state and local courts, counties, units of local government, and Indian tribal governments, acting directly or through other public or private entities. **Statewide Grants:** Applicants are limited to state agencies, such as the State Administering Agency (SAA), the Administrative Office of the Court, or the state Alcohol and Substance Abuse Agency.

American Indian Tribes and Alaska Native Tribes and/or Tribal Organizations: All tribal applications must be accompanied by a current authorizing resolution of the governing body of the tribal entity or other enactment of the tribal counsel or comparable government body. If the grant will benefit more than one tribal entity, a current authorizing resolution or other enactment of the tribal counsel or comparable government body from each tribal entity must be included. If the grant application is being submitted on behalf of a tribal entity, a letter or similar document authorizing the inclusion of the tribal entity named in the application must be included.

Adult Drug Court Program-Specific Information

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law.

Drug courts help reduce recidivism and substance abuse among nonviolent offenders¹ and increase an offender's likelihood of successful rehabilitation through early, continuous, and intense judicially supervised treatment, mandatory periodic drug testing, community supervision, and appropriate sanctions and other habilitation services. A drug court can be a specially designed court calendar or docket as well as a specialized court program. Drug courts funded through the Bureau of Justice Assistance's (BJA) Drug Court Discretionary Grant Program are required by law to involve nonviolent offenders and must implement an adult drug court based on the BJA and National Association of Drug Court Professionals' publication: Defining Drug Courts: The Key Components, which addresses the statutory requirements. Implementation grants may also serve DUI/DWI offenders.

Note: The National Drug Court Training and Technical Assistance Program (NDCTTAP) supports BJA's Drug Court Discretionary Grant Program by increasing the knowledge and skills of drug court practitioners to plan, implement, and sustain effective drug court programs. For details on NDCTTAP's planning, training, and technical assistance resources, visit http://dcpi.ncjrs.gov/dcpi/index.html

Award Categories

The FY 2008 solicitation offers three drug court grant categories:

CATEGORY I. IMPLEMENTATION. Grant maximum: \$350,000. Project period: 36 months. Implementation grants are available to jurisdictions that have completed a substantial amount of planning and are ready to implement an adult drug court. Jurisdictions who are in the planning stages should consider participation in BJA's Drug Court Planning Initiative (DCPI). DCPI provides training, technical assistance, and travel support to assist jurisdictions in planning a new drug court program. For more information, see http://dcpi.ncjrs.gov/dcpi/index.html.

CATEGORY II. ENHANCEMENT. Grant maximum: \$200,000. Project period: 24 months. Enhancement grants are available to jurisdictions with a fully operational (at least 1 year) adult drug court to: provide additional services to drug court participants; develop and provide training programs for drug court practitioners; evaluate a drug court; develop or implement an automated data collection system for the court; or meet the special needs of certain drug court participants, including individuals addicted to methamphetamine; individuals suffering from co-occurring mental health issues; members of cultural and language minorities; and individuals coping with severe traumatic experiences.

Note: In FY 2008, funding priority will be given to applicants who have never received a grant under BJA's Drug Court Discretionary Grant Program, or to applicants providing services in rural areas, or providing intensive services to individuals addicted to methamphetamine.

¹ As defined in 42 U.S.C. 3797u-2, a "violent offender" means a person who—(1) is charged with or convicted of an offense, during the course of which offense or conduct—(A) the person carried, possessed, or used a firearm or dangerous weapon; (B) there occurred the death of or serious bodily injury to any person; or (C) there occurred the use of force against the person of another, without regard to whether any of the circumstances described in subparagraph (A) or (B) is an element of the offense or conduct of which or for which the person is charged or convicted; or (2) has 1 or more prior convictions for a felony crime of violence involving the use or attempted use of force against a person with the intent to cause death or serious bodily harm.

CATEGORY III. Statewide. Grant maximum: \$200,000. Project period: 24 months.

Statewide drug court grants are available to state agencies to improve, enhance, or expand drug court services statewide through activities such as: training or technical assistance programs for drug court teams; tracking or compiling state drug court information and resources; disseminating statewide drug court information to enhance or strengthen drug court programs; increasing communication, coordination, and information sharing among drug court programs; conducting a statewide drug court evaluation; and establishing an automated drug court data collection system.

Applications received under Category III will be given funding priority.

Limitation on use of award funds for employee compensation; waiver: No portion of any award of more than \$250,000 made under this solicitation may be used to pay any portion of the total cash compensation (salary plus bonuses) of any employee of the award recipient whose total cash compensation exceeds 110 percent of the maximum annual salary payable to a member of the Federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (The salary table for SES employees is available at www.opm.gov.)

This prohibition may be waived at the discretion of the Assistant Attorney General for the Office of Justice Programs. An applicant that wishes to request a waiver should include a detailed justification in the budget narrative for the application.

Match Requirement

A grant made under this program may not cover more than 75 percent of the total costs of the project being funded. The applicant must identify the source of the 25 percent non-federal portion of the budget and how match funds will be used. Applicants may satisfy this match requirement with either cash or in-kind services, or a combination of both. The formula for calculating match is:

<u>Award amount</u> = Adjusted Project Costs X Recipient's share = required match Federal Share

Example: For a federal award amount of \$350,000, match would be calculated as follows:

\$350,000 = \$466,667 X 25% = \$116,667 match 75%

Performance Measures

To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work. Performance measures for this solicitation are as follows:

I. Implementation

Objective	Performance Measures	Data Grantee Provides
To reduce substance use and recidivism of offenders.		During this reporting period: a) Total number of program participants.
		b) Number arrested on drug- related charges.
		c) Number arrested on non-drug- related charges.
		d) Number of participants with drug court program violations.
	Percent of program participants who exhibit a reduction in substance use during the reporting period.	During this reporting period:
		a) Number of participants re- assessed as having reduced their substance use.
		b) Number of participants re- assessed regarding substance abuse.
	Percent of participants	During this reporting period:
	successfully graduating from the drug court program.	a) Number of individuals eligible to enter the drug court program.
		b) Number of individuals accepted in the drug court program.
		c) Number of individuals who declined participation in the drug court program.
		d) Number of participants successfully graduating from the drug court program.
	Number of terminated drug	During this reporting period:
	court participants	a) Number of terminated participants returned to regular court processing.
		b) Number of terminated participants recommended or sent to jail.
		c) Number of terminated participants referred to other criminal justice agency(ies) for additional action.

II. Enhancement

Objective	Performance Measures	Data Grantee Provides
To enhance the provision of	Enhancement: Additional	Enhancement: Additional Services
services to drug court participants by way of additional services, meeting special needs, training, data	Percent increase in units of services (additional or secondary	a) Total number of types of drug court services before grant funding.
collection or MIS, or evaluation.		b) Number of new types of drug court services added during the reporting period.
		c) Total number of drug court service providers before grant funding.
		d) Number of new drug court service providers or provider sites added during the reporting period.
	Percent increase in service provided to participant.	e) Number of drug court participants referred for new services resulting from grant funding.
		f) Total number of drug court participants served.
		g) Number of referrals made for expanded service types or sites during the reporting period.
		h) Number of drug court slots added during the reporting period.
	Enhancement: Training	Enhancement: Training
	Percent increase in participant	During this reporting period:
	satisfaction with training.	a) Number of individuals trained
	Percent increase in knowledge of subject matter as a result of training.	b) Number of training participants who rated the training as satisfactory.
		c) Number of training participants who showed increased knowledge post training.
		d) Total number of training participants to whom a knowledge test was administered.
	Enhancement: Data collection/	Enhancement: Data collection/ MIS
	Percent increase in drug court case automation.	During the reporting period:
Ca	case automation.	a) Number of active drug court cases during the reporting period.
	Percent increase in staff trained on data collection/MIS.	b) Number of active drug court cases fully automated as a result of grant funding.
		c) Number of individuals who were trained on to use the data collection, MIS or

	evaluation system.
	d) Total number of drug court staff

III. Statewide

Objective	Performance Measures	Data Grantee Provides
To enhance statewide drug courts efficiency and effectiveness through policy,	Statewide: Information tracking, dissemination and clearinghouse activities	Statewide: Information, tracking, dissemination, and clearinghouse activities
services, training and technical assistance,		During the reporting period:
coordination, evaluation, and data collection.	Percent increase in compilation of resources and Information dissemination to drug courts throughout the state.	a) Number of requests for information on statewide drug courts activity, programs, and resources that were fulfilled.
	state.	b) Total number of requests for information on statewide drug courts activity, programs, and resources.
	Statewide: Training and Technical Assistance	Statewide: Training and Technical Assistance
		During this reporting period:
	Percent increase in statewide training or technical assistance for drug courts.	a) Number of drug courts receiving training.
		b) Total number of drug courts in your state.
	Percent increase in participants' satisfaction with	c) Number of training participants who rated the training as satisfactory.
	training.	d) Number of individuals trained.
	Statewide: MIS or Evaluation	Statewide: MIS or Evaluation During the reporting period:
	Percent increase in MIS evaluation capability.	a) Number of data collection, MIS or evaluation tools currently in the process of development as a result of grant funding.
		b) Number of data collection, MIS, or evaluation tools completed.

How To Apply

DOJ is participating in the e-Government initiative, one of 25 initiatives included in the President's Management Agenda. Part of this initiative—Grants.gov—is a "one-stop storefront" that provides a unified process for all customers of federal grants to find funding opportunities and apply for funding.

Grants.gov Instructions: Complete instructions can be found at www.grants.gov. If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at **1–800–518–4726**.

Note: Grants.gov does not support the Microsoft Vista Operating system. The PureEdge software used by Grants.gov for forms is not compatible with Vista. Also, Grants.gov cannot yet process Microsoft Word 2007 documents saved in the new default format with the extension ".DOCX." Please ensure the document is saved using "Word 97-2003 Document (*.doc)" format.

Please also note: OJP's GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip."

CFDA Number: The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.585, titled "Drug Courts Discretionary Grant Program," and the funding opportunity number is BJA-2008-1723.

A DUNS number is required: The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a DUNS (Data Universal Numeric System) number in their application for a new award or renewal of an award. Applications without a DUNS number are incomplete. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, simple, one-time activity. Obtain one by calling 1-866-705-5711 or by applying online at http://www.dunandbradstreet.com. Individuals are exempt from this requirement.

What an Application Must Include

Standard Form 424

Program Narrative (Attachment 1)

The program narrative must respond to the solicitation and the Selection Criteria listed below in the order given. The program narrative must be double-spaced, using a standard 12-point font (Times New Roman is preferred) with 1-inch margins, and must not exceed 10 pages. Please number pages "1 of 10," "2 of 10," etc. At the beginning of the Program Narrative, indicate which category (Category I: Implementation; Category II: Enhancement; or Category III:

Statewide Grants) you are applying for. Submissions that do not adhere to the format may be deemed ineligible.

Budget and Budget Narrative (Attachment 2)

Applicants must provide a budget that is complete and allowable. Applicants must submit a budget worksheet and budget narrative in one file. A fillable budget detail worksheet form is available on OJP's web site at www.ojp.usdoj.gov/Forms/budget_fillable.pdf. Applicants are encouraged to allocate funds to cover travel/costs to attend at least two BJA-sponsored trainings and other drug court trainings.

Letters of Support and Project Timeline (Attachment 3)

Attach *Letters of Support* that outline the partners' responsibilities, and a *Project Timeline* with each project goal, related objective, activity, expected completion date, and responsible person or organization. Courts applying for grant funds should include a letter from the state court administrator or chief justice of the state's highest court describing how the proposed application would expand or enhance the statewide efforts related to problem-solving courts.

Selection Criteria

1. Statement of the Problem

Implementation Applicants: 20 percent out of 100

Describe the nature and scope of the substance abuse problem in the jurisdiction. Include data on race, ethnicity, age, gender, arrest volume, and crime patterns for adult offenders. Explain the problems with the current court response to cases involving substance abuse, and identify how the current number of treatment slots is insufficient to meet the anticipated referrals.

Enhancement Applicants: 25 percent out of 100

Describe the current operation of the adult drug court, addressing program structure; program length; target population; screening and assessment; services delivery plan; judicial supervision; drug testing; case management; incentives and sanctions; treatment and restitution; and program success data. Describe the issue or need that the enhancement grant seeks to address. Provide local data and program impact on the community and evaluation findings to support the requested enhancement.

Statewide Applicants: 20 percent out of 100

Provide an overview of the drug court movement in the state. Identify the number of operational drug courts in the state, including type, capacity, number of individuals served, and success rates. Provide an assessment of whether each drug court has incorporated the 10 key components (<u>Defining Drug Courts: The Key Components</u>). Describe the issue or need that the statewide grant seeks to address. Provide local data and program impact on the community and evaluation findings to support the requested enhancement.

2. Project Design and Implementation Implementation Applicants: 40 percent out of 100

Describe how the project design and strategy will be implemented and based on research and effective practices. Address each of the 10 key components of drug courts and develop a project strategy incorporating these principles (<u>Defining Drug Courts: The Key Components</u>).

Enhancement Applicants: 60 percent out of 100

Describe the enhancement and specify goals and objectives of the project, linking the enhancement to the 10 key components of drug courts (Defining Drug Courts: The Key Components). Identify how one or more of the following enhancements will be accomplished: provide additional services to drug court clients to increase the likelihood of successful rehabilitation; develop training programs to educate justice professionals, treatment providers, and others about the drug court philosophy and its key components; attend training programs with justice professionals, treatment providers, and others regarding the drug court model; conduct process and/or outcome evaluations; or develop and implement an automated drug court data collection system or improve an existing system.

Statewide Applicants: 65 percent out of 100

Describe the specific design, goals, and objectives for the statewide initiative. Provide a project strategy identifying how one or more of the following statewide initiatives will be accomplished: training or technical assistance programs for drug court teams; tracking or compiling state drug court information and resources; disseminating statewide drug court information to enhance or strengthen drug court programs; increasing communication, coordination, and information sharing among drug court programs; conducting a statewide drug court evaluation; and establishing an automated drug court data collection system.

3. Capabilities and Competencies Implementation Applicants Only: 20 percent out of 100

Identify each member of the drug court team and describe their roles and responsibilities. Describe how effective communication and coordination among the team will be implemented throughout the project period. Key drug court team members must include a judge, prosecutor, defense attorney, treatment provider, researcher/evaluator/management information specialist, and drug court coordinator. Attach a letter of support from each key drug court team member, with responsibilities clearly outlined for each member (as Attachment 3).

4. Budget (5 percent out of 100)

Applicants must provide a proposed budget that is complete, allowable, and tied to the proposed activities (as Attachment 2).

5. Outcomes, Evaluation, and Sustainment Implementation Applicants: 15 percent out of 100

Describe the steps the drug court will take to develop a performance management and evaluation plan. The plan should include strategies to collect data, review data, and where appropriate, discuss how the drug court will work with the evaluator. Provide a plan detailing how court operations will be maintained after federal assistance ends and how current collaborations and evaluations will be used to leverage ongoing resources.

Enhancement Applicants: 10 percent out of 100

Provide a plan detailing how performance of court operations will be evaluated and managed. Describe how operation and enhancement efforts will be maintained after federal assistance ends and how current collaborations and evaluations will be used to leverage ongoing resources.

Statewide Applicants: 10 percent out of 100

Provide a plan detailing how performance of court operations will be evaluated and managed. Describe how operation and enhancement efforts will be maintained after federal assistance ends and how current collaborations and evaluations will be used to leverage ongoing resources.

Review Process

All applications will be peer reviewed. The BJA Director will then make award recommendations to the Office of Justice Programs' Assistant Attorney General, who will make final determinations. Note: per 42 U.S.C. 3797u-6, the Attorney General is required to ensure to the extent practicable an equitable geographic distribution of the Drug Court grant awards.

Additional Requirements

- Civil Rights compliance.
- Confidentiality and Human Subjects Protections regulations.
- Anti-Lobbying Act.
- Financial and Government Audit requirements.
- National Environmental Policy Act (NEPA) compliance.
- DOJ Information Technology Standards.
- Single Point of Contact Review.
- Non-Supplanting of State or Local Funds.
- Criminal Penalty for False Statements.
- Compliance with Office of the Comptroller Financial Guide.
- Suspension or Termination of Funding.

We strongly encourage you to review the information pertaining to these additional requirements prior to submitting your application. Additional information for each can be found at www.ojp.usdoj.gov/funding/otherrequirements.htm.