U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

BJA FY 21 Smart Policing Initiative

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Application JustGrants Deadline:	July 23, 2021 11:59 PM

Overview

The U.S. Department of Justice (DOJ), Office of Justice Programs (OJP), Bureau of Justice Assistance (BJA) is seeking applications for funding to support innovative and evidence-based policing practices, more effective information sharing, and multiagency collaboration under the Smart Policing Initiative (SPI, formerly Strategies for Policing Innovation) Program. This program furthers the Department's mission by assisting state, local, and tribal jurisdictions in reducing crime and improving the functioning of the criminal justice system.

This solicitation incorporates the OJP Grant Application Resource Guide by reference. The OJP Grant Application Resource Guide provides guidance to applicants for the preparation and submission to OJP of applications for funding. **If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.**

Solicitation Categories

Competition ID	Category *	Number of Awards	Dollar Amount for Award	Performance Start Date	Performance Duration (Months)
C-BJA-2021-00118-PROD	1	7	$500,000.00	10/1/21 12:00 AM	36
C-BJA-2021-00119-PROD	2	7	$500,000.00	10/1/21 12:00 AM	36

Eligible Applicants:
Other

Other

See Eligibility Section

BJA will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). For additional information on subawards, see the OJP Grant Application Resource Guide.

BJA may elect to fund applications submitted under this FY 2021 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

Contact Information

For technical assistance with submitting the **SF-424 and SF- LLL** in Grants.gov, contact the Grants.gov Customer Support Hotline at 800–518–4726, 606–545–5035, at Grants.gov customer support webpage, or email at support@grants.gov. The Grants.gov Support

Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

For technical assistance with submitting the **full application** in DOJ's Justice Grants System (JustGrants), contact the JustGrants Service Desk at 833–872–5175 or JustGrants.Support@usdoj.gov. The JustGrants Service Desk operates 5 a.m. to 9 p.m. eastern time, Monday–Friday, and 9 a.m. to 5 p.m. Saturday, Sunday, and federal holidays.

An applicant that experiences unforeseen Grants.gov or JustGrants technical issues beyond its control that prevent it from submitting its application by the deadline must email the National Criminal Justice Reference Service Response Center (Response Center) at grants@ncjrs.gov **within 24 hours after the application deadline** to request approval to submit its application after the deadline.

For assistance with any other requirements of this solicitation, contact the Response Center by telephone at 800–851–3420 or TTY: 301–240–6310 (hearing impaired only) or by email at grants@ncjrs.gov. Response Center hours of operation are 10 a.m. to 6 p.m. eastern time Monday through Friday, and 10 a.m. to 8 p.m. eastern time on the solicitation close date.

Submission Information

In FY 2021, applications will be submitted to DOJ in a **NEW** two-step process.

Step 1: Applicants will submit an **SF-424 and an SF-LLL** in Grants.gov at https://www.grants.gov/web/grants/register.html. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal.

Step 2: Applicants will submit the **full application** including attachments in JustGrants at JustGrants.usdoj.gov.

To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

OJP encourages applicants to review, the "How to Apply" section in the OJP Grant Application Resource Guide.

Contents

Program Description

Overview

The Department of Justice is committed to advancing work that promotes civil rights, increases access to justice, supports crime victims, protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

The Smart Policing Initiative (SPI, formerly Strategies for Policing Innovation) provides funding to enable police agencies to effectively use evidence-based practices, data, and technology. Recipients of funding under the FY 2021 SPI Program will establish police-researcher partnerships; test promising crime prevention, response, and reduction practices; improve police accountability and build their capacity to analyze and use crime intelligence and data; implement and test justice information-sharing technology; and evaluate their effectiveness. For this solicitation, "justice information-sharing technology" refers to any technology (hardware and/or software, hosted residentially or remotely) that plays a role in the collection, storage, sharing, and analysis of criminal justice data.

Statutory Authority

Awards under this solicitation are made under statutory authority provided by Pub. L. No. 116-260, 134 Stat 1182, 1257.

Specific Information

The nation's current policing environment is prompting law enforcement agencies across the country to reconsider the tactics and strategies they use to respond to pressing and chronic public safety issues. Concurrently, many law enforcement agencies are confronting increases in crime and violence within their jurisdictions, and are doing so with limited resources due to the economic and personnel constraints imposed by the COVID-19 pandemic. To successfully confront these challenges, jurisdictions require problem-solving approaches that are data-driven, evidence-based, effective, and efficient. Promising approaches — which are defined as having the potential to reduce crime, increase case closure rates and agency efficiency, and improve relationships with communities served — must be evaluated and the results shared with the law enforcement community to increase the field's understanding and use of evidence-based crime reduction methods. The goal of SPI is to identify, support, and test these promising tactics, practices, and strategies, as well as explore new, unique solutions to public safety problems. SPI documents the resulting empirically tested best practices and lessons learned, and widely disseminates them to the policing community to encourage the adoption of innovative and effective policing strategies nationwide.

Through SPI, BJA provides resources, training, and technical assistance to enable police agencies to identify and define their most pressing crime problems and institute lasting cultural and organizational changes that foster reliance on and effective use of evidence-based practices, data, and technology to address those problems. **In 2021, applicants to SPI are required to enlist a specific individual or team of individuals to serve as the law enforcement agency's research partner to inform and evaluate their proposed intervention,** as well as use the [SARA (Scanning, Analysis, Response, and Assessment) model](#) to identify and analyze their selected law enforcement challenge and formulate their response. Since 2009, BJA has awarded SPI grants to 73 law enforcement agencies throughout the United States under national competitive solicitations. These agencies were selected to create a portfolio that is diverse in terms of organizational size, as well as the type of crime problems or agency challenges they proposed to address. Examples of previous SPI project foci and outcomes are available at http://www.smart-policing.com/.

SPI-funded agencies are expected to engage members of their communities as allies in reducing violence in communities and supporting survivors of violence. Under SPI, police agencies are strongly encouraged to establish innovative and effective working relationships with citizens and community leaders to gain support for their proposed policing initiatives. Agencies are also expected to leverage data from other entities — such as corrections, parole, probation, prosecution, public health, and social service agencies — to inform their investigative activities and public safety strategy. Should an SPI project's evaluation measure that a given intervention or strategy is effective, BJA expects the SPI-supported law enforcement agency to sustain those successful practices and approaches after the federal support of the SPI project ends.

The involvement of a research partner is indispensable to a successful SPI project, and it is important that law enforcement agencies consider the following when choosing partner candidates or organizations for the position. Deviation from these specifications will require justification before an SPI grant award is made. Applicants are expected to devote at least 20 percent of the project budget to support the evaluation component of their initiative.

Research partners should have:

- Policy, program, action research, or organization evaluation experience, preferably in policing or in the justice system.

- Previous experience working with police agencies and/or organizations.

- Experience in several different data collection methodologies, and in both quantitative and qualitative research methods. It is preferable that they have several years of evaluation research experience and experience with oral and written presentations of research results.

- Extensive knowledge of evaluation methods.

SPI grantees are required to work closely with BJA and BJA's training and technical assistance (TTA) partner, specifically, to participate in information-sharing sessions, facilitate peer-to-peer exchanges of information, access subject expertise that is relevant to specific SPI projects, and produce reports on lessons learned from the SPI community.

Goals, Objectives, Deliverables, and Timeline

Goals, Objectives, Deliverables

Goals

- Advance the state of policing practice, accountability, and science.

- Foster effective and consistent collaborations to increase public safety.

- Enable the policing field to use technology, intelligence, and data in innovative ways.

Objectives

- Test, establish, and/or expand specific and innovative new ideas, technology, and/or evidence-based programming in each SPI-funded police agency.
- Establish sustainable practitioner-researcher partnerships between SPI-funded agencies and criminal justice program evaluation experts to increase the agencies' data analytic capacity.

Deliverables

- An action plan produced at the outset of the project that includes the project's problem analysis, a summary of strategies, and the intended outcomes. (See the Budget Information section under Federal Award Information for more detail.)
- A final analysis report of the project's implementation produced in collaboration with the initiative's research partner, and outcomes submitted to BJA at the conclusion of the project.

The Goals, Objectives, and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed in the Application and Submission Information section, under the Program Narrative section of this solicitation.

Please note that BJA may prioritize award funding to support the development of real-time crime centers in communities with high violent and gun crime rates.

CATEGORY 1: SUPPORTING INNOVATION. COMPETITION ID: BJA-2021-00118

Applications are solicited from eligible entities interested in developing innovative, data-driven approaches to challenges currently confronting law enforcement agencies. Applicants will**:**

- Describe the **innovative**, **data-driven** approach to be implemented.
- Enlist a qualified research partner to evaluate the effectiveness of the approach. As defined in this solicitation, the research partner can be an independent consultant or located in an academic institution, a state Statistical Analysis Center, or a research organization. The research partner should have demonstrated expertise conducting the type of work proposed.
- Identify a specific policing issue to address.
- Develop a prevention/mitigation/response strategy to address the problem.
- Evaluate the initiative.
    - Assess and report the results to BJA, who will make the results publicly available.

Applicants are strongly encouraged to focus on issues likely to be confronted by other law enforcement agencies in the United States. Areas of particular interest to BJA include, but are not limited to, initiatives that:

- Reduce identified disparities in a police agency's delivery of services and support to historically underserved communities, to include those that seek to improve effective police-community dialogue, partnerships and trust building.
- Partner mental health and substance use disorder experts, social workers, and disability advocates with police departments to increase officer and community members' safety through effective de-escalation of interactions with people in crisis.
- Test new operational approaches to crisis response, including mass casualty events, public safety issues associated with pandemics and natural disasters, and/or protest events that support public safety, maintain civil rights of community members, and reduce the likelihood of violence. Increase an agency's ability to effectively investigate fatal and nonfatal shootings/aggravated assaults that are driving factors in a community's violent crime issues, and support the victims and witnesses of these crimes.

CATEGORY 2: RESPONDING TO CRIME THROUGH APPLIED TECHNOLOGIES, CRIME ANALYSIS, AND/OR IMPROVED INFORMATION SHARING. COMPETITION ID: BJA-2021-00119

Applications are solicited from eligible entities to implement and test applied information-sharing technology designed to respond to crime issues and improve data usage and exchange within and among criminal justice agencies, public agency sectors (criminal justice, health, social services), and/or between two or more jurisdictions. Applicants should define their unique challenges and the associated impact on local communities, and provide a comprehensive plan for using applied information-sharing technology to reduce or mitigate the selected crime problem within a constitutionally sound implementation framework.

Specifically, applicants must:

- Describe the information sharing, crime analysis, or technology approach/solution to be implemented.

- Enlist a qualified research partner to evaluate the effectiveness of the approach or solution.

- Identify a specific policing issue to address.

- Develop a prevention/mitigation/response strategy to address the problem using the analytical or technological approach/solution.

- Evaluate the initiative.

- Assess and report the results to BJA, who will make the results publicly available.

Applicants are strongly encouraged to focus on information-sharing, crime analysis, or applied technology initiatives that will provide information to benefit other law enforcement agencies in the United States. Cost benefit analysis of these approaches is encouraged, and applicants should describe their plan to share information about the new technology and approaches with members of affected communities in the jurisdiction to ensure transparency and community trust in the initiative. Areas of particular interest to BJA include, but are not limited to, initiatives that:

- Test the adoption and adaptation of social network and geospatial analysis approaches and technologies to reduce violent crime and promote effective and meaningful community policing activities.
- Adopt and test information sharing, crime analysis, or technology to support the implementation of Community Violence Intervention Initiative (CVI) strategies. For more information on CVI initiatives, please see page 9 of this solicitation.
- Adopt and test the Cardiff Violence Prevention Model, and evaluate its implementation and effects regarding police operations and violent crime hot-spot identification. The Cardiff Violence Prevention Model is designed to better identify violent crime hot spots through the creation of working partnerships between law enforcement agencies and hospitals, public health, and community organizations to more effectively target violence prevention efforts. For additional guidance related to law enforcement's adoption of the Cardiff Violence Prevention Model, please see the following link provided by the U.S. Centers of Disease Control: Law Enforcement Guidance, Violence Prevention, Injury Center, CDC.
- Support the development of real-time crime centers (RTCCs) in communities with high rates of violence and gun crime, and develop and test problem-solving interventions using the RTCC technology.
- Test and expand the use of the Violent Crime Apprehension Program (ViCAP) by state and local law enforcement agencies as an information-sharing mechanism to identify, investigate, and apprehend violent serial offenders.
- Test the development, implementation, and outcomes of web-based dashboards or online portals meant to increase agency transparency, and through which the public can access information related to: crime statistics, agency personnel, standard operating procedures, disciplinary actions, assistance programs, and other information to assist communities in addressing crime and building rapport with the law enforcement agency.

Please note that the goal of Category 2 is to support innovative applications of technology, information sharing, and analysis to increase public safety. Any proposed purchase of new equipment must be directly related to the applicant's problem-solving strategy to respond to and reduce the identified crime(s) or policing challenge. It is BJA's expectation that the justice information-sharing solutions implemented under Category 2 will leverage the components of the Global Standards Package (GSP), which includes the Global Reference Architecture, the National Information Exchange Model, Global Federated Identity and Privilege Management, and the Global Privacy Technology Framework. For more information about GSP, visit https://it.ojp.gov/gsp.

Finally, it is BJA's expectation that the justice information-sharing solutions implemented under Category 2 will be based on comprehensive privacy policies, where applicable. For more information on privacy guidance available from BJA, visit https://bja.ojp.gov/library/publications/list and search for "privacy."

Evidence-Based Programs or Practices
OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the OJP Grant Application Resource Guide.

Information Regarding Potential Evaluation of Programs and Activities
OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information, see the OJP Grant Application Resource Guide section entitled "Information Regarding Potential Evaluation of Programs and Activities."

OJP Priority Areas
The Department of Justice is committed to advancing work that promotes civil rights, increases access to justice, supports crime victims, protects the public from crime and evolving threats, and builds trust between law enforcement and the community. In FY 2021, OJP will give priority consideration in award decisions to applications that demonstrate ways in which their projects will advance one or more of these areas.

To receive priority consideration for advancing one or more of the Department's priorities, the applicant must provide a sufficient narrative explanation as an attachment that specifies how the project will advance the promotion of civil rights, access to justice, support to crime victims, protecting the public from crime and evolving threats, or building trust between law enforcement and the community.

In FY 2021, under this program, OJP will give priority consideration to applicants proposing to implement CVI strategies to help law enforcement identify their most pressing crime problems and support their use of promising practices, data, and technology to effectively respond to those problems. CVI strategies involve identifying those who are at the highest risk of violence and working to reduce violence through targeted community-based interventions. To receive priority consideration under the CVI priority, the applicant must provide a sufficient narrative explanation as an attachment that describes one or more specific CVI strategies that will be implemented with funding and how they will be targeted to reduce violence.

In addition, OJP will give priority consideration, if applicable, to applicants that demonstrate that the individuals who are intended to benefit

from the requested grant reside in high-poverty areas or persistent-poverty counties.

To receive priority consideration under the poverty priority, the applicant must provide information to demonstrate that the individuals who are intended to benefit from the requested grant reside in high-poverty areas or persistent-poverty counties. For purposes of this priority consideration, the term "high-poverty area" means any census tract with a poverty rate of at least 20 percent as measured by the 2013–2017 5-year data series available from the American Community Survey of the Census Bureau (applicants may search by census tract at https://www.census.gov/acs/www/data/data-tables-and-tools/narrative-profiles/2017/) and the term "persistent-poverty counties" means any county that has had 20 percent or more of its population living in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates (applicants may search by county at https://www.census.gov/data/tables/time-series/dec/census-poverty.html and at https://www.census.gov/programs-surveys/saipe.html).

Priority consideration is one of many factors that OJP considers in making funding decisions and is not a guarantee of an award.

Federal Award Information
Solicitation Category

Competition ID Enter to sort *	Category	Number of Awards	Dollar Amount for Award	Performance Start Date	Performance Duration (Months)
C-BJA-2021-00118-PROD	1	7	$500,000.00	10/1/21 12:00 AM	36
C-BJA-2021-00119-PROD	2	7	$500,000.00	10/1/21 12:00 AM	36

Awards, Amounts and Durations
Period of Performance Start Date
10/1/21 12:00 AM

Period of Performance Duration (Months)
36

Anticipated Total Amount to be Awarded Under Solicitation
$7,000,000.00

Continuation Funding Intent
BJA may, in certain cases, provide additional funding in future years to awards made under this solicitation, through continuation awards. OJP will consider, among other factors, OJP's strategic priorities, a recipient's overall management of the award, and progress of award funded work, when making continuation award decisions.

Availability of Funds
This solicitation, and awards (if any are made) under this solicitation, are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

Types of Awards
BJA expects to make awards under this solicitation as grants. See the "Administrative, National Policy, and Other Legal Requirements" section of the OJP Grant Application Resource Guide for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants (and cooperative agreements).

Financial Management and System of Internal Controls
Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R. Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200. See OJP Grant Application Resource Guide for additional information.

Budget Information
Once awarded, each grant award will have in place a special condition withholding all but $100,000, which is designated for grantees to establish an action plan within 90 days of the date of the initial funding release. The action plan must:

- Describe the problem and the data that led to its identification.
- Include a methodology and description of the strategies or justice information-sharing technology or approach to be implemented and its anticipated results.

- Demonstrate executive support and commitment of agency resources to the project.
- Include letters of commitment from external agencies or organizations that are expected to participate in the project

Applicants are expected to devote at least 20 percent of the project budget to support the evaluation component of their initiative.

Cost Sharing or Matching Requirement
This solicitation does not require a match.

Pre-agreement Costs (also known as Pre-award Costs)
See the OJP Grant Application Resource Guide information on Pre-agreement Costs (also known as Pre-award Costs).

Limitation on Use of Award Funds for Employee Compensation: Waiver
See the OJP Grant Application Resource Guide information on Limitation on Use of Award Funds for Employee Compensation; Waiver.

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs
See the OJP Grant Application Resource Guide for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

Costs Associated with Language Assistance (if applicable)
See the OJP Grant Application Resource Guide for information on Costs Associated with Language Assistance.

Eligibility Information

- State, local, and tribal law enforcement agencies

- Governmental agencies other than law enforcement acting as their fiscal agent

- Federally recognized Indian tribal governments that perform law enforcement functions

- Tribal consortia consisting of two or more federally recognized Indian tribes (including tribal consortia operated as nonprofit organizations) acting as a fiscal agent for one or more tribal law enforcement agencies

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

To advance Executive Order 13929 Safe Policing for Safe Communities, as of October 28, 2020, the Attorney General determined that all state, local, and university or college law enforcement agencies must be certified by an approved independent credentialing body or have started the certification process to be allocated FY 2021 DOJ discretionary grant funding, as either a recipient or a subrecipient. For detailed information on this new certification requirement, please visit https://cops.usdoj.gov/SafePolicingEO.

BJA will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). For additional information on subawards, see the OJP Grant Application Resource Guide.

BJA may elect to fund applications submitted under this FY 2021 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

Application and Submission Information
The following application elements MUST be included in the application submission for an application to meet the basic minimum requirements (BMR) to advance to peer review and receive consideration for funding:

- Proposal Abstract,
- Proposal Narrative,
- Budget Worksheet and Budget Narrative (web-based form)

See the "Application Elements and Formatting Instructions" section of the OJP Grant Application Resource Guide for information on what happens to an application that does not contain all the specified elements or that is nonresponsive to the scope of the solicitation.

Information to Complete the Application for Federal Assistance (SF-424)
The SF-424 will be submitted in Grants.gov. The SF-424 is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the OJP Grant Application Resource Guide for additional information on completing the SF-424.

In Section F of the SF-424, please include the name and contact information of the individual who will complete application materials in JustGrants. JustGrants will use this information *(email address)* to assign the application to this user in JustGrants.

Intergovernmental Review: This solicitation ("funding opportunity") **is** subject to Executive Order 12372. An applicant may find the names and addresses of state Single Points of Contact (SPOCs) at the following website: https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf. If the applicant's state appears on the SPOC list, the applicant must contact the state SPOC to find out about, and comply with, the state's process under E.O. 12372. In completing the SF-424, an applicant whose state appears on

the SPOC list is to make the appropriate selection in response to question 19, once the applicant has complied with its state E.O. 12372 process. (An applicant whose state does not appear on the SPOC list should answer question 19 by selecting the response that the: "Program is subject to E.O. 12372 but has not been selected by the state for review.")

Standard Applicant Information (JustGrants 424 and General Agency Information)
The Standard Applicant Information section of the JustGrants application is pre-populated with the SF-424 data submitted in Grants.gov. Applicants will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, applicants will need to: add zip codes for areas affected by the project; confirm their Authorized Representative; and verify the organization's legal name and address.

Proposal Abstract
A proposal abstract (no more than 400 words) summarizing the proposed project including primary activities, products and deliverables, the service area, and who will benefit from the proposed project, will be completed in the JustGrants Web-based form.

Proposal Narrative
The proposal narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point Times New Roman font; have no less than 1-inch margins; and should not exceed 12 pages. Pages should be numbered and submitted as an attachment. If the program narrative fails to comply with these length restrictions, BJA may negatively consider such noncompliance in peer review and final award decisions. The following sections must be included as part of the proposal narrative:

a.   Description of the Issue

Provide Uniform Crime Reporting (UCR) and/or population-served data and describe the crime challenges of the jurisdiction. Any data provided should be verifiable and/or contained in official records. Identify the specific crime problem the jurisdiction seeks to address. Describe the process used to assess, analyze, or determine the nature of the crime or type of crime problem.

b.   Project Design and Implementation

Describe the proposed project activities that will be linked to the applicant's goals. BJA expects agencies to be able to illustrate that their approach will have an empirically measured effect on the chronic crime problem and/or law enforcement organizational effectiveness.

Describe the current level of readiness to implement the proposed project, as well as any issues or challenges related to implementation readiness, and explain how these will be addressed through this project.

Describe specifically how the proposed project will accomplish the expected outcomes by providing the objectives, outcomes, and performance measures applicable to the project. Include a comprehensive timeline (as an attachment) that identifies milestones, numerically lists deliverables, and indicates who is responsible for each activity.

Describe the roles and responsibilities of the proposed research partner and how the research partner is integrated into the SPI strategy. At a minimum, the research partner should assist in problem description and definition; participate in solution development; provide ongoing analysis, monitoring, and assessment of the solution(s) impact; and prepare a final report that thoroughly assesses the results of the project.

Describe and provide evidence of the types and quality of data sources available to the agency to enable the research partner to conduct appropriate analysis. For example:

•   Does the agency have access to multiple sources of data (both internal and external to the agency) specific to the identified problem?

•   Does the agency have the ability to integrate data from different sources?

Describe the development of a prevention/mitigation strategy to address the problem based on careful, thorough analysis based on the SARA model.

Explain how the proposed project can be used as a promising practice by other agencies that may be experiencing the same type of crime problems. Also, identify any aspects of the project that may be replicable for other agencies.

Describe how information and updates about the SPI project will be disseminated within the applicant agency and to the affected community throughout the life of the award. The goal of this dissemination strategy must be the sustainment of strategies and practices that are successful.

Identify additional resources necessary for successful project completion, such as outside organizational partners, researchers, state or local practitioner groups, etc.

c.   Capabilities and Competencies

Fully describe the applicant's capabilities to implement and evaluate the project and the competencies of the staff assigned to the project. In addition, describe and demonstrate the applicant's crime and criminal intelligence analysis capacity. Specifically, the applicant should:

- Document the level of agency executive support for the project and the nature and extent of command staff's involvement in the project.
- For Category 2 only: Demonstrate sound knowledge of GSP and describe any current or previous experience using GSP to enhance information sharing.
- Describe previous law enforcement activities that include research partners and report the results of those efforts.
- Describe the level of agency executive support for the project, as well as the nature and extent of involvement of command staff in the project.
- Describe the qualifications of the Smart Policing research partner and the prior experience of the researcher with action research.

d. Plan for Collecting the Data Required to Measure the Project's Effectiveness and Respond to this Solicitation's Performance Measures

Describe the process and methods for evaluating project performance, to include a description of (1) the outcome evaluation methodology and research design, as developed through the research partnership, that will provide findings related to the implementation and outcomes of the selected project strategy; and (2) the process and methods for collecting the required performance measures and submitting them to BJA in accordance with the requirements of this solicitation.

For both tasks, applicants must identify who will collect the data, who is responsible for outcome and performance measurement, and how the information will be used to guide the project. Describe the process to accurately report implementation and outcome information.

Note: Applicants are **not** required to submit performance data with the application. Rather, performance measures information is included as an alert that successful applicants will be required to submit performance data as part of the reporting requirements under an award.

OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award. The performance data directly relate to the goals, objectives, and deliverables identified under "Goals, Objectives, and Deliverables."

Applicants can also visit OJP's performance measurement page at www.ojp.gov/performance for an overview of performance measurement activities at OJP.

Award recipients will be required to submit performance measurement data and performance reports in JustGrants. Further guidance on the post-award submission process will be provided, if selected for award. Examples of the performance measures questionnaire can be found at https://bjapmt.ojp.gov/help/SPI_Questionnaire_Jun_16.pdf.

Note on Project Evaluations

An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance under "Note on Project Evaluations" in the OJP Grant Application Resource Guide.

Goals, Objectives, Deliverables, and Timeline
Applicants will submit the project's goals, objectives, deliverables, and timelines in the JustGrants web-based form. See the OJP Grant Application Resource Guide for additional information.

Budget and Associated Documentation
Budget Worksheet and Budget Narrative (Web-based Form)
Applicants will complete the JustGrants web-based budget form. See the OJP Grant Application Resource Guide for additional information.

Indirect Cost Rate Agreement (if applicable)
Applicants will submit their indirect cost rate agreement by uploading the agreement as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

Financial Management Questionnaire (including applicant disclosure of high-risk status)
Applicants will download the questionnaire in JustGrants and submit by uploading the completed questionnaire as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

Disclosure of Process Related to Executive Compensation
If applicable, applicants will submit a description of the process used to determine compensation by uploading the document as an attachment in JustGrants. See the "Application Attachments" section of the OJP Grant Application Resource Guide for information.

Additional Application Components
Applicants will attach the additional requested documentation in JustGrants.

Curriculum Vitae or Resumes

Attach the résumés of key project staff and the identified research partner(s), where applicable

Tribal Authorizing Resolution

If applicable, applicants will submit the Tribal Authorizing Resolution by uploading the resolution as an attachment in JustGrants. An application in response to this solicitation may require inclusion of information related to a tribal authorizing resolution as an attachment. See the OJP Grant Application Resource Guide for information on tribal authorizing resolutions.

Research and Evaluation Independence and Integrity Statement

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. Applicants will submit a description of their research and evaluation independence and integrity by uploading the document as an attachment in JustGrants. For additional information regarding demonstrating research/evaluation independence and integrity, including appropriate safeguards, see the OJP Grant Application Resource Guide.

Documentation of Advancing DOJ Priorities (if applicable)

As is mentioned above, OJP will give priority consideration in award decisions to applications that specify how the project will advance one or more of the following DOJ priorities: the promotion of civil rights, access to justice, support to crime victims, protecting the public from crime and evolving threats, or building trust between law enforcement and the community. Applicants will submit the narrative by uploading the document as an attachment in JustGrants.

Documentation of Community Violence Intervention (CVI) Strategies (if applicable)

As is mentioned above, OJP will give priority consideration in award decisions to applications that specify how the project will support Community Violence Intervention (CVI) strategies. Applicants will submit the narrative by uploading the document as an attachment in JustGrants.

Documentation of High-Poverty Areas or Persistent-Poverty Counties (if applicable)

As mentioned above, OJP will give priority consideration in award decisions to applications that demonstrate that the individuals who will benefit from the requested grant reside in high-poverty areas or persistent-poverty counties as defined above. Each applicant proposing to receive consideration under the High-Poverty Areas or Persistent-Poverty Counties priority must provide a sufficient narrative explanation to identify each specific High-Poverty Area (by census tract number(s)) and/or each specific Persistent-Poverty County where individuals are intended to benefit from the requested grant and how the requested grant will address specific challenges in each such identified area and/or county. Applicants will submit the narrative by uploading the document as an attachment in JustGrants

Letter of Support from Chief Executive of the Law Enforcement Agency

Attach a letter of support signed by the chief executive of the applicant law enforcement agency that states their support of the project.

Disclosures and Assurances
Applicants will complete the following disclosures and assurances.

Disclosure of Lobbying Activities
Applicants will complete and submit the SF-LLL in Grants.gov. See the OJP Grant Application Resource Guide for additional information.

DOJ Certified Standard Assurances
See the DOJ Certified Standard Assurances in the OJP Grant Application Resource Guide.

Applicant Disclosure of Duplication in Cost Items
Applicants will complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the OJP Grant Application Resource Guide for additional information.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements
Applicants will review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements. See OJP Grant Application Resource Guide.

Applicant Disclosure of Proposed Subrecipients

Attach a list of proposed subrecipients of grant funding, if applicable, that includes the name, organizational affiliation, and location of the proposed subrecipient entity.

Applicant Disclosure and Justification – DOJ High Risk Grantees (if applicable)

If applicable, applicants will submit as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information. A DOJ High Risk Grantee is a recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

How to Apply

Applicants will submit an **SF-424** and an **SF-LLL** in Grants.gov at https://www.grants.gov/web/grants/register.html.

Applicants will submit the **full application** including attachments in JustGrants at JustGrants.usdoj.gov.

For additional information, see the "How to Apply" section in the OJP Grant Application Resource Guide and the DOJ Application Submission Checklist.

Submission Dates and Time

The **SF-424** and the **SF-LLL** will be submitted in Grants.gov by July 6, 2021 at 11:59 pm EST. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

The **full application** will be submitted in JustGrants on July 23, 2021 at 11:59 pm EST.

To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

Application Review Information
Review Criteria
a. Merit Review Criteria

Applications that meet basic minimum requirements will be evaluated by peer reviewers. Applications will be evaluated on how the proposed project/program addresses the following criteria:

1. Statement of the Problem/Description of the Issue (20%) - evaluate the applicant's understanding of the program/issue to be addressed.

2. Project Design and Implementation (30%) - evaluate the adequacy of the proposal, including the goals, objectives, timelines, milestones, and deliverables.

3. Capabilities and Competencies (20%) - evaluate administrative and technical capacity of the applicant to successfully accomplish the goals and objectives.

4. Plan for Collecting the Data Required to Measure the Project's Effectiveness and Respond to this Solicitation's Performance Measures (20%) - evaluate the applicant's understanding of the performance data reporting requirements and the plan for collecting the required data.

5. Budget (10%) - evaluate for completeness, cost effectiveness, and allowability (e.g., reasonable, allocable, and necessary for project activities).

b. Other Review Criteria/Factors

Other important considerations for BJA include geographic diversity, strategic priorities (specifically including, but not limited to, those mentioned above relating to priority areas), available funding, past performance, the project's potential to develop and validate evidence-based law enforcement practices, and the extent to which the Budget Worksheet and Budget Narrative (web-based form) accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles.

Review Process

Applications submitted under this solicitation that meet basic minimum requirements will be evaluated for technical merit by a peer review panel(s) in accordance with OJP peer review policy and procedures using the stated review criteria above.

OJP screens applications to ensure they meet the basic minimum requirements prior to conducting peer review. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.

- The application must request funding within programmatic funding constraints (if applicable).

- The application must be responsive to the scope of the solicitation.

- The application must include all items necessary to meet the basic minimum requirements.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed $250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

Important note on FAPIIS: An applicant may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the

Assistant Attorney General, who may take into account not only peer review ratings and BJA's recommendations, but also other factors as indicated in this section.

Federal Award Administration Information
Federal Award Notices
See the OJP Grant Application Resource Guide for information on award notifications and instructions.

Administrative, National Policy, and Other Legal Requirements
If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions, and all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance).

For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the OJP Grant Application Resource Guide.

Information Technology (IT) Security Clauses
An application in response to this solicitation may require inclusion of information related to information technology security. See the OJP Grant Application Resource Guide for information on information technology security.

General Information about Post-Federal Award Reporting Requirements
In addition to the deliverables described in the Program Description section, any recipient of an award under this solicitation will be required to submit certain reports and data.

Required reports. Recipients typically must submit quarterly financial reports, semi-annual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the OJP Grant Application Resource Guide for additional information on specific post-award reporting requirements, including performance measures data.

Federal Awarding Agency Contact(s)
For OJP contact(s), see solicitation cover page.

For contact information for Grants.gov and JustGrants, see solicitation cover page.

Other Information
Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)
See the OJP Grant Application Resource Guide for information on Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

Provide Feedback to OJP
See the OJP Grant Application Resource Guide for information on how to provide feedback to OJP.

Performance Measures

Award recipients will be required to submit performance measurement data and performance reports in JustGrants. Further guidance on the post-award submission process will be provided, if selected for award. Examples of the performance measures questionnaire can be found at https://bjapmt.ojp.gov/help/SPI_Questionnaire_Jun_16.pdf.

Application Checklist

FY 21 Smart Policing Initiative

This application checklist has been created as an aid in developing an application. The DOJ Application Submission Checklist is another resource.

What an Applicant Must Do:

Prior to Registering in Grants.gov:

- Acquire a DUNS Number (see OJP Grant Application Resource Guide)

- Acquire or renew registration with SAM (see OJP Grant Application Resource Guide)

To Register with Grants.gov:

- Acquire AOR and Grants.gov username/password (see OJP Grant Application Resource Guide)

- Acquire AOR confirmation from the E-Biz POC (see OJP Grant Application Resource Guide)

To Find Funding Opportunity:

- Search for the Funding Opportunity on Grants.gov

- Select the correct Competition ID

- Access Funding Opportunity and Application Package (see OJP Grant Application Resource Guide)

- Sign up for Grants.gov email notifications (optional) (see OJP Grant Application Resource Guide)

- Read Important Notice: Applying for Grants in Grants.gov

- Read OJP policy and guidance on conference approval, planning, reporting available at ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm (see OJP Grant Application Resource Guide)

Overview of Post-Award Legal Requirements:

- Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2021 Awards" in the OJP Funding Resource Center.

Scope Requirement:

- The federal amount requested is within the allowable limit(s) of *$500,000*

Eligibility Requirement: See Eligibility Section

- Submit **SF-424** and **SF-LLL** in Grants.gov

After SF-424 and SF-LLL Submission in Grants.gov, Receive Grants.gov Email Notifications That:

- Submission has been received in Grants.gov

- Submission has either been successfully validated or rejected with errors (see OJP Grant Application Resource Guide)

If No Grants.gov Receipt, and Validation or Error Notifications are Received:

- Contact the Response Center by telephone at 800–851–3420 or TTY: 301–240–6310 (hearing impaired only) or by email at grants@ncjrs.gov. Response Center hours of operation are 10 a.m. to 6 p.m. eastern time Monday through Friday, and 10 a.m. to 8 p.m. eastern time on the solicitation close date.

Receive email notification to complete application in JustGrants:

- Complete Application in JustGrants

Content of Application Submission:

The following items are critical application elements required to pass Basic Minimum Requirements review. An application that OJP determines does not include the application elements that must be included in the application submission in order for the application to meet the basic minimum requirements, will neither proceed to peer review, nor receive further consideration.

- **Proposal Abstract**
- **Proposal Narrative**
- **Budget Worksheet and Budget Narrative (web-based form)**

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Content of Application Submission

- Information to Complete the Application for Federal Assistance (SF-424) in Grants.gov

- Intergovernmental Review [insert if applicable]

- Standard Applicant Information (SF-424 info from Grants.gov)

Budget and Associated Documentation

- Budget Worksheet and Budget Narrative (Web-based form)

- Indirect Cost Rate Agreement (if applicable) (see OJP Grant Application Resource Guide)

- Financial Management and System of Internal Controls Questionnaire (see OJP Grant Application Resource Guide)

- Disclosure of Process related to Executive Compensation

Additional Application Components

- Tribal Authorizing Resolution (if applicable) (see [OJP Grant Application Resource Guide](#))
- Research and Evaluation Independence and Integrity (see [OJP Grant Application Resource Guide](#))
- Documentation of Advancing DOJ Priorities (if applicable)
- Documentation of enhanced public safety in federally designated Qualified Opportunity Zones (if applicable)

Disclosures and Assurances

- Tribal Authorizing Resolution (if applicable) (see [OJP Grant Application Resource Guide](#))
- Research and Evaluation Independence and Integrity (see [OJP Grant Application Resource Guide](#))
- Documentation of Advancing DOJ Priorities (if applicable)
- Documentation of Community Violence Intervention (CVI) strategies (if applicable)
- Documentation of high-poverty areas or persistent-poverty counties (if applicable)
- Request and Justification for Employee Compensation; Waiver (if applicable)
- Letter of Support from Chief Executive of the Law Enforcement Agency
- Résumés

Submit Application in JustGrants:

- Application has been successfully submitted in JustGrants

If No JustGrants Application Submission, Validation, or Error Notifications are Received:

- Contact JustGrants.Support@usdoj.gov *or 833–872–5175* regarding technical difficulties