# Public Safety Partnership National Training and Technical Assistance Program FY 2019 Competitive Announcement

April 11, 2019

This solicitation has been updated. The budget review criteria has been revised to include guidance for applications in the Washington, D.C. region.

Thank you for your attention to this change.

OMB No. 1121-0329 Approval Expires 11/30/2020

**U.S. Department of Justice**Office of Justice Programs *Bureau of Justice Assistance* 



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP) <u>Bureau of Justice Assistance</u> (BJA) is seeking applications for the Public Safety Partnership National Training and Technical Assistance Program. This program furthers the Department's mission by leveraging the vast array of existing resources across DOJ components to reduce violence in some of the country's most violent cities. This program supports the provision of training and technical assistance to adjacent jurisdictions surrounding cities selected to participate in the Public Safety Partnership and smaller/rural agencies who have a violent crime problem. The Public Safety Partnership National Training and Technical Assistance Program augments the Project Safe Neighborhoods program, which is focused on reducing violent crime.

# Public Safety Partnership National Training and Technical Assistance Program FY 2019 Competitive Announcement Applications Due: May 7, 2019

#### **Eligibility**

Eligible applicants are limited to any national nonprofit organization, for-profit (commercial) organization (including tribal nonprofit or for-profit organizations), or institution of higher education (including tribal institutions of higher education) that have expertise and experience in managing training and technical assistance for evidence-based law enforcement programs national in scope. In addition, applicants must show the capacity to provide technical expertise in implementing viable research to select law enforcement sites. Applicants must also articulate a plan for empowering sustainability for PSP sites. All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

BJA welcomes applications that involve two or more entities that will carry out the funded federal award activities; however, one eligible entity must be the applicant and the others must be proposed as subrecipients (subgrantees). The applicant must be the entity with primary responsibility for administering the funding and managing the PSP Training and Technical Assistance Program. Only one application per lead applicant will be considered; however, a subrecipient may be part of multiple proposals.

BJA may elect to make awards for applications submitted under this FY 2019 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

<sup>&</sup>lt;sup>1</sup> For additional information on subawards, see "Budget and Associated Documentation" under <u>Section D. Application</u> and <u>Submission Information</u>.

#### **Deadline**

Applicants must register with Grants.gov at <a href="https://www.grants.gov/web/grants/home.html">https://www.grants.gov/web/grants/home.html</a> prior to submitting an application. All applications are due by 11:59 p.m. eastern time on May 7, 2019.

To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date, to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

OJP encourages all applicants to read this Important Notice: Applying for Grants in Grants.gov.

For additional information, see <u>How To Apply</u> in Section D. Application and Submission Information.

#### **Contact Information**

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800–518–4726, 606–545–5035, at <a href="https://www.grants.gov/web/grants/support.html">https://www.grants.gov/web/grants/support.html</a>, or at <a href="mailto:support@grants.gov">support@grants.gov</a>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must email the contact identified below within 24 hours after the application deadline to request approval to submit its application after the deadline. Additional information on reporting technical issues appears under "Experiencing Unforeseen Grants.gov Technical Issues" in the How To Apply section.

For assistance with any unforeseen Grants.gov technical issues beyond an applicant's control that prevent it from submitting its application by the deadline, or any other requirement of this solicitation, contact the National Criminal Justice Reference Service (NCJRS) Response Center, toll-free at 800–851–3420; via TTY at 301–240–6310 (hearing impaired only); by email atgrants@ncjrs.gov; fax at 301–240–5830; or web chat at <a href="https://webcontact.ncjrs.gov/ncjchat/chat.jsp">https://webcontact.ncjrs.gov/ncjchat/chat.jsp</a>. The NCJRS Response Center hours of operation are 10:00 a.m. to 6:00 p.m. eastern time, Monday through Friday, 10:00 a.m. to 8:00 p.m. eastern time on the solicitation close date.

Grants.gov number assigned to this solicitation: BJA-16069

Release date: March 19, 2019

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## Public Safety Partnership National Training and Technical Assistance Program CFDA # 16.738

#### A. Program Description

#### Overview

The National Public Safety Partnership (PSP or the "Partnership"), administered by BJA, is designed to enhance the capacity of state, tribal, and local law enforcement and prosecutors in jurisdictions experiencing a precipitous increase in violent crime to enhance public safety by introducing a new framework of federal/local collaboration. For participating sites, it is a three-year engagement that provides expedited delivery of DOJ resources and coordinated training and technical assistance (TTA) in seven core areas: illegal gun violence, federal partnerships, crime analysis, technology, criminal justice collaboration, investigations, and community engagement.

PSP engages the following DOJ agencies in that effort: Federal Bureau of Investigation (FBI); Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF); Drug Enforcement Administration (DEA); U.S. Marshals Service (USMS); the Executive Office of U.S. Attorneys (EOUSA); United States Attorney's Offices (USAOs); Office of Community Oriented Policing (COPS); Office of Violence Against Women (OVW); and OJP, including BJA. Please visit <a href="https://www.nationalpublicsafetypartnership.org/">https://www.nationalpublicsafetypartnership.org/</a> to learn more about the PSP program.

PSP augments the Project Safe Neighborhoods (PSN) program, which is focused on violent crime. PSP TTA providers must demonstrate a capacity to coordinate proactively with the PSN National TTA providers in order to enhance collaboration, knowledge sharing, and the application of available resources to reduce violent crime.

#### **Statutory Authority**

This program is authorized under the Edward Byrne Memorial Justice Assistance Grant (JAG) Program. The JAG Program (34 U.S.C. §10151-10158) is the primary provider of federal criminal justice funding to state, local, and tribal jurisdictions, and JAG funds support all components of the criminal justice system. The JAG Program authorization also provides that up to 5 percent of the funds available to carry out subpart 1 of Title I, Part E of the Omnibus Crime Control and Safe Streets Act of 1968 ("Omnibus") may be granted, among other reasons, for one or more of the purposes specified in Section 501 of Title I, Part E, Subpart 1 of Omnibus upon a determination that it is necessary "to combat, address, or otherwise respond to precipitous or extraordinary increases in crime, or in a type or types of crime." (34 U.S.C. §10157(b)(1)).

#### **Project-specific Information**

For FY 2019, BJA is seeking two TTA providers who will work collaboratively to develop PSP TTA services and support their delivery to participating cities, which will leverage the TTA to implement solutions to their chronic violent crime problems. One of the providers will work with PSP sites to conduct strategic assessments and to identify TTA needs and respond to TTA requests. The other provider will support PSP infrastructure through the coordination of weekly PSP meetings, maintenance of PSP dashboards, website and online clearinghouse, as well as coordination of PSP symposiums and peer-to-peer exchanges.

Selected providers are expected to provide combined TTA services that include, but are not limited to, coordination of federal resources, training sessions and educational sessions developed and conducted by subject matter experts, onsite or remote guidance on violent crime reduction strategies, peer-to-peer visits, partnerships, strategic planning, crime analysis and research implementation, outreach, accountability and data-driven efforts, and other innovative approaches that empower PSP sites' capacity.

The two TTA categories described above designate two distinct sets of activities intended to result in the provision of targeted customized TTA to existing and prospective cities selected to participate in PSP in the implementation of data- and analysis-driven law enforcement, crime prevention, and applied research strategies. Applicants must clearly designate under which category they are applying. Applicants may submit applications for one or both of the categories listed below; however, if an applicant chooses to apply for funding in both categories, two unique applications must be submitted. Applicants must clearly indicate on the front page of the Program Abstract and Program Narrative the category or categories for which they are applying.

#### **Objectives and Deliverables**

## CATEGORY 1: LOCAL LAW ENFORCEMENT VIOLENT CRIME STRATEGY ENHANCEMENT GRANT ANTICIPATED AMOUNT: \$3,000,000 COMPETITION ID: BJA2019-16070

Any TTA provided under this category must first obtain BJA's prior approval and/or must be provided in response to a BJA-approved request. Additionally, all TTA must be requested from a local agency within the participating PSP site's jurisdiction and may not be solicited or arranged independently by the technical assistance providers. BJA expects to make up to one award in this category.

#### Objective:

Grantees are required to provide customized TTA to PSP sites to help local jurisdictions enhance and/or develop and implement violent crime reduction strategies.

#### Deliverables include:

- Recruit, maintain, and leverage a diverse cadre of Strategic Site Liaisons (SSLs) to work with PSP site(s). An SSL is assigned to each site participating in PSP. SSLs work with their assigned sites for approximately three years, acting as advisors, facilitators, and technical assistance brokers. In addition, SSLs help their sites receive TTA—through federal assistance, subject experts, TTA providers, or peer-to-peer assistance—in a number of areas, such as outreach and collaboration, research on evidence-based practices, data analysis, strategic planning, strategy development, and communication strategies. An SSL candidate must have 10 or more years of law enforcement experience of which at least 7 years were spent in a senior executive position. An SSL candidate must be familiar with violence research and evidence-based practices to reduce violence. The SSL candidate must have extensive knowledge and experience with state, local, and federal law enforcement and justice system operations.
- Provide site-specific technical assistance sessions in PSP focus areas to assist jurisdictions in producing and/or enhancing violent crime strategic plans that, when implemented, will lead to a reduction in the level of violent crime within the jurisdiction. This will include

provision of direct technical assistance and/or SMEs to PSP sites on the implementation of information-sharing technology solutions that enable agencies to effectively identify, assess, and respond to specific violent crime challenges. Depending on community crime dynamics, this may involve targeting gun violence, aggravated assault and robberies, domestic violence, witness intimidation, drug trafficking, or addressing violent offender recidivism and rehabilitation.

- Provide analytical support to SSLs and PSP sites to assist in assessing current local initiatives and resources through the collection and analysis of data and evaluation of local violent crime strategies.
- Facilitate initial on-site listening session and monthly meetings between SSL, PSP site leadership team, and federal law enforcement at the ATF, DEA, FBI, and USMS local field offices to build and strengthen partnerships.
- Assist current and future PSP sites with implementing anti-violence strategies through the
  provision of subject matter experts (SMEs) and other TTA providers to address specific site
  needs, such as gang violence reduction, police collaborations with community distressed,
  high crime neighborhoods, officer safety, technology assessments, victim services,
  corrections, or other services as necessary; to include adjacent jurisdictions.
- Coordinate the initial six month assessment of newly selected PSP sites to aid in the development of the site's strategic plan, with a special emphasis on sustainability planning during the third year.
- Recruit, train, and deploy crime analysts to PSP sites who will provide analytic support and tools that support proactive and coordinated crime prevention and control efforts. Analysts' training should address topics such as crime analysis for crime prevention; strategic problem solving and violence reduction; people-, place-, and people/place-based strategies; social network analysis; critical thinking for crime analysts; and geospatial approaches to crime analysis. Where appropriate, the TTA provider will work with PSP site representative(s) to train local police department crime analysts and/or identify a local university which can support agencies with PSP site crime analysis.
- Conduct data collection, review, and analyze metrics to assist in the selection of cities that
  are experiencing a precipitous increase in crime for possible participation in PSP to include
  smaller/rural areas, including development of comprehensive city profiles.
- Develop case studies on selected PSP sites' experiences and results of PSP TTA/resource delivery model upon completion of three-year engagement, as well as a case study of key leadership lessons learned across various sites.
- Enhance PSP sites' capability to address gun crime, from initial detection and recovery
  through the investigation and processing of both the criminal defendant and the firearms
  evidence, toward a successful prosecution, utilizing Firearms Tracing and Investigative
  Tools for Firearms Identification as well as <a href="National Integrated Ballistics Information Network">National Integrated Ballistics Information Network</a>
  (NIBIN), <a href="e-Trace">e-Trace</a>, and establishment and/or implementation of best practices of crime gun
  intelligence centers.
- Enhance PSP support of local prosecutors through the provision of in-depth assessments of
  prosecutorial offices to include recommendations for best practices related to increased
  efficiency and improved performance and resources that can enhance public safety and
  violence reduction efforts.
- On a quarterly basis, collect and analyze data and report to the PSP dashboard; utilize data
  in conjunction with local anti-violence strategies to determine the most appropriate
  approaches to measure the impact of TTA, in consultation with SSLs and research partners.
- Develop a semi-annual evaluation report on PSP to include both a process and impact evaluation of PSP resource delivery.

 Work collaboratively and share information among the selected TTA providers to ensure projects are planned and implemented in tandem. Coordinate all TTA activities with BJA, to include summaries of TTA events and timely submission of required programmatic and financial status reports.

## CATEGORY 2: PSP LOGISTICAL SUPPORT GRANT ANTICIPATED AMOUNT: \$3,000,000 COMPETITION ID: BJA-2019-16349

Any TTA provided under this category of the solicitation must first obtain BJA's prior approval and/or be provided in response to a BJA-approved request. Additionally, all TTA (with the exception of that provided in conjunction with the summits described below) must be requested by a local agency within the participating PSP site's jurisdiction and may not be solicited or arranged independently by the technical assistance providers. BJA expects to make up to one award in this category.

Grantees are expected to support the infrastructure and logistics of PSP, including online training, networking, and technical assistance; onsite support for strategic planning and networking; peer-to-peer learning; and the development and implementation of three PSP national multi-day symposiums for PSP sites, to include appropriate faculty and SMEs.

#### Deliverables include:

- Develop strategic opportunities for PSP sites to build peer-to-peer support networks to include support of nationwide on-site peer-to-peer learning opportunities, and share promising and best practices with one another and among criminal justice agencies that have a role in preventing and investigating crime within their jurisdiction.
- Develop and deliver content for data- and analysis-driven law enforcement and criminal
  justice training and employ a variety of mechanisms to deliver it, such as online trainings
  and other electronic or distance-learning formats, including podcasts, webinars, etc.
   Examples of technical assistance may include assisting with research design, brainstorming
  on available data sources and/or data analysis challenges, and facilitating partnerships with
  relevant stakeholders.
- Provide logistical support for TTA and SME activities with DOJ program offices (OJP, OVW, COPS) and federal law enforcement agencies (ATF, DEA, FBI, USMS).
- Management of the PSP website and PSP clearinghouse website to include continuous monitoring of the field to identify emerging trends, promising practices, and model programs that address violent crime. Develop reports, publications, on-line toolkits, and other outreach vehicles to relate the research to the PSP sites.
- Management of the PSP law enforcement and USAO dashboards to include the uploading of quarterly local violent crime data and USAO case information.
- Coordinate PSP training and technical assistance events with local USAO and when possible make available to surrounding local jurisdictions.
- Collect, distribute, and maintain site-specific communications, articles of interest, reports, and other relevant information.
- Provide administrative support to PSP to include coordination of PSP conference calls, note taking, distribution of meeting summaries, and preparation of PSP agendas and briefing materials.
- Assist BJA in providing logistics for national symposium(s) convening executive local and federal leadership and key stakeholders that offer customized TTA for current and future PSP sites and adjacent jurisdictions.

- Assist USAO in participating jurisdictions with organizing a local violent crime symposium to highlight PSP site's successes and lessons learned, available DOJ resources, and violence reduction strategies in final year of the program.
- Recruit, maintain, and leverage a cadre of SMEs to assist PSP sites with implementation of violent crime reduction strategies and technical assistance; schedule and organize trainings; market trainings and recruit participants; and manage trainings.
- Create a quarterly PSP newsletter and online annual public report that highlight PSP sites' successes and DOJ resources and TTA.
- Provide data for PSP site quarterly and annual reports. Assist in drafting and editing of PSP reports and publications.
- Work collaboratively and share information among the selected TTA providers to ensure projects are planned and implemented in tandem.
- Coordinate all TTA activities with BJA to include report summaries of TTA events and timely submission of required programmatic and financial status reports.

The TTA provider will be required to participate in BJA's GrantStat and associated processes, by which BJA tracks grantee or program performance along several key indicators. GrantStat calls for the collection and analysis of performance data and other relevant information that enable BJA and TTA partners to be held accountable for the program's performance as measured against its goals and objectives. It also provides BJA a means to coordinate with the TTA partner to identify and respond to grantee needs. The TTA provider will be required to assist grantees in the collection of performance measure data, in collaboration with the local research partners.

Information on PSP resources may be found at https://www.nationalpublicsafetypartnership.org/Clearinghouse.

The Objectives and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed in <u>Section D. Application and Submission Information</u>, under Program Narrative.

#### **Evidence-based Programs or Practices**

OJP strongly emphasizes the use of data and evidence in policy making and program development in criminal justice, juvenile justice, and crime victim services. OJP is committed to:

- Improving the quantity and quality of evidence OJP generates.
- Integrating evidence into program, practice, and policy decisions within OJP and the field.
- Improving the translation of evidence into practice.

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence, generally obtained through one or more outcome evaluations. Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence-based.

The OJP CrimeSolutions.gov website at <a href="https://www.crimesolutions.gov">https://www.crimesolutions.gov</a> is one resource that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

#### Information Regarding Potential Evaluation of Programs and Activities

The Department of Justice has prioritized the use of evidence-based programming and deems it critical to continue to build and expand the evidence informing criminal and juvenile justice programs to reach the highest level of rigor possible. Therefore, applicants should note that the Office of Justice Programs may conduct or support an evaluation of the programs and activities funded under this solicitation. Recipients and sub-recipients will be expected to cooperate with program-related assessments or evaluation efforts, including through the collection and provision of information or data requested by OJP (or its designee) for the assessment or evaluation of any activities and/or outcomes of those activities funded under this solicitation. The information or data requested may be in addition to any other financial or performance data already required under this program.

#### **B. Federal Award Information**

Category 1: Local Law Enforcement Violent Crime Strategy Enhancement: BJA-2019-16070. BJA expects to award one cooperative agreement up to \$3,000,000 for a 36-month project period, beginning on October 1, 2019, to support the enhancement and/or development of local jurisdictions' strategies to address violent crime.

Category 2: PSP Logistical Support: BJA-2019-16349. BJA expects to award one cooperative agreement up to \$3,000,000 for a 36-month project period, beginning on October 1, 2019, to provide logistical support for PSP and plan PSP national symposiums.

BJA may, in certain cases, provide additional funding in future years to awards made under this solicitation, through continuation awards. In making decisions regarding continuation awards, OJP will consider, among other factors, the availability of appropriations, when the program or project was last competed, OJP's strategic priorities, and OJP's assessment of both the management of the award (for example, timeliness and quality of progress reports), and the progress of the work funded under the award.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

#### Type of Award

BJA expects to make any award under this solicitation in the form of a cooperative agreement, which is a type of award that provides for OJP to have substantial involvement in carrying out award activities. See <a href="Administrative">Administrative</a>, <a href="National Policy">National Policy</a>, and <a href="Other Legal Requirements">Other Legal Requirements</a>, under <a href="Section F">Section F</a>. <a href="Federal Award Administration Information">Federal Award Administration Information</a>, for a brief discussion of what may constitute substantial federal involvement.

#### **Financial Management and System of Internal Controls**

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities<sup>2</sup>) must, as described in the Part 200 Uniform Requirements<sup>3</sup> as set out at 2 C.F.R. 200.303:

- (a) Establish and maintain effective internal control over the Federal award that provides reasonable assurance that [the recipient (and any subrecipient)] is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award. These internal controls should be in compliance with guidance in "Standards for Internal Control in the Federal Government" issued by the Comptroller General of the United States and the "Internal Control Integrated Framework", issued by the Committee of Sponsoring Organizations of the Treadway Commission (COSO).
- (b) Comply with Federal statutes, regulations, and the terms and conditions of the Federal awards.
- (c) Evaluate and monitor [the recipient's (and any subrecipient's)] compliance with statutes, regulations, and the terms and conditions of Federal awards.
- (d) Take prompt action when instances of noncompliance are identified including noncompliance identified in audit findings.
- (e) Take reasonable measures to safeguard protected personally identifiable information and other information the Federal awarding agency or pass-through entity designates as sensitive or [the recipient (or any subrecipient)] considers sensitive consistent with applicable Federal, state, local, and tribal laws regarding privacy and obligations of confidentiality.

To help ensure that applicants understand the applicable administrative requirements and cost principles, OJP encourages prospective applicants to enroll, at no charge, in the DOJ Grants Financial Management Online Training, available at <a href="https://onlinegfmt.training.ojp.gov">https://onlinegfmt.training.ojp.gov</a>. (This training is required for all OJP award recipients.)

Also, applicants should be aware that OJP collects information from applicants on their financial management and systems of internal controls (among other information) which is used to make award decisions. Under <u>Section D. Application and Submission Information</u>, applicants may access and review a questionnaire—the <u>OJP Financial Management and System of Internal Controls Questionnaire</u>—that OJP requires <u>all</u> applicants (other than an individual applying in his/her personal capacity) to download, complete, and submit as part of the application.

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<sup>&</sup>lt;sup>2</sup> For purposes of this solicitation, the phrase "pass-through entity" includes any recipient or subrecipient that provides a subaward ("subgrant") to a subrecipient (subgrantee) to carry out part of the funded award or program. Additional information on proposed subawards is listed under What an Application Should Include, Section 4c of this solicitation.

<sup>3</sup> The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200.

#### **Budget Information**

#### **Cost Sharing or Matching Requirement**

This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

For additional information on cost sharing and match, see the DOJ Grants Financial Guide at https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.3b.htm.

#### Pre-agreement Costs (also known as Pre-award Costs)

Pre-agreement costs are costs incurred by the applicant prior to the start date of the period of performance of the federal award.

OJP does **not** typically approve pre-agreement costs; an applicant must request and obtain the prior written approval of OJP for all such costs. All such costs incurred prior to award and prior to approval of the costs are incurred at the sole risk of the applicant. (Generally, no applicant should incur project costs *before* submitting an application requesting federal funding for those costs.) Should there be extenuating circumstances that make it appropriate for OJP to consider approving pre-agreement costs, the applicant may contact the point of contact listed on the title page of this solicitation for the requirements concerning written requests for approval. If approved in advance by OJP, award funds may be used for pre-agreement costs, consistent with the recipient's approved budget and applicable cost principles. See the section on Costs Requiring Prior Approval in the DOJ Grants Financial Guide at <a href="https://oip.gov/financialguide/DOJ/index.htm">https://oip.gov/financialguide/DOJ/index.htm</a> for more information.

#### Limitation on Use of Award Funds for Employee Compensation; Waiver

With respect to any award of more than \$250,000 made under this solicitation, a recipient may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the recipient at a rate that exceeds 110 percent of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year.<sup>4</sup> The 2019 salary table for SES employees is available on the Office of Personnel Management website at <a href="https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/19Tables/exec/html/ES.aspx">https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/19Tables/exec/html/ES.aspx</a>. Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Non-federal funds used for any such additional compensation will not be considered matching funds, where match requirements apply.) If only a portion of an employee's time is charged to an OJP award, the maximum allowable compensation is equal to the percentage of time worked times the maximum salary limitation.

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, this limitation on compensation rates allowable under an award. An applicant that requests a waiver should include a detailed justification in the Budget Narrative of its application. An applicant that does not submit a waiver request and justification with its application should anticipate that OJP will require the applicant to adjust and resubmit the budget.

<sup>&</sup>lt;sup>4</sup> OJP does not apply this limitation on the use of award funds to the nonprofit organizations listed in Appendix VIII to 2 C.F.R. Part 200.

The justification should address, in the context of the work the individual would do under the award, the particular qualifications and expertise of the individual, the uniqueness of a service the individual will provide, the individual's specific knowledge of the proposed program or project, and a statement that explains whether and how the individual's salary under the award would be commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work he/she would do under the award.

#### Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

OJP strongly encourages every applicant that proposes to use award funds for any conference, meeting-, or training-related activity (or similar event) to review carefully—before submitting an application—the OJP and DOJ policy and guidance on approval, planning, and reporting of such events, available at

https://www.ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm. OJP policy and guidance (1) encourage minimization of conference, meeting, and training costs; (2) require prior written approval (which may affect project timelines) of most conference, meeting, and training costs for cooperative agreement recipients, as well as some conference, meeting, and training costs for grant recipients; and (3) set cost limits, which include a general prohibition of all food and beverage costs.

#### **Costs Associated with Language Assistance (if applicable)**

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services, where appropriate.

For additional information, see the "Civil Rights Compliance" section under "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018 Awards" in the OJP Funding Resource Center at <a href="https://ojp.gov/funding/index.htm">https://ojp.gov/funding/index.htm</a>.

#### C. Eligibility Information

For eligibility information, see title page.

For information on cost sharing or match requirements, see <u>Section B. Federal Award</u> Information.

#### **D.** Application and Submission Information

#### What an Application Should Include

This section describes in detail what an application should include. An applicant should anticipate that if it fails to submit an application that contains all of the specified elements, it may negatively affect the review of its application; and, should a decision be made to make an award, it may result in the inclusion of award conditions that preclude the recipient from accessing or using award funds until the recipient satisfies the conditions and OJP makes the funds available.

Moreover, an applicant should anticipate that an application that OJP determines is nonresponsive to the scope of the solicitation, or that OJP determines does not include the application elements that BJA has designated to be critical, will neither proceed to peer review,

nor receive further consideration. For this solicitation, BJA has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet, and Budget Narrative.

<u>NOTE</u>: OJP has combined the Budget Detail Worksheet and Budget Narrative in a single document collectively referred to as the Budget Detail Worksheet. See "Budget Information and Associated Documentation" below for more information about the Budget Detail Worksheet and where it can be accessed.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet," "Timelines," "Memoranda of Understanding," "Résumés") for all attachments. Also, OJP recommends that applicants include résumés in a single file.

Please review the "Note on File Names and File Types" under <u>How To Apply</u> to be sure applications are submitted in permitted formats.

1. Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and the OJP Grants

Management System (GMS) take information from the applicant's profile to populate the
fields on this form. When selecting "type of applicant," if the applicant is a for-profit entity,
select "For-Profit Organization" or "Small Business" (as applicable).

To avoid processing delays, an applicant must include an accurate legal name on its SF-424. On the SF-424, current OJP award recipients, when completing the field for "Legal Name" (box 8a), should use the same legal name that appears on the prior year award document (which is also the legal name stored in OJP's financial system.) Also, current recipients should enter the Employer Identification Number (EIN) in box 8b exactly as it appears on the prior year award document. An applicant with a current, active award(s) must ensure that its GMS profile is current. If the profile is not current, the applicant should submit a Grant Adjustment Notice updating the information on its GMS profile prior to applying under this solicitation.

A new applicant entity should enter its official legal name in box 8a, its address in box 8d, its EIN in box 8b, and its Data Universal Numbering System (DUNS) number in box 8c of the SF-424. A new applicant entity should attach official legal documents to its application (e.g., articles of incorporation, 501(c)(3) status documentation, organizational letterhead) to confirm the legal name, address, and EIN entered into the SF-424. OJP will use the System for Award Management (SAM) to confirm the legal name and DUNS number entered in the SF-424; therefore, an applicant should ensure that the information entered in the SF-424 matches its current registration in SAM. See the <a href="How To Apply">How To Apply</a> section for more information on SAM and DUNS numbers.

**Intergovernmental Review:** This solicitation ("funding opportunity") is not subject to Executive Order 12372. (In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372.")

#### 2. Project Abstract

Applications should include a high-quality project abstract that summarizes the proposed project in 400 words or fewer. Project abstracts should be:

- Written for a general public audience.
- Submitted as a separate attachment with "Project Abstract" as part of its file name.
- Single-spaced, using a standard 12-point font (such as Times New Roman) with 1-inch margins.

As a separate attachment, the project abstract will **not** count against the page limit for the program narrative.

#### 3. Program Narrative

The program narrative must respond to the solicitation (see Project-specific Information on pages 4-8) and the Review Criteria (see pages 28-30) in the order given. The Program Narrative should be double-spaced, using a standard 12-point font (Times New Roman is preferred) with 1-inch margins, and should not exceed 12 pages. Number pages "1 of 12," "2 of 12," etc. Charts and graphs may be included in the narrative, and may be single-spaced and feature smaller fonts as necessary to present the included data.

If the Program Narrative fails to comply with these length-related restrictions, BJA may consider such noncompliance in peer review and in final award decisions.

The following sections should be included as part of the Program Narrative<sup>5</sup>:

- a. Statement of the Problem
- b. Project Design and Implementation
- c. Capabilities and Competencies
- d. Plan for Collecting the Data Required for this Solicitation's Performance Measures

OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award (see "General Information about Post-Federal Award Reporting Requirements" in Section F. Federal Award Administration Information). The performance data directly relate to the objectives and deliverables identified under "Objectives and Deliverables" in <a href="Section A. Program Description">Section A. Program Description</a>. Applicants should visit OJP's performance measurement page at <a href="www.ojp.gov/performance">www.ojp.gov/performance</a> for an overview of performance measurement activities at OJP.

Post award, grant recipients will be required to submit performance metric data semi-annually through BJA's online Training and Technical Assistance Reporting Portal. More information on the reporting requirements can be found at: <a href="https://www.bjatraining.org/working-with-nttac/providers">https://www.bjatraining.org/working-with-nttac/providers</a>.

Please note that applicants are not required to submit performance data with the application. Performance measures information is included as an alert that successful applicants will be

<sup>&</sup>lt;sup>5</sup> For information on subawards (including the details on proposed subawards that should be included in the application), see "Budget and Associated Documentation" under <u>Section D. Application and Submission Information</u>.

required to submit performance data as part of the reporting requirements under an award. The application should demonstrate the applicant's understanding of the performance data reporting requirements for this grant program and detail how the applicant will gather the required data should it receive funding.

Performance measures for this solicitation are listed in <u>Appendix B: Performance Measures</u> Table.

e. Plan for Measuring Program Success to Inform Sustainment

#### **Note on Project Evaluations**

An applicant that proposes to use award funds through this solicitation to conduct project evaluations should be aware that certain project evaluations (such as systematic investigations designed to develop or contribute to generalizable knowledge) may constitute "research" for purposes of applicable DOJ human subjects protection regulations. However, project evaluations that are intended only to generate internal improvements to a program or service, or are conducted only to meet OJP's performance measure data reporting requirements, likely do not constitute "research." Each applicant should provide sufficient information for OJP to determine whether the particular project it proposes would either intentionally or unintentionally collect and/or use information in such a way that it meets the DOJ definition of research that appears at 28 C.F.R. Part 46 ("Protection of Human Subjects").

"Research," for purposes of human subjects protection for OJP-funded programs, is defined as "a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge." 28 C.F.R. 46.102(d).

For additional information on determining whether a proposed activity would constitute research for purposes of human subjects protection, applicants should consult the decision tree in the "Research and the protection of human subjects" section of the "Requirements related to Research" webpage of the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018 Awards," available through the OJP Funding Resource Center at <a href="https://ojp.gov/funding/index.htm">https://ojp.gov/funding/index.htm</a>.

Every prospective applicant whose application may propose a research or statistical component also should review the "Data Privacy and Confidentiality Requirements" section on that webpage.

#### 4. Budget and Associated Documentation

The Budget Detail Worksheet and the Budget Narrative are now combined in a single document collectively referred to as the Budget Detail Worksheet. The Budget Detail Worksheet is a user-friendly, fillable, Microsoft Excel-based document designed to calculate totals. Additionally, the Excel workbook contains worksheets for multiple budget years that can be completed as necessary. All applicants should use the Excel version when completing the proposed budget in an application, except in cases where the applicant does not have access to Microsoft Excel or experiences technical difficulties. If an applicant does not have access to Microsoft Excel or experiences technical difficulties with the Excel version, then the applicant should use the 508-compliant accessible Adobe Portable Document Format (PDF) version.

Both versions of the Budget Detail Worksheet can be accessed at <a href="https://ojp.gov/funding/Apply/Forms/BudgetDetailWorksheet.htm">https://ojp.gov/funding/Apply/Forms/BudgetDetailWorksheet.htm</a>.

#### a. Budget Detail Worksheet

The Budget Detail Worksheet should provide the detailed computation for each budget line item, listing the total cost of each and showing how it was calculated by the applicant. For example, costs for personnel should show the annual salary rate and the percentage of time devoted to the project for each employee paid with grant funds. The Budget Detail Worksheet should present a complete itemization of all proposed costs.

For questions pertaining to budget and examples of allowable and unallowable costs, see the DOJ Grants Financial Guide at https://ojp.gov/financialguide/DOJ/index.htm.

#### b. Budget Narrative

The budget narrative should thoroughly and clearly describe **every** category of expense listed in the Budget Detail Worksheet. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

An applicant should demonstrate in its budget narrative how it will maximize cost effectiveness of award expenditures. Budget narratives should generally describe cost effectiveness in relation to potential alternatives and the objectives of the project. For example, a budget narrative should detail why planned in-person meetings are necessary, or how technology and collaboration with outside organizations could be used to reduce costs, without compromising quality.

The budget narrative should be mathematically sound and correspond clearly with the information and figures provided in the Budget Detail Worksheet. The narrative should explain how the applicant estimated and calculated **all** costs, and how those costs are necessary to the completion of the proposed project. The narrative may include tables for clarification purposes, but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the Budget Narrative should describe costs by year.

## c. Information on Proposed Subawards (if any) and on Proposed Procurement Contracts (if any)

Applicants for OJP awards typically may propose to make *subawards*. Applicants also may propose to enter into procurement *contracts* under the award.

Whether an action—for federal grants administrative purposes—is a subaward or procurement contract is a critical distinction as significantly different rules apply to subawards and procurement contracts. If a recipient enters into an agreement that is a subaward of an OJP award, specific rules apply—many of which are set by federal statutes and DOJ regulations; others by award conditions. These rules place particular responsibilities on an OJP recipient for any subawards the OJP recipient may make. The rules determine much of what the written subaward agreement itself must require or provide. The rules also determine much of what an OJP recipient must do both before and after it makes a subaward. If a recipient enters into an agreement that is a procurement contract under an OJP award, a substantially different set of federal rules applies.

OJP has developed the following guidance documents to help clarify the differences between subawards and procurement contracts under an OJP award and outline the compliance and reporting requirements for each. This information can be accessed online at <a href="https://ojp.gov/training/training.htm">https://ojp.gov/training/training.htm</a>.

- <u>Subawards under OJP Awards and Procurement Contracts under Awards: A</u> Toolkit for OJP Recipients.
- Checklist to Determine Subrecipient or Contractor Classification.
- Sole Source Justification Fact Sheet and Sole Source Review Checklist.

In general, the central question is the relationship between what the third-party will do under its agreement with the recipient and what the recipient has committed (to OJP) to do under its award to further a public purpose (e.g., services the recipient will provide, products it will develop or modify, research or evaluation it will conduct). If a third party will provide some of the services the recipient has committed (to OJP) to provide, will develop or modify all or part of a product the recipient has committed (to OJP) to develop or modify, or will conduct part of the research or evaluation the recipient has committed (to OJP) to conduct, OJP will consider the agreement with the third party a subaward for purposes of federal grants administrative requirements.

This will be true **even if** the recipient, for internal or other non-federal purposes, labels or treats its agreement as a procurement, a contract, or a procurement contract. Neither the title nor the structure of an agreement determines whether the agreement—for purposes of federal grants administrative requirements—is a *subaward* or is instead a procurement *contract* under an award. The substance of the relationship should be given greater consideration than the form of agreement between the recipient and the outside entity.

#### 1. Information on proposed subawards

A recipient of an OJP award may not make subawards ("subgrants") unless the recipient has specific federal authorization to do so. Unless an applicable statute or DOJ regulation specifically authorizes (or requires) subawards, a recipient must have authorization from OJP before it may make a subaward.

A particular subaward may be authorized by OJP because the recipient included a sufficiently-detailed description and justification of the proposed subaward in the Program Narrative, Budget Detail Worksheet, and Budget Narrative as approved by OJP. If, however, a particular subaward is not authorized by federal statute or regulation, and is not approved by OJP, the recipient will be required, post-award, to request and obtain written authorization from OJP before it may make the subaward.

If an applicant proposes to make one or more subawards to carry out the federal award and program, the applicant should: (1) identify (if known) the proposed subrecipient(s), (2) describe in detail what each subrecipient will do to carry out the federal award and federal program, and (3) provide a justification for the subaward(s), with details on pertinent matters such as special qualifications and areas of expertise. Pertinent information on subawards should appear not only in the Program Narrative, but also in the Budget Detail Worksheet and Budget Narrative.

## 2. Information on proposed procurement contracts (with specific justification for proposed noncompetitive contracts over \$250,000)

Unlike a recipient contemplating a subaward, a recipient of an OJP award generally does not need specific prior federal authorization to enter into an agreement that—for purposes of federal grants administrative requirements—is considered a procurement

contract, **provided that** (1) the recipient uses its own documented procurement procedures and (2) those procedures conform to applicable federal law, including the Procurement Standards of the (DOJ) Part 200 Uniform Requirements (as set out at 2 C.F.R. 200.317 - 200.326). The Budget Detail Worksheet and Budget Narrative should identify proposed procurement contracts. (As discussed above, subawards must be identified and described separately from procurement contracts.)

The Procurement Standards in the Part 200 Uniform Requirements, however, reflect a general expectation that agreements that (for purposes of federal grants administrative requirements) constitute procurement "contracts" under awards will be entered into on the basis of full and open competition. All noncompetitive (sole source) procurement contracts must meet the OJP requirements outlined at <a href="https://ojp.gov/training/subawards-procurement.htm">https://ojp.gov/training/subawards-procurement.htm</a>. If a proposed procurement contract would exceed the Simplified Acquisition Threshold—currently, \$250,000—a recipient of an OJP award may not proceed without competition unless and until the recipient receives specific advance authorization from OJP to use a non-competitive approach for the procurement. An applicant that (at the time of its application) intends—without competition—to enter into a procurement contract that would exceed \$250,000 should include a detailed justification that explains to OJP why, in the particular circumstances, it is appropriate to proceed without competition.

If the applicant receives an award, sole source procurements that do not exceed the Simplified Acquisition Threshold (currently \$250,000) must have written justification for the noncompetitive procurement action maintained in the procurement file. If a procurement file does not have the documentation that meets the criteria outlined in 2 C.F.R. 200, the procurement expenditures may not be allowable. Sole source procurement over the \$250,000 Simplified Acquisition Threshold must have prior approval from OJP using a Sole Source Grant Adjustment Notice (GAN). Written documentation justifying the noncompetitive procurement must be submitted with the GAN and maintained in the procurement file.

#### d. Pre-Agreement Costs

For information on pre-agreement costs, see Section B. Federal Award Information.

#### 5. Indirect Cost Rate Agreement (if applicable)

Indirect costs may be charged to an award only if:

- (a) The recipient has a current (unexpired), federally approved indirect cost rate; or
- (b) The recipient is eligible to use, and elects to use, the "de minimis" indirect cost rate described in the Part 200 Uniform Requirements, as set out at 2 C.F.R. 200.414(f).

An applicant with a current (unexpired) federally approved indirect cost rate is to attach a copy of the indirect cost rate agreement to the application. An applicant that does not have a current federally approved rate may request one through its cognizant federal agency, which will review all documentation and approve a rate for the applicant entity, or, if the applicant's accounting system permits, applicants may propose to allocate costs in the direct cost categories.

For assistance with identifying the appropriate cognizant federal agency for indirect costs, please contact the Office of the Chief Financial Officer (OCFO) Customer Service Center at 1–800–458–0786 or at ask.ocfo@usdoj.gov. If DOJ is the cognizant federal agency,

applicants may obtain information needed to submit an indirect cost rate proposal at <a href="https://www.ojp.gov/funding/Apply/Resources/IndirectCosts.pdf">https://www.ojp.gov/funding/Apply/Resources/IndirectCosts.pdf</a>.

Certain OJP recipients have the option of electing to use the "de minimis" indirect cost rate. An applicant that is eligible to use the "de minimis" rate that wishes to use the "de minimis" rate should attach written documentation to the application that advises OJP of both—(1) the applicant's eligibility to use the "de minimis" rate, and (2) its election to do so. If an eligible applicant elects the "de minimis" rate, costs must be consistently charged as either indirect or direct costs, but may not be double charged or inconsistently charged as both. The "de minimis" rate may no longer be used once an approved federally negotiated indirect cost rate is in place. (No entity that ever has had a federally approved negotiated indirect cost rate is eligible to use the "de minimis" rate.) For the "de minimis" rate requirements (including information on eligibility to elect to use the rate), see the Part 200 Uniform Requirements, at 2 C.F.R. 200.414(f).

#### 6. Tribal Authorizing Resolution (if applicable)

A tribe, tribal organization, or third party that proposes to provide direct services or assistance to residents on tribal lands should include in its application a resolution, letter, affidavit, or other documentation, as appropriate, that demonstrates (as a legal matter) that the applicant has the requisite authorization from the tribe(s) to implement the proposed project on tribal lands. In those instances when an organization or consortium of tribes applies for an award on behalf of a tribe or multiple specific tribes, the application should include appropriate legal documentation, as described above, from all tribes that would receive services or assistance under the award. A consortium of tribes for which existing consortium bylaws allow action without support from all tribes in the consortium (i.e., without an authorizing resolution or comparable legal documentation from each tribal governing body) may submit, instead, a copy of its consortium bylaws with the application.

## 7. Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high risk status)

Every OJP applicant (other than an individual applying in his or her personal capacity) is required to download, complete, and submit the OJP Financial Management and System of Internal Controls Questionnaire (Questionnaire) at <a href="https://ojp.gov/funding/Apply/Resources/FinancialCapability.pdf">https://ojp.gov/funding/Apply/Resources/FinancialCapability.pdf</a> as part of its application. The Questionnaire helps OJP assess the financial management and internal control systems, and the associated potential risks of an applicant as part of the pre-award risk assessment process.

The Questionnaire should only be completed by financial staff most familiar with the applicant's systems, policies, and procedures in order to ensure that the correct responses are recorded and submitted to OJP. The responses on the Questionnaire directly impact the pre-award risk assessment and should accurately reflect the applicant's financial management and internal control system at the time of the application. The pre-award risk assessment is only one of multiple factors and criteria used in determining funding. However, a pre-award risk assessment that indicates that an applicant poses a higher risk to OJP may affect the funding decision and/or result in additional reporting requirements, monitoring, special conditions, withholding of award funds, or other additional award requirements.

Among other things, the form requires each applicant to disclose whether it currently is designated "high risk" by a federal grant-making agency outside of DOJ. For purposes of

this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the applicant's past performance, or other programmatic or financial concerns with the applicant. If an applicant is designated high risk by another federal awarding agency, the applicant must provide the following information:

- The federal awarding agency that currently designates the applicant high risk.
- The date the applicant was designated high risk.
- The high risk point of contact at that federal awarding agency (name, phone number, and email address).
- The reasons for the high risk status, as set out by the federal awarding agency.

OJP seeks this information to help ensure appropriate federal oversight of OJP awards. An applicant that is considered "high risk" by another federal awarding agency is not automatically disqualified from receiving an OJP award. OJP may, however, consider the information in award decisions, and may impose additional OJP oversight of any award under this solicitation (including through the conditions that accompany the award document).

#### 8. Disclosure of Lobbying Activities

Each applicant must complete and submit this information. An applicant that expends any funds for lobbying activities is to provide all of the information requested on the form Disclosure of Lobbying Activities (SF-LLL) posted at <a href="https://oip.gov/funding/Apply/Resources/Disclosure.pdf">https://oip.gov/funding/Apply/Resources/Disclosure.pdf</a>. An applicant that does not expend any funds for lobbying activities is to enter "N/A" in the text boxes for item 10 ("a. Name and Address of Lobbying Registrant" and "b. Individuals Performing Services").

#### 9. Additional Attachments

#### a. Timeline

Attach a project timeline that includes each project objective, activity, expected completion date, and responsible person or organization.

#### b. Résumés

Attach the résumés of key project staff and the identified research partner(s), where applicable.

#### c. Applicant Disclosure of Proposed Subrecipients

Attach a list of proposed subrecipients of grant funding, if applicable, that includes the name, organizational affiliation, and location of the proposed subrecipient entity.

#### d. Applicant Disclosure of Pending Applications

Each applicant is to disclose whether it has (or is proposed as a subrecipient under) any pending applications for federally funded grants or cooperative agreements that (1) include requests for funding to support the same project being proposed in the application under this solicitation, **and** (2) would cover any identical cost items outlined in the budget submitted to OJP as part of the application under this solicitation. The applicant is to disclose applications made directly to federal awarding agencies, and also applications for subawards of federal funds (e.g., applications to state agencies that will subaward ("subgrant") federal funds).

OJP seeks this information to help avoid inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Each applicant that has one or more pending applications as described above is to provide the following information about pending applications submitted within the last 12 months:

- The federal or state funding agency
- The solicitation name/project name
- The point of contact information at the applicable federal or state funding agency

Federal or State Funding Agency	Solicitation Name/Project Name	Name/Phone/Email for Point of Contact at Federal or State Funding Agency
DOJ/Office of Community Oriented Policing Services (COPS Office)	COPS Hiring Program	Jane Doe, 202/000-0000; jane.doe@usdoj.gov
Health and Human Services/Substance Abuse and Mental Health Services Administration	Drug-Free Communities Mentoring Program/ North County Youth Mentoring Program	John Doe, 202/000-0000; john.doe@hhs.gov

Each applicant should include the table as a separate attachment to its application. The file should be named "Disclosure of Pending Applications." The applicant's Legal Name on the application must match the entity named on the disclosure of pending applications statement.

Any applicant that does not have any pending applications as described above is to submit, as a separate attachment, a statement to this effect: "[Applicant Name on SF-424] does not have (and is not proposed as a subrecipient under) any pending applications submitted within the last 12 months for federally funded grants or cooperative agreements (or for subawards under federal grants or cooperative agreements) that request funding to support the same project being proposed in this application to OJP and that would cover any identical cost items outlined in the budget submitted as part of this application."

e. Applicant Disclosure and Justification – DOJ High Risk Grantees<sup>6</sup> (if applicable)
An applicant that is designated as a DOJ High Risk Grantee is to submit in GMS, as a
separate attachment to its application, information that OJP will use, among other
pertinent information, to determine whether it will consider or select the application for an
award under this solicitation. The file should be named "DOJ High Risk Grantee"

<sup>&</sup>lt;sup>6</sup> A "DOJ High Risk Grantee" is a recipient that has received a DOJ High-Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

Applicant Disclosure and Justification." (See, also, "Review Process," below, under Section E. Application Review Information, for a brief discussion of how such information may considered in the application review process.)

OJP constantly seeks to optimize its investments in criminal- and juvenile justice-focused programs and activities, increase program effectiveness, and maximize the return – and program impact – from limited programmatic resources. Therefore, OJP may remove from consideration or not select for award a "DOJ High Risk Grantee" applicant that is determined to pose a substantial risk of program implementation failure. In making such determinations, OJP will consider one or more of the following factors: the applicant's lack of sufficient progress in addressing required corrective actions necessary for removal of the DOJ High Risk Grantee designation; the nature and severity of the issues leading to or accompanying the applicant's DOJ High Risk Grantee designation; or the applicant's expected ability to manage grant funds and achieve grant goals and objectives.

In this attachment, the applicant is to provide any additional information or justification – especially with regard to corrective actions yet to be implemented (as of the application date) – that may help demonstrate how the applicant has addressed or otherwise mitigated such uncorrected matters, such that any negative impact on the proposed program and its implementation would be immaterial or would be significantly reduced or eliminated. (To the extent that the applicant believes that any of the information provided pursuant to this disclosure may be confidential in nature, the applicant should specifically identify it.)

#### f. Research and Evaluation Independence and Integrity

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant must demonstrate independence and integrity regarding both this proposed research and/or evaluation, and any current or prior related projects.

Each application should include an attachment that addresses both i. and ii. below:

- i. For purposes of this solicitation, each applicant is to document research and evaluation independence and integrity by including one of the following two items:
  - a. A specific assurance that the applicant has reviewed its application to identify any actual or potential apparent conflicts of interest (including through review of pertinent information on the principal investigator, any co-principal investigators, and any subrecipients), and that the applicant has identified no such conflicts of interest—whether personal or financial or organizational (including on the part of the applicant entity or on the part of staff, investigators, or subrecipients)—that could affect the independence or integrity of the research, including the design, conduct, and reporting of the research.

- b. A specific description of actual or potential apparent conflicts of interest that the applicant has identified—including through review of pertinent information on the principal investigator, any co-principal investigators, and any subrecipients—that could affect the independence or integrity of the research, including the design, conduct, or reporting of the research. These conflicts may be personal (e.g., on the part of investigators or other staff), financial, or organizational (related to the applicant or any subrecipient entity). Some examples of potential investigator (or other personal) conflict situations are those in which an investigator would be in a position to evaluate a spouse's work product (actual conflict), or an investigator would be in a position to evaluate the work of a former or current colleague (potential apparent conflict). With regard to potential organizational conflicts of interest, as one example, generally an organization would not be given an award to evaluate a project, if that organization had itself provided substantial prior technical assistance to that specific project or a location implementing the project (whether funded by OJP or other sources), because the organization in such an instance might appear to be evaluating the effectiveness of its own prior work. The key is whether a reasonable person understanding all of the facts would be able to have confidence that the results of any research or evaluation project are objective and reliable. Any outside personal or financial interest that casts doubt on that objectivity and reliability of an evaluation or research product is a problem and must be disclosed.
- ii. In addition, for purposes of this solicitation, each applicant is to address possible mitigation of research integrity concerns by including, at a minimum, one of the following two items:
  - a. If an applicant reasonably believes that no actual or potential apparent conflicts of interest (personal, financial, or organizational) exist, then the applicant should provide a brief narrative explanation of how and why it reached that conclusion. The applicant also is to include an explanation of the specific processes and procedures that the applicant has in place, or will put in place, to identify and prevent (or, at the very least, mitigate) any such conflicts of interest pertinent to the funded project during the period of performance. Documentation that may be helpful in this regard may include organizational codes of ethics/conduct and policies regarding organizational, personal, and financial conflicts of interest. There is no guarantee that the plan, if any, will be accepted as proposed.

OR

b. If the applicant has identified actual or potential apparent conflicts of interest (personal, financial, or organizational) that could affect the independence and integrity of the research, including the design, conduct, or reporting of the research, the applicant is to provide a specific and robust mitigation plan to address each of those conflicts. At a minimum, the applicant is expected to explain the specific processes and procedures that the applicant has in place, or will put in place, to identify and eliminate (or, at the very least, mitigate) any such conflicts of interest pertinent to the funded project during the period of performance. Documentation that may be helpful in this regard may include organizational codes of ethics/conduct and policies regarding

organizational, personal, and financial conflicts of interest. There is no guarantee that the plan, if any, will be accepted as proposed.

OJP will assess research and evaluation independence and integrity based on considerations such as the adequacy of the applicant's efforts to identify factors that could affect the objectivity or integrity of the proposed staff and/or the applicant entity (and any subrecipients) in carrying out the research, development, or evaluation activity; and the adequacy of the applicant's existing or proposed remedies to control any such factors.

#### **How To Apply**

Applicants must register in and submit applications through <u>Grants.gov</u>, a primary source to find federal funding opportunities and apply for funding. Find complete instructions on how to register and submit an application at <a href="https://www.grants.gov/web/grants/support.html">https://www.grants.gov/web/grants/support.html</a>. Applicants that experience technical difficulties during this process should call the Grants.gov Customer Support Hotline at 800–518–4726 or 606–545–5035, which operates 24 hours a day, 7 days a week, except on federal holidays.

Registering with Grants.gov is a one-time process; however, **processing delays may occur**, **and it can take several weeks** for first-time registrants to receive confirmation of registration and a user password. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to submit applications at least 72 hours prior to the application due date, in order to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

OJP strongly encourages all prospective applicants to sign up for Grants.gov email notifications regarding this solicitation at <a href="https://www.grants.gov/web/grants/manage-subscriptions.html">https://www.grants.gov/web/grants/manage-subscriptions.html</a>. If this solicitation is cancelled or modified, individuals who sign up with Grants.gov for updates will be automatically notified.

**Browser Information:** Grants.gov was built to be compatible with Internet Explorer. For technical assistance with Google Chrome, or another browser, contact Grants.gov Customer Support.

**Note on Attachments:** Grants.gov has two categories of files for attachments: "mandatory" and "optional." OJP receives all files attached in both categories. Attachments are also labeled to describe the file being attached (e.g., Project Narrative, Budget Narrative, Other). Please ensure that all required documents are attached in the correct Grants.gov category and are labeled correctly. Do not embed "mandatory" attachments within another file.

An applicant must use the **Add Attachment** button to attach a file to its application. Do not click the paperclip icon to attach files. This action will not attach the files to the application. After adding an attachment, select the **View Attachment** button to confirm you attached the correct file. To remove the file, select the **Delete Attachment** button.

An application can be checked for errors via the **Check Application** button on the **Forms** tab of the **Manage Workspace** page. The button is active if the set of forms in the workspace matches those required in the application package. If you receive a **Cross-Form Errors** message after clicking the **Check Application** button, refer to the Cross-Form Errors help article for more detailed information about this validation error.

**Note on File Names and File Types:** Grants.gov <u>only</u> permits the use of <u>certain specific</u> characters in the file names of attachments. Valid file names may include <u>only</u> the characters shown in the table below. Grants.gov rejects any application that includes an attachment(s) with a file name that contains <u>any</u> characters not shown in the table below. Grants.gov forwards successfully submitted applications to the OJP Grants Management System (GMS).

Characters		
Upper case (A – Z)		
Lower case (a – z)		
Underscore ()		
Hyphen ( - )		
Space		
Period (.)		

Special Characters		
Parenthesis ()	Curly braces { }	Square brackets []
Ampersand (&)*	Tilde (~)	Exclamation point (!)
Comma (,)	Semicolon (;)	Apostrophe ( ' )
At sign (@)	Number sign (#)	Dollar sign (\$)
Percent sign (%)	Plus sign (+)	Equal sign (=)

<sup>\*</sup>When using the ampersand (&) in XML, applicants must use the "&" format.

**GMS** does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip." GMS may reject applications with files that use these extensions. It is important to allow time to change the type of file(s) if the application is rejected.

All applicants are required to complete the following steps:

Unique Entity Identifier (DUNS Number) and System for Award Management (SAM)

Every applicant entity must comply with all applicable SAM and unique entity identifier (currently, a DUNS number) requirements. SAM is the repository for certain standard information about federal financial assistance applicants, recipients, and subrecipients. A DUNS number is a unique nine-digit identification number provided by the commercial company Dun and Bradstreet. More detailed information about SAM and the DUNS number is in the numbered sections below.

If an applicant entity has not fully complied with the applicable SAM and unique identifier requirements by the time OJP makes award decisions, OJP may determine that the applicant is not qualified to receive an award and may use that determination as a basis for making the award to a different applicant.

#### **Registration and Submission Steps**

 Acquire a unique entity identifier (currently, a DUNS number). The Office of Management and Budget requires every applicant for a federal award (other than an individual) to include a "unique entity identifier" in each application, including an application for a supplemental award. Currently, a DUNS number is the required unique entity identifier.

This unique entity identifier is used for tracking purposes, and to validate address and point of contact information for applicants, recipients, and subrecipients. It will be used throughout the life cycle of an OJP award. Obtaining a DUNS number is a free, one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a DUNS number or apply online at <a href="https://www.dnb.com/">https://www.dnb.com/</a>. A DUNS number is usually received within 2 business days.

2. Acquire or maintain registration with SAM. Any applicant for an OJP award creating a new entity registration (or updating or renewing a registration) in SAM.gov must submit an original, signed notarized letter appointing the authorized Entity Administrator within thirty (30) days of the registration activation. Notarized letters must be submitted via U.S. Postal Service Mail. Read the Alert at <a href="maintain-gov/SAM/">sam.gov/SAM/</a> to learn more about what is required in the notarized letter, and read the Frequently Asked Questions (FAQs) at <a href="www.gsa.gov/samupdate">www.gsa.gov/samupdate</a> to learn more about this process change. All applicants for OJP awards (other than individuals) must maintain current registrations in the SAM database. Applicants will need the authorizing official of the organization and an Employer Identification Number (EIN). Information about SAM registration procedures can be accessed at <a href="maintain-gov/SAM/">sam.gov/SAM/</a>.

An application cannot be successfully submitted in Grants.gov until Grants.gov receives the SAM registration information. Once the SAM registration/renewal is complete, **the information transfer from SAM to Grants.gov can take as long as 48 hours.** OJP recommends that the applicant register or renew registration with SAM as early as possible.

- 3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. An applicant entity's "unique entity identifier" (DUNS number) must be used to complete this step. For more information about the registration process for organizations and other entities, go to <a href="https://www.grants.gov/web/grants/applicants/organization-registration.html">https://www.grants.gov/web/grants/applicants/organization-registration.html</a>.
- 4. Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. The E-Biz POC will need the Marketing Partner Identification Number (MPIN) password obtained when registering with SAM to complete this step. Note that an organization can have more than one AOR.
- **5. Search for the funding opportunity on Grants.gov.** Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.738, titled *"Edward Byrne Memorial Justice Assistance Grant Program,"* and the funding opportunity number is BJA-2019-16069.
- **6. Select the correct Competition ID.** Some OJP solicitations posted to Grants.gov contain multiple purpose areas, denoted by the individual Competition ID. Select the appropriate Competition ID for the intended purpose area of the application:

Category 1: Local Law Enforcement Violent Crime Strategy Enhancement. **Competition ID: BJA-2019-16070.** 

Category 2: PSP Logistical Support. Competition ID: BJA-2019-16349.

7. Access Funding Opportunity and Application Package from Grants.gov. Select "Apply for Grants" under the "Applicants" column. Enter your email address to be notified of any changes to the opportunity package before the closing date. Click the Workspace icon to use Grants.gov Workspace.

8. Submit a valid application consistent with this solicitation by following the directions in Grants.gov. To preview the application prior to (or after) submitting, go to the View Application tab in Workspace. For additional information, review the View Application Tab help article and Attachments Tab help article. Within 24–48 hours after submitting the electronic application, the applicant should receive two notifications from Grants.gov. The first will confirm the receipt of the application. The second will state whether the application has been validated and successfully submitted, or whether it has been rejected due to errors, with an explanation. It is possible to first receive a message indicating that the application is received, and then receive a rejection notice a few minutes or hours later. Submitting an application well ahead of the deadline provides time to correct the problem(s) that caused the rejection. Important: OJP urges each applicant to submit its application at least 72 hours prior to the application due date, to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification. Applications must be successfully submitted through Grants.gov by 11:59 p.m. eastern time on May 7, 2019.

Go to <a href="https://www.grants.gov/web/grants/applicants/organization-registration.html">https://www.grants.gov/web/grants/applicants/organization-registration.html</a> for further details on DUNS numbers, SAM, and Grants.gov registration steps and timeframes.

#### **Note: Application Versions**

If an applicant submits multiple versions of the same application, OJP will review <u>only</u> the most recent system-validated version submitted.

#### **Experiencing Unforeseen Grants.gov Technical Issues**

An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must contact the Grants.gov Customer Support Hotline at <a href="https://www.grants.gov/web/grants/support.html">https://www.grants.gov/web/grants/support.html</a> or the SAM Help Desk (Federal Service Desk) at <a href="https://www.fsd.gov/fsd-gov/home.do">https://www.fsd.gov/fsd-gov/home.do</a> to report the technical issue and receive a tracking number. The applicant must email the contact identified in the Contact Information section on the title page within 24 hours after the application deadline to request approval to submit its application after the deadline. The applicant's email must describe the technical difficulties, and must include a timeline of the applicant's submission efforts, the complete grant application, the applicant's DUNS number, and any Grants.gov Help Desk or SAM tracking number(s).

**Note: OJP does not automatically approve requests to submit a late application.** After OJP reviews the applicant's request, and contacts the Grants.gov or SAM Help Desks to verify the reported technical issues, OJP will inform the applicant whether the request to submit a late application has been approved or denied. If OJP determines that the untimely application submission was due to the applicant's failure to follow all required procedures, OJP will deny the applicant's request to submit its application.

The following conditions generally are insufficient to justify late submissions:

- Failure to register in SAM or Grants.gov in sufficient time (SAM registration and renewal
  can take as long as 10 business days to complete. The information transfer from SAM to
  Grants.gov can take up to 48 hours.)
- Failure to follow Grants.gov instructions on how to register and apply as posted on its
- Failure to follow each instruction in the OJP solicitation.

• Technical issues with the applicant's computer or information technology environment, such as issues with firewalls or browser incompatibility.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP Funding Resource Center at <a href="https://ojp.gov/funding/index.htm">https://ojp.gov/funding/index.htm</a>.

#### **E. Application Review Information**

#### **Review Criteria**

Applications that meet basic minimum requirements will be evaluated by peer reviewers using the following review criteria:

#### 1. Statement of the Problem (10 percent)

- Provide evidence of a thorough understanding of the need for gathering and transferring knowledge, best practices, and information to state and local law enforcement and criminal justice professionals, as well as to communities, within a specified jurisdiction, in order to support the goals of PSP.
- Identify existing gaps in current provision of TTA, and how they impact innovation and adoption of evidence-based practices by law enforcement agencies.

#### 2. Project Design and Implementation (40 percent)

- Describe the objectives and expected deliverables resulting from the provision of TTA to existing and future PSP sites; address how TTA will be tailored to address the range of violent crime challenges, technologies, and capacities involved in providing a diverse portfolio of law enforcement initiatives.
- Link project objectives to measurable outcomes consistent with the goals of violence reduction, capacity building, improved information sharing, and the delivery of quality police services.
- Describe how the TTA will be based on evidence-based practices or data.
- Specifically describe how TTA needs for individual PSP sites will be identified and assessed, establish tailored TTA plans for each site, deliver those services, and track and report outcomes.
- Describe how information and lessons learned will be shared with the public via web resources, publications, and events.
- Include a comprehensive timeline that identifies milestones, numerically lists deliverables, and identifies who is responsible for each activity.
- As applicable, applicants must demonstrate their intent to coordinate with United States Attorney Offices and their PSN teams.

#### 3. Capabilities and Competencies (35 percent)

- Describe the applicant organization's ability to provide national, proactive, comprehensive, user-friendly TTA by developing protocols for the delivery, tracking, assessment, and follow-up of TTA services.
- Provide an overview of the competencies of the staff assigned to develop and deliver all of the information, instruction, and technical assistance specifically required under each category.
- Describe the relevant expertise of the applicant, and any subject matter experts, as well as examples of assistance to PSP sites (if any) in implementing datadriven assessment and planning processes.

- Describe the organization's expertise in delivering and implementing TTA on evidence-based crime reduction strategies; technology-driven information sharing; evaluating crime prevention interventions; and in serving as a resource on evidence-based practices to the law enforcement community.
- Describe prior experience working with federal law enforcement agencies and/or United States Attorneys to support jurisdictions' violent crime strategies.
- Describe experience recruiting former law enforcement executives who have extensive experience and exposure to law enforcement and criminal justice leaders, to work with police departments as advisors to promote effective interactions with U.S. Attorneys, mayors, police chiefs, district attorneys/prosecutors, and other criminal justice system leaders.
- Provide examples of the organization's experience in using TTA strategies that
  include developing tools and resources, using distance learning, peer-to-peer
  consultations, and onsite and offsite technical assistance. List the consultants or
  partners with whom the organization plans to work to deliver TTA services. For
  each consultant or partner include a letter of support.
- Describe the management structure and outline the organization's ability to conduct the individual activities through the staff's experience, and to partner with individuals and other organizations with the expertise to enhance the staff's experience in developing and providing TTA. Include position descriptions for key positions.

#### 4. Plan for Measuring Program Success to Inform Sustainability (5 percent)

- Discuss how executive support for PSP sites at individual agencies will be strategically cultivated.
- Describe how each PSP site's impact will be measured and communicated to key stakeholders to increase sustainability of successful strategies.
- Describe how evaluation and collaborative partnerships at PSP sites will be leveraged to build long-term support, as well as to garner resources to sustain the strategies when the PSP engagement ends.

## 5. Plan for Collecting and Analyzing the Data Required for this Solicitation's Performance Measures (5 percent)

- Describe the process for measuring project performance, including meeting timelines and deliverables, and obtaining input and feedback from customers and stakeholders.
- Identify who will collect the data, who is responsible for performance measurement, how the data will be stored, how any personally identifiable information will be protected, and how the information will be used to guide the program.

#### 6. Budget (5 percent)

Provide a proposed budget and budget narrative that are complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should demonstrate generally how applicants will maximize cost effectiveness of expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the objectives of the project.<sup>7</sup>

<sup>&</sup>lt;sup>7</sup> Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost.

For applicants in the Washington, DC region, as part of the coordination and planning of TTA grant activities between BJA and the grantee, BJA may request a key project staff person to spend up to 24 hours weekly during the duration of the award on site at BJA, though BJA will consider shorter periods of time where the applicant makes the case that they will still satisfactorily accomplish the goals of the solicitation. BJA will not cover the cost of residency or travel to Washington, DC for the purpose of meeting this on site coordination and planning obligation.

#### **Review Process**

OJP is committed to ensuring a fair and open process for making awards. BJA reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. For purposes of assessing whether an application meets basic minimum requirements and should proceed to further consideration, OJP screens applications for compliance with those requirements. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).
- The application must be responsive to the scope of the solicitation.
- The application must include all items designated as "critical elements."

For a list of the critical elements for this solicitation, see "What an Application Should Include" under Section D. Application and Submission Information.

Peer review panels will evaluate, score, and rate applications that meet basic minimum requirements. BJA may use internal peer reviewers, external peer reviewers, or a combination, to assess applications on technical merit using the solicitation's review criteria. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. An external peer reviewer is an expert in the subject matter of a given solicitation who is not a current DOJ employee. Peer reviewers' ratings and any resulting recommendations are advisory only, although reviewer views are considered carefully. Other important considerations for BJA include geographic diversity, strategic priorities, and available funding, as well as the extent to which the Budget Detail Worksheet and Budget Narrative accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, [FAPIIS]).

#### Important note on FAPIIS

An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

The evaluation of risks goes beyond information in SAM, however. OJP itself has in place a framework for evaluating risks posed by applicants for competitive awards. OJP takes into account information pertinent to matters such as:

- 1. Applicant financial stability and fiscal integrity.
- Quality of the applicant's management systems, and the applicant's ability to meet prescribed management standards, including those outlined in the DOJ Grants Financial Guide.
- 3. Applicant's history of performance under OJP and other DOJ awards (including compliance with reporting requirements and award conditions), as well as awards from other federal agencies.
- 4. Reports and findings from audits of the applicant, including audits under the Part 200 Uniform Requirements.
- 5. Applicant's ability to comply with statutory and regulatory requirements, and to effectively implement other award requirements.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may take into account not only peer review ratings and BJA recommendations, but also other factors as indicated in this section.

#### F. Federal Award Administration Information

#### **Federal Award Notices**

Award notifications will be made by September 30, 2019. OJP sends award notifications by email through GMS to the individuals listed in the application as the point of contact and the authorizing official (E-Biz POC and AOR). The email notification includes detailed instructions on how to access and view the award documents, and steps to take in GMS to start the award acceptance process. GMS automatically issues the notifications at 9:00 p.m. eastern time on the award date.

For each successful applicant, an individual with the necessary authority to bind the applicant will be required to log in; execute a set of legal certifications and a set of legal assurances; designate a financial point of contact; thoroughly review the award, including all award conditions; and sign and accept the award. The award acceptance process requires a physical signature on the award document by the authorized representative. The fully executed award document must then be scanned and submitted to OJP.

#### Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions, as well as all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance).

OJP strongly encourages prospective applicants to review information on post-award legal requirements and common OJP award conditions **prior** to submitting an application.

Applicants should consult the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018 Awards," available in the OJP Funding Resource Center at <a href="https://ojp.gov/funding/index.htm">https://ojp.gov/funding/index.htm</a>. In addition, applicants should examine the following two legal documents, as each successful applicant must execute both documents before it may receive any award funds. (An applicant is not required to submit these documents as part of an application.)

- <u>Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility</u>
   <u>Matters; and Drug-Free Workplace Requirements</u>
- Certified Standard Assurances

The webpages accessible through the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018 Awards" are intended to give applicants for OJP awards a general overview of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants and cooperative agreements awarded in FY 2019. Individual OJP awards typically also will include additional award conditions. Those additional conditions may relate to the particular statute, program, or solicitation under which the award is made; to the substance of the funded application; to the recipient's performance under other federal awards; to the recipient's legal status (e.g., as a for-profit entity); or to other pertinent considerations.

As stated above, BJA expects that it will make any award under this solicitation in the form of a cooperative agreement. Cooperative agreements include a condition in the award document that sets out the nature of the "substantial federal involvement" in carrying out the award and program. Generally stated, under OJP cooperative agreement awards, responsibility for the day-to-day conduct of the funded project rests with the recipient. OJP, however, may have substantial involvement in matters such as substantive coordination of technical efforts and site selection, as well as review and approval of project work plans, research designs, data collection instruments, and major project-generated materials. In addition, OJP often indicates in the award terms and conditions that it may redirect the project if necessary.

In addition to an award condition that sets out the nature of the anticipated "substantial federal involvement" in the award, cooperative agreements awarded by OJP include an award condition that requires specific reporting in connection with conferences, meetings, retreats, seminars, symposia, training activities, or similar events funded under the award.

Awards under this solicitation will include a condition (the specific terms of which will govern the award) related to verification of employment eligibility. The condition will, generally speaking, require the recipient (and any subrecipient) that accepts the award to verify the employment eligibility of any individual hired under the award, consonant with 8 U.S.C. § 1324a(a)(1).

Awards under this solicitation will include a condition (the specific terms of which will govern the award) related to competition requirements set forth at 2 C.F.R. § 200.319. The condition will, generally speaking, prohibit recipients (and any subrecipients) from procuring goods and services with award funds by means of any competition that disadvantages or excludes vendors

on the basis of their having (or their having had) a prior or existing contractual relationship with the federal government.

#### **General Information about Post-federal Award Reporting Requirements**

In addition to the deliverables described in <u>Section A. Program Description</u>, any recipient of an award under this solicitation will be required to submit the following reports and data.

Required reports. Recipients typically must submit quarterly financial reports, semi-annual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

Awards that exceed \$500,000 will include an additional condition that, under specific circumstances, will require the recipient to report (to FAPIIS) information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either the OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Additional information on this reporting requirement appears in the text of the award condition posted on the OJP webpage at <a href="https://ojp.gov/funding/FAPIIS.htm">https://ojp.gov/funding/FAPIIS.htm</a>.

<u>Data on performance measures.</u> In addition to required reports, each award recipient also must provide data that measure the results of the work done under the award. To demonstrate program progress and success, as well as to assist DOJ in fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103–62, and the GPRA Modernization Act of 2010, Public Law 111–352, OJP will require any award recipient, post award, to provide performance data as part of regular progress reporting. Successful applicants will be required to access OJP's performance measurement page at <a href="www.ojp.gov/performance">www.ojp.gov/performance</a> for an overview of performance measurement activities at OJP. Performance measures for this program are also listed as <a href="Appendix B.">Appendix B.</a>

#### G. Federal Awarding Agency Contact(s)

For OJP contact(s), see the title page.

For contact information for Grants.gov, see the title page.

#### H. Other Information

#### Freedom of Information Act and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

All applications submitted to OJP (including all attachments to applications) are subject to the federal Freedom of Information Act (FOIA) and to the Privacy Act. By law, DOJ may withhold information that is responsive to a request pursuant to FOIA if DOJ determines that the responsive information either is protected under the Privacy Act or falls within the scope of one of nine statutory exemptions under FOIA. DOJ cannot agree in advance of a request pursuant to FOIA not to release some or all portions of an application.

In its review of records that are responsive to a FOIA request, OJP will withhold information in those records that plainly falls within the scope of the Privacy Act or one of the statutory exemptions under FOIA. (Some examples include certain types of information in budgets, and names and contact information for project staff other than certain key personnel.) In appropriate

circumstances, OJP will request the views of the applicant/recipient that submitted a responsive document.

For example, if OJP receives a request pursuant to FOIA for an application submitted by a nonprofit or for-profit organization or an institution of higher education, or for an application that involves research, OJP typically will contact the applicant/recipient that submitted the application and ask it to identify—quite precisely—any particular information in the application that the applicant/recipient believes falls under a FOIA exemption, the specific exemption it believes applies, and why. After considering the submission by the applicant/recipient, OJP makes an independent assessment regarding withholding information. OJP generally follows a similar process for requests pursuant to FOIA for applications that may contain law-enforcement sensitive information.

#### Provide Feedback to OJP

To assist OJP in improving its application and award processes, OJP encourages applicants to provide feedback on this solicitation, the application submission process, and/or the application review process. Provide feedback to <a href="https://oxport.org/linearing-nc/4">OJPSolicitationFeedback@usdoj.gov</a>.

**IMPORTANT:** This email is for feedback and suggestions only. OJP does **not** reply from this mailbox to messages it receives in this mailbox. Any prospective applicant that has specific questions on any program or technical aspect of the solicitation **must** use the appropriate telephone number or email listed on the front of this document to obtain information. These contacts are provided to help ensure that prospective applicants can directly reach an individual who can address specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, please email your résumé to <a href="mailto:ojpprsupport@usdoj.gov">ojpprsupport@usdoj.gov</a>. (Do not send your résumé to the OJP Solicitation Feedback email account.) **Note:** Neither you nor anyone else from your organization or entity can be a peer reviewer in a competition in which you or your organization/entity has submitted an application.

## Appendix A: Application Checklist Public Safety Partnership National Technical Assistance and Training Program

This application checklist has been created as an aid in developing an application.

#### What an Applicant Should Do:

Prior to Registering in Grants.gov:	
Acquire a DUNS Number	(see page 25)
Acquire or renew registration with SAM	(see page 26)
To Register with Grants.gov:	,
Acquire AOR and Grants.gov username/password	(see page 26)
Acquire AOR confirmation from the E-Biz POC	(see page 26)
To Find Funding Opportunity:	, , , , , ,
Search for the Funding Opportunity on Grants.gov	(see page 26)
Select the correct Competition ID	(see page 26)
Access Funding Opportunity and Application Package	(see page 26)
Sign up for Grants.gov email <u>notifications</u> (optional)	(see page 25)
Read Important Notice: Applying for Grants in Grants.gov	, , , , , ,
Read OJP policy and guidance on conference approval, planning, and re	porting
available at ojp.gov/financialguide/DOJ/PostawardRequirements/chapter	<u>3.10a.htm</u>
	(see page 12)
After Application Submission, Receive Grants.gov Email Notifications That:	
(1) application has been received,	
(2) application has either been successfully validated or rejected with error	ors
	(see page 27)
If No Grants.gov Receipt, and Validation or Error Notifications are Received:	
contact NCJRS regarding experiencing technical difficulties	
	(see page 2)
Overview of Post-Award Legal Requirements:	
Review the "Overview of Legal Requirements Generally Applicable to OJ	
Cooperative Agreements - FY 2018 Awards" in the OJP Funding Resource Cent	er at
https://ojp.gov/funding/index.htm.	
Como Doguiromanti	
Scope Requirement:	
The federal amount requested is within the allowable limit of \$1,500,000	
The readian amount requested is within the anomable limit of ψ1,000,000	
Eligibility Requirement: Eligible applicants are limited to any national nonprofi	t organization,

for-profit (commercial) organization (including tribal nonprofit or for-profit organizations), or institution of higher learning (including tribal institutions of higher education) that have expertise and experience in managing training and technical assistance for evidence-based criminal justice programs. In addition, the applicant must show the capacity to provide technical expertise in implementing action research partnerships between criminal justice researchers and practitioners. For-profit organizations must agree to waive any profit or fees for services.

#### What an Application Should Include:

Application for Federal Assistance (SF-424)	(see page 13)
Project Abstract	(see page 14)
Program Narrative	(see page 14)
Budget Detail Worksheet	(see page 16)
Budget Narrative	(see page 16)
Indirect Cost Rate Agreement (if applicable)	(see page 18)
Tribal Authorizing Resolution (if applicable)	(see page 19)
Financial Management and System of Internal Controls Questi	ionnaire
	(see page 19)
Disclosure of Lobbying Activities (SF-LLL)	(see page 20)
Additional Attachments	
Project Timeline	(see page 20)
Résumés of Key Personnel	(see page 20)
Applicant Disclosure of Pending Applications	(see page 20)
Applicant Disclosure and Justification – DOJ High Risk	Grantees (if applicable)
	(see page 21)
Research and Evaluation Independence and Integrity ( Request and Justification for Employee Compensation; Waive	
Nequest and Justinication for Employee Compensation, waive	` '.' '
	(see page 11)

### **Appendix B: Performance Measures Table**

Objectives	Performance Measure	Data Grantee Provides
Objective 1: Provision of training to increase the knowledge of law enforcement and criminal justice personnel regarding violent crime reduction strategies; through in-person training and the delivery of onsite	Number of trainings conducted	Number of trainings (by type):  In-person Web-based CD/DVD Peer-to-peer Workshop
assistance.	Number of participants who attended the training  Percentage of participants who successfully completed the training  Percentage of participants who rated the training as satisfactory or better  Percentage of participants trained who subsequently demonstrated performance improvement  Percentage of scholarship recipients surveyed who reported that the training provided information that could be utilized in their job	Number of individuals who:  Attended the training (inperson) or started the training (web-based)  Completed the training  Completed an evaluation at the conclusion of the training  Completed an evaluation and rated the training as satisfactory or better  Completed the post-test with an improved score over their pre-test  Number of individuals who:  Received a scholarship  Completed the training  Completed a survey at the conclusion of the training  Reported the training provided information that could be utilized in their job
	Number of curricula developed Number of curricula that were pilot tested Percentage of curricula that were revised after pilot testing	Number of training curricula:

Objectives	Performance Measure	Data Grantee Provides
Objective 2: Provision of technical assistance to PSP sites to implement violent crime reduction strategies.	Percentage of requesting agencies that rated services as satisfactory or better	<ul> <li>Number of onsite visits completed</li> <li>Number of reports submitted to requesting agencies after onsite visits</li> <li>Number of requesting agencies that completed an evaluation of services</li> <li>Number of agencies that rated the services as satisfactory or better (in terms of timeliness and quality)</li> <li>Number of follow-ups with</li> </ul>
	Percentage of requesting agencies that were planning to implement one or more recommendations	requesting agencies completed 6 months after onsite visit  Number of agencies that were planning to implement at least one or more recommendations 6 months after the onsite visit
	Percentage of peer visitors who reported that the visit to the other agency was useful in providing information on policies or practices  Percentage of peer visitors who were planning to implement one or more policies or practices 6 months after they were observed at the visited site	<ul> <li>Number of peer-to-peer visits completed</li> <li>Number of peer visitors who completed an evaluation</li> <li>Number of peer visitors who reported that the visit was useful in providing information on policies or practices</li> <li>Number of follow-ups with the requesting peer visitor completed 6 months after the peer-to-peer visit</li> <li>Number of peer visitors who were planning to implement at least one or more recommendations 6 months after the onsite visit</li> </ul>
	Percentage of requesting agencies of other onsite services that rated the services provided as satisfactory or better	<ul> <li>Number of other onsite services provided</li> <li>Number of requesting agencies that completed an evaluation of other onsite services</li> <li>Number of agencies that rated the services as satisfactory or better</li> </ul>

Objectives	Performance Measure	Data Grantee Provides
Objective 3: Increase knowledge of PSP participating jurisdictions and the criminal justice community through the development and dissemination of educational materials.	Number of conferences or advisory/focus groups held  Percentage of advisory/focus groups evaluated as satisfactory or better	<ul> <li>Number of conferences or advisory/focus groups held</li> <li>Number of conference or advisory/focus group attendees who completed an evaluation</li> <li>Number of conference or advisory/focus group attendees who rated the advisory/focus group as satisfactory or better</li> </ul>
	Number of publications developed  Number of publications disseminated	<ul> <li>Number of publications/resources developed</li> <li>Number of publications/resources disseminated</li> </ul>
	Percentage of websites developed and maintained Percentage increase in the number of visits to websites	<ul> <li>Number of websites developed</li> <li>Number of websites maintained</li> <li>Number of visits to websites during the current reporting period</li> <li>Number of visits to websites during the previous reporting period</li> </ul>
	Percentage of information requests responded to	<ul> <li>Number of information requests</li> <li>Number of information requests responded to</li> </ul>