

# Innovations in Community-Based Crime Reduction (CBCR) Program FY 2020 Competitive Grant Solicitation

CFDA #16.817

Grants.gov Solicitation Number:BJA-2020-17118Solicitation Release Date:March 13, 2020Application Deadline:11:59 p.m. eastern time on June 11, 2020

The U.S. Department of Justice (DOJ), Office of Justice Programs (OJP), <u>Bureau of Justice</u> <u>Assistance</u> (BJA) is seeking applications for funding a strategic approach to crime reduction that leverages community knowledge and expertise to build public trust with law enforcement and make neighborhoods safer. This program furthers the Department's mission by enhancing efforts to reduce violent crime associated with gun violence and gang activity, by assisting communities struggling with drug abuse, and by supporting law enforcement agencies.

This solicitation incorporates the <u>OJP Grant Application Resource Guide</u> by reference. It provides guidance to applicants on how to prepare and submit applications for funding to OJP. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.

### Eligibility (Who may apply):

The following entities are eligible to apply:

- Units of local government
- Institutions of higher education (including tribal institutions of higher education)
- Nonprofit organizations (including tribal nonprofit organizations)
- Federally recognized Indian tribal governments (acting as a fiscal agent thereof

The CBCR program targets distressed neighborhoods facing concentrated crime challenges, particularly serious and violent crime, composing a large proportion of crime as compared to other parts of the jurisdiction. Therefore, applying jurisdictions are strongly encouraged to coordinate with and seek the support of their local U.S. Attorney's Office and local policymakers, and to connect CBCR programming with other violent crime and community revitalization efforts, including DOJ's Project Guardian.<sup>1</sup>

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

<sup>&</sup>lt;sup>1</sup> <u>https://www.justice.gov/usao-wdok/pr/attorney-general-barr-announces-launch-project-guardian</u>

## **Contact information**

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800–518–4726 or 606–545–5035, at <u>https://www.grants.gov/web/grants/support.html</u>, or at <u>support@grants.gov</u>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must email the contact identified below **within 24 hours after the application deadline** to request approval to submit its application after the deadline. Additional information on reporting technical issues appears under "Experiencing Unforeseen Grants.gov Technical Issues" in the How To Apply (Grants.gov) section in the <u>OJP Grant Application Resource Guide</u>.

For assistance with any unforeseen Grants.gov technical issues beyond an applicant's control that prevent it from submitting its application by the deadline, or any other requirement of this solicitation, contact the National Criminal Justice Reference Service (NCJRS) Response Center: toll-free at 800–851–3420; via TTY at 301–240–6310 (hearing impaired only); email grants@ncjrs.gov; fax to 301–240–5830; or web chat at

https://webcontact.ncjrs.gov/ncjchat/chat.jsp. The NCJRS Response Center hours of operation are 10:00 a.m. to 6:00 p.m. eastern time, Monday through Friday, and 10:00 a.m. to 8:00 p.m. eastern time on the solicitation close date.

#### **Deadline Details**

Applicants must register with Grants.gov at <u>https://www.grants.gov/web/grants/register.html</u> prior to submitting an application. All applications are due by 11:59 p.m. eastern time on June 11, 2020.

To be considered punctual, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date, to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

An applicant must use the **Add Attachment** button to attach a file to its application. Do not click the paperclip icon to attach files. This action will not attach the files to the application. After adding an attachment, select the **View Attachment** button to confirm you attached the correct file. To remove the file, select the **Delete Attachment** button.

OJP encourages all applicants to read this Important Notice: Applying for Grants in Grants.gov.

For additional information, see the How to Apply (Grants.gov) section in the <u>OJP Grant</u> <u>Application Resource Guide</u>.

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BJA-2020-17118

# INNOVATIONS IN COMMUNITY-BASED CRIME REDUCTION (CBCR) PROGRAM CFDA # 16.817

## A. Program Description

### Overview

The CBCR Program supports Department priorities to reduce serious and violent crime, including gun violence; dismantle gang activity; and strengthen local capacity to combat drug abuse. CBCR helps communities build trust and support law enforcement agencies working with these communities by integrating enforcement strategies into community-based crime reduction efforts and using this information to understand and target the issues.

Statutory Authority: FY 2020 (BJA - CBCR) Pub. L. No. 116-93, 133 Stat 2317, 2409

### **Program-specific Information**

CBCR is a strategic approach to crime reduction that leverages community knowledge and expertise by focusing enforcement efforts on neighborhoods where crime is concentrated, or crime "hot spots." These neighborhoods face a range of challenges which cause their crime problems, so CBCR employs a multifaceted approach that addresses their roots in order to generate long-term impacts.

While each CBCR community is unique, most face common challenges and use similar approaches to address crime and safety challenges. These include:

- Addressing serious and violent crime, with a special emphasis on gun violence and related crimes
- Examining conditions that contribute to crime in order to effectively target crime hot spots
- Addressing physical conditions that increase risk for crime, seeking to harden these targets through assessments and review of land use, code enforcement, and nuisance laws
- Implementing strategies to engage residents, including youth and young adults, in crime prevention efforts

### Encouraging Program Investments that coordinate with Project Guardian

Under this program, OJP will give priority consideration in award decisions to applications that demonstrate how this project will directly support Project Guardian, including a letter of interest from their U.S. Attorney. At a minimum, the letter should indicate that the applicant has discussed their plans to apply for this grant with the U.S. Attorney's Office and agreed to regular communication with the Office to determine any potential for collaborative efforts with Project Guardian. For more information regarding Project Guardian, please see

https://www.justice.gov/opa/pr/attorney-general-william-p-barr-announces-launch-projectguardian-nationwide-strategic-plan or contact the local U.S. Attorney's office.

#### **Objectives and Deliverables**

#### **Objectives**

- 1. Action plan development phase (6-12 months):
  - Identify and verify chronic crime hot spots within the target neighborhood, working with law enforcement, research partners, and crime analysts.
  - Identify strategies to reduce serious and violent crime, particularly drugs and gun violence, that includes a comprehensive plan that articulates the range of strategies that the CBCR partners plan to pursue.
  - Engage community stakeholders in trust building with law enforcement and other partners.
  - Create an action plan with the priority hot spots and strategies to reduce crime, and submit to BJA for approval.
- 2. Action plan implementation phase:
  - Implement strategies developed in the approved action plan.
  - Build the capacity of residents and the CBCR management team to coordinate and engage in a crime problem-solving approach. Implement, modify, and evaluate strategies, as appropriate; redirect program activities when ongoing analysis indicates program goals are not being met.
  - Continue to engage partners and community residents and to build trust between partners, including law enforcement.
  - Identify and develop a sustainability strategy for long-term implementation of CBCR including the active role of community stakeholders.

For more information about the CBCR model and planning process and action plan development, see <u>https://www.theiacp.org/projects/community-based-crime-reduction-training-and-technical-assistance</u>

#### **Deliverables**

The action plan must be submitted and approved by BJA prior to implementation. BJA, the CBCR training and technical assistance (TTA) provider, and the grantee will use the action plan to guide and track site progress toward project objectives.

A final report is due 90 days after the project end date.

The Objectives and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed under <u>What an Application Should</u> <u>Include</u>.

#### **OJP Policy Priority Areas**

In FY 2020, and in addition to executing any program-specific prioritization that may be applicable, OJP will give priority consideration to applications as follows:

- Applications from federally recognized tribes
- Applications that address specific challenges that rural communities face
- Applications that demonstrate that the individuals who are intended to benefit from the requested grant reside in high-poverty areas or persistent-poverty counties
- Applications that offer enhancements to public safety in economically distressed communities (Qualified Opportunity Zones)
- Applications that go to enhancing criminal justice and public safety by indicating agreement to comply with one or more of the following law enforcement-related award conditions:
  - Where the application is from a State or local government entity that operates at least one correctional facility (as defined at 34 U.S.C. 10251(a)(7)), applications in which the applicant agrees to comply with award conditions related to cooperation with federal law enforcement, as set forth in Appendix A.
  - Where the application is from a State or local government entity, applications in which the applicant agrees to comply with award conditions related to noninterference with federal law enforcement, as set forth in Appendix B.
  - Where the application is from a State or local government entity, applications in which the applicant agrees to comply with award conditions related to nondisclosure of federal law enforcement information, as set forth in Appendix C.

To receive priority consideration under the rural priority, applicants must describe what makes the geographic service area rural (using U.S. Census or other appropriate government data; for assistance, applicants may wish to refer to <u>https://www.census.gov/programs-</u><u>surveys/geography/guidance/geo-areas/urban-rural.html</u>)</u>, how isolated the area is from needed services, and how they will address specific challenges in rural communities.

To receive priority consideration under the poverty priority, the applicant must provide information to demonstrate that the individuals who are intended to benefit from the requested grant reside in high-poverty areas or persistent poverty counties. For purposes of this priority consideration, the term "high-poverty area" means any census tract with a poverty rate of at least 20 percent as measured by the 2013–2017 5-year data series available from the American Community Survey of the Census Bureau (applicants may search by census tract at <a href="https://www.census.gov/acs/www/data/data-tables-and-tools/narrative-profiles/2017/">https://www.census.gov/acs/www/data/data-tables-and-tools/narrative-profiles/2017/</a>) and the term "persistent poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates (applicants may search by county at <a href="https://www.census.gov/data/tables/time-series/dec/census-poverty.html">https://www.census.gov/data/tables/time-series/dec/census-poverty.html</a> and at <a href="https://www.census.gov/programs-surveys/saipe.html">https://www.census.gov/programs-surveys/saipe.html</a>).

To receive priority consideration under the Qualified Opportunity Zones (QOZs) priority, applicants must include information that specifies how the project will enhance public safety in

the specified QOZs. For resources on QOZs, and for a current list of designated QOZs, see the U.S. Department of the Treasury's resource webpage, accessible at <u>https://www.cdfifund.gov/pages/opportunity-zones.aspx</u>.

To receive priority consideration for enhancing criminal justice and public safety by agreeing to comply with one or more award conditions related to law enforcement, applicants can agree to one or more of a series of award conditions (and will receive priority points for each accepted condition):

- To receive priority consideration under the priority for cooperation with federal law enforcement, applicants must sign and submit the certification provided in Appendix A.
- To receive priority consideration under the priority for noninterference with federal law enforcement, applicants must sign and submit the certification provided in Appendix B.
- To receive priority consideration under the priority for nondisclosure of federal lawenforcement information, applicants must sign and submit the certification provided in Appendix C.

OJP policy priority consideration will consist of receiving additional points in the application scoring process. Receipt of priority consideration does not guarantee that an application will be funded; nor will the failure to receive priority consideration necessarily mean that an application will not be funded.

#### **Evidence-based Programs or Practices**

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the <u>OJP Grant</u> <u>Application Resource Guide</u>.

#### Information Regarding Potential Evaluation of Programs and Activities

Applicants should note that OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information, see the <u>OJP Grant</u> <u>Application Resource Guide</u> section titled Information Regarding Potential Evaluation of Programs and Activities.

## **B. Federal Award Information**

Maximum number of awards BJA expects to make:	9
Estimated maximum dollar amount for each award:	up to \$1,000,000
Total amount anticipated to be awarded under solicitation	\$9,000,000
Period of performance start date	October 1, 2020
Period of performance duration	36 months

BJA may, in certain cases, provide additional funding in future years to awards made under this solicitation, through continuation awards. OJP will consider, among other factors, OJP's strategic (programmatic and policy) priorities, a recipient's overall management of the award, and progress of award-funded work, when making continuation award decisions.

Under this solicitation, only one application by any particular applicant entity will be considered. An entity may, however, be proposed as a subrecipient (subgrantee) in more than one application.

BJA may elect to fund applications submitted under this FY 2020 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

#### Type of Award

BJA expects to make awards under this solicitation as grants. See the Administrative, National Policy, and Other Legal Requirements section of the <u>OJP Grant Application Resource Guide</u> for additional information.

#### **Financial Management and System of Internal Controls**

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements<sup>2</sup> as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the <u>OJP Grant</u> <u>Application Resource Guide</u> for additional information.

#### **Budget Information**

### CBCR Program Costs that Require Prior Approval or are Unallowable

Applicants should be aware that all proposed costs contained within budget documents submitted by CBCR applicants and awardees will be reviewed for reasonableness, necessity, and compliance with relevant federal statutes, regulations, policies, and guidelines as outlined in the Department of Justice Financial Guide at

https://ojp.gov/financialguide/doj/pdfs/DOJ FinancialGuide.

CBCR supports broad-based planning and support for implementation in high-crime communities. While CBCR focuses on community safety and encourages a broad approach, some costs will not be allowable under the CBCR program and/or may require prior approval. Revisions to the budget are approved through a separate process once the action plan is approved.

### **Cost Sharing or Matching Requirement**

This solicitation does not require a match.

# Please see the OJP Grant Application Resource Guide for information on the following:

Pre-agreement Costs (also known as Pre-award Costs)

Limitation on Use of Award Funds for Employee Compensation; Waiver

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

**Costs Associated with Language Assistance** (if applicable)

<sup>&</sup>lt;sup>2</sup> The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200.

# C. Eligibility Information

For eligibility information, see title page.

For information on cost sharing or match requirements, see <u>Section B. Federal Award</u> <u>Information.</u>

# D. Application and Submission Information

## What an Application Should Include

The following application elements MUST be included in the application submission for an application to meet the basic minimum requirements (BMR) to advance to peer review and receive consideration for funding: Program Narrative, Budget Detail Worksheet, Budget Narrative, and memorandum of understanding (MOU)/Letters of Support.

See the Application Elements and Formatting Instructions section of the <u>OJP Grant Application</u> <u>Resource Guide</u> for information on, among other things, what happens to an application that does not contain all of the specified elements or that is nonresponsive to the scope of the solicitation.

## 1. Application for Federal Assistance (Standard Form (SF)-424)

The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. See the <u>OJP Grant Application</u> <u>Resource Guide</u> for additional information on completing the SF-424.

**Intergovernmental Review:** This solicitation ("funding opportunity") **is not** subject to <u>Executive Order 12372</u>. (In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372.")

### 2. Project Abstract

Applicants must provide an abstract. The abstract <u>must</u> include:

- 1. Applicant's name and project title
- **2.** City, state of grant project. For tribes, provide the boundaries or relevant counties and state.
- 3. Dollar amount of federal grant funds requested
- **4.** Evidence of United States Attorney Office or Project Safe Neighborhoods (PSN) coordination (current or past), if relevant
- Identification of the target neighborhood/project boundaries, population of the target area, <u>and</u> ZIP code(s)
- **6.** Please state if the community is designated as an Opportunity Zone, Choice Neighborhood, or Promise Neighborhood.
- 7. Identification of whether the target neighborhood receives funding from any federal investments that occur, serve, or overlap the target neighborhood, such as other DOJ federal grant programs, including (but not limited to) Project Safe Neighborhoods, Community Based Violence Prevention Program, Department

of Education's Promise Neighborhood; Department of Housing and Urban Development's Choice Neighborhood; a Department of Health and Human Services' Community Health Center grant; Department of the Treasury's Community Development Financial Institution (CDFI) funds; or Qualified Opportunity Zones (QOZs)

- 8. Identification of any Project Guardian activities that connect with this CBCR application to support priority consideration, including partnership with the U.S. Attorney's Office via short summary of the efforts and their connection to this application
- **9.** Summary of plan to use and analyze data to assess and address crime in targeted hot spots
- 10. Summary of goals of the grant project and proposed project activities
- **11.** Identification of specific crime issues and/or problems to be addressed through the grant project
- **12.** Indication of whether a full-time project coordinator is already in place or will be hired. Please note the name of the entity under which this position will be managed.
- **13.** Identification of other resources that will support the CBCR efforts.

The abstract should be single-spaced, using a standard 12-point Times New Roman font with no less than 1-inch margins, and must not exceed two pages. If the program abstract fails to comply with these restrictions, BJA may negatively consider such noncompliance in peer review and in final award decisions.

As a separate attachment, the project abstract will **not** count against the page limit for the program narrative.

#### 3. Program Narrative

The program narrative must respond to the solicitation and the Review Criteria (1-5) in the order given. The program narrative must be double-spaced, using a standard 12-point Times New Roman font with no less than 1-inch margins, and must not exceed 20 pages. Number pages "1 of 20," "2 of 20" etc. If the program narrative fails to comply with these length-related restrictions, BJA may negatively consider such noncompliance in peer review and in final award decisions.

The following sections should be included as part of the program narrative:

- a. Statement of the Problem
- **b.** Project Design and Implementation
- c. Capabilities and Competencies

d. Plan for Collecting the Data Required for this Solicitation's Performance Measures

OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award. The performance data directly relate to the objectives and deliverables identified under "Objectives and Deliverables" in <u>Section A. Program Description</u>.

Applicants should visit OJP's performance measurement page at <u>www.oip.gov/performance</u> for an overview of performance measurement activities at OJP.

The application should demonstrate the applicant's understanding of the performance data reporting requirements for this grant program and detail how the applicant will gather the required data should it receive funding.

Please note that applicants are **not** required to submit performance data with the application. Performance measures information is included as an alert that successful applicants will be required to submit performance data as part of the reporting requirements under an award.

Award recipients will be required to provide the relevant data by submitting quarterly performance metrics through BJA's online Performance Measurement Tool (PMT) located at <u>https://bjapmt.ojp.gov</u>. Applicants should examine the complete list of performance indicators at

https://bjapmt.ojp.gov/help/BCJIPerformanceIndicatorgrid.pdf.

#### Note on Project Evaluations

An applicant that proposes to use award funds through this solicitation to conduct project evaluations should follow the guidance under Note on Project Evaluations in the <u>OJP Grant</u> <u>Application Resource Guide</u>.

#### Please see the OJP Grant Application Resource Guide for information on the following:

4. <u>Budget Information and Associated Documentation</u> in the "Budget Preparation and Submission Information" section.

Budget for travel to grantee peer-to-peer learning sessions: Applicants must budget funding to travel to DOJ-sponsored peer-to-peer learning sessions. Applicants should estimate the costs of travel and accommodations for up to three personnel to attend at least two sessions, one regional and one in Washington, D.C., during the life of the grant. Approval from BJA is required prior to any use of travel funds outside of DOJ-sponsored CBCR events.

#### Budget for planning phase activities:

Awardees will only have access to up to \$150,000 for planning phase activities (6-12 months) and must budget accordingly. Of this, at least one-third and ideally as much as one-half will be committed to the initial data analysis, problem assessment, and identification of data-driven, evidence-based practices, or innovative approaches.

5. Indirect Cost Rate Agreement

- 6. Tribal Authorizing Resolution
- 7. <u>Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high-risk status)</u>
- 8. Disclosure of Lobbying Activities
- 9. Applicant Disclosure of Pending Applications
- 10. <u>Applicant Disclosure and Justification DOJ High Risk Grantees<sup>3</sup> (if applicable)</u>
- 11. Research and Evaluation Independence and Integrity
- 12. Disclosure of Process Related to Executive Compensation

#### **Additional Attachments**

a. Documentation of Rural Challenges (if applicable)

As is mentioned above, OJP will give priority consideration in award decisions to applications that address specific challenges that rural communities face. Each applicant proposing to receive priority consideration under the rural priority should provide a sufficient narrative to include what makes the geographic service area rural (using U.S. Census or other appropriate government data), how isolated the area is from needed services, and how it will address specific challenges in rural communities.

# b. Documentation of High-Poverty Areas or Persistent-Poverty Counties (if applicable)

As mentioned above, OJP will give priority consideration in award decisions to applications that demonstrate that the individuals who will benefit from the requested grant reside in high-poverty areas or persistent-poverty counties as defined above. Each applicant proposing to receive consideration under the High-Poverty Areas or Persistent Poverty Counties priority should provide a sufficient narrative explanation to identify each specific High-Poverty Area (by census tract number(s)) and/or each specific Persistent-Poverty County where individuals are intended to benefit from the requested grant and how the requested grant will address specific challenges in each such identified area and/or county.

c. Documentation of Enhanced Public Safety in Qualified Opportunity Zones (if applicable)

As is mentioned above, OJP will give priority consideration in award decisions to designated Qualified Opportunity Zones (QOZs). Each applicant proposing to receive priority consideration under the under the Qualified Opportunity Zones priority should provide a sufficient narrative explanation in order for OJP to identify clearly the public safety benefit the applicant anticipates that its project will have on a specified QOZ(s). The narrative and the list of affected QOZs (by census tract number) must be included as an attachment that is clearly labeled as addressing QOZs. The applicant may also

<sup>&</sup>lt;sup>3</sup> A "DOJ High Risk Grantee" is a recipient that has received a DOJ High-Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

include tables, charts, graphs, or other relevant illustrations that may be useful in comprehending the manner in which the proposed project is anticipated to benefit a QOZ(s).

#### d. Certifications Regarding Enhancing Criminal Justice and Public Safety through Law-Enforcement-Related Award Conditions (if applicable)

1. Certification regarding Cooperation with Federal Law Enforcement (if applicable)

As is mentioned above, OJP will give priority consideration in award decisions to State or local government entity applicants that operate at least one correctional facility (as defined at 34 U.S.C. 10251(a)(7)), and agree to comply with award conditions related to cooperation with federal law enforcement, as set forth in Appendix #A. Each such applicant proposing to receive priority consideration for such cooperation with federal law enforcement must sign and submit the certification provided in Appendix A.

2. Certification regarding Noninterference with Federal Law Enforcement (if applicable)

As is mentioned above, OJP will give priority consideration in award decisions to State or local government entity applicants that agree to comply with award conditions related to noninterference with federal law enforcement, as set forth in Appendix #B. Each such applicant proposing to receive priority consideration for such noninterference with federal law enforcement must sign and submit the certification provided in Appendix B.

3. Certification regarding Nondisclosure of Federal Law Enforcement Information (if applicable)

As is mentioned above, OJP will give priority consideration in award decisions to State or local government entity applicants that agree to comply with award conditions related to nondisclosure of federal law-enforcement information, as set forth in Appendix C. Each such applicant proposing to receive priority consideration for such nondisclosure of law enforcement sensitive information must sign and submit the certification provided in Appendix C.

#### e. Documentation of Connection of Strategy to Project Guardian Efforts

As is mentioned above, OJP will, as appropriate, give priority consideration in award decisions to applications that propose projects that will likely enhance public safety by describing how this project will support or coordinate with Project Guardian. For more information regarding Project Guardian, please see

<u>https://www.justice.gov/opa/pr/attorney-general-william-p-barr-announces-launch-project-guardian-nationwide-strategic-plan</u> or contact their local United States Attorney's office.

### f. Memoranda of Understanding (MOUs) and Letters of Support.

Each applicant must submit, as part of its application, a Memorandum of Understanding (MOU), <u>signed</u> by each individual, organization, or agency listed below (1-7). For sample MOUs, please visit the LISC CBCR <u>Resources</u> page.

MOUs Each MOU must:

- Describe each partner's financial and programmatic commitment.
- Describe how each partner's existing vision and current activities align with those of the CBCR partnership.
- Demonstrate a commitment to provide crime and arrest data needed to complete the crime analysis described in this solicitation, in addition to specific staff that will oversee this effort.

MOUs must include signatures from, at a minimum, the following individuals/organizations and describe the following:

- 1. Applicant
- 2. Local law enforcement agency, if different
- 3. One community partner
- 4. A list of key partners and their leadership
- 5. A list of persons who will oversee the required CBCR elements, including data collection, analysis, and a research plan; planning and strategy development; community engagement; and law enforcement
- 6. The management structure and staff designated to implement the project; describe their roles and responsibilities including decision making, as well as those of any co- applicants or partners, if applicable
- 7. Any existing or non-CBCR resources the partnership intends to leverage to complete project activities

#### Memorandum of Agreement

If the applicant is selected to receive a grant award, the applicant, police agency (if not the applicant), and the nonprofit organization (if applicable) will be asked to include a Memorandum of Agreement (MOA) that outlines specific roles, responsibilities, and expectations. The MOA should be signed by the nonprofit organization and the designated officials within the applicant and police department (if they are separate agencies).

#### Letters of Support or Interest

Applicants should provide Letters of Support or Interest from criminal justice partners, community leaders (as defined by the local jurisdiction), and any other key members of the cross- sector partnership team or other entities that will be key partners in the CBCR strategy.

Applicants are encouraged to seek a letter of Interest from the U.S. Attorney's Office, especially if the applicant is seeking priority consideration as a coordinated Project Guardian site.

#### g. Project Timeline

Attach a project timeline with each project objective, activity, expected completion date, and responsible person or organization.

#### h. Position Descriptions/Résumés

Attach position descriptions for the key positions and résumés for current staff in addition to job posting descriptions for anticipated new hires. If the applicant is hiring, please detail in the timeline when it anticipates the hiring process to be complete and when any new hire's efforts will commence.

#### How To Apply (Grants.gov)

Applicants must register in and submit applications through <u>Grants.gov</u>, a primary source to find federal funding opportunities and apply for funding. Find information on how to apply in response to this solicitation in the <u>OJP Grant Application Resource Guide</u>.

#### **Registration and Submission Steps**

Applicants will need the following identifying information when searching for the funding opportunity on Grants.gov.

#### 1. CFDA # 16.817, Innovations in Community-Based Crime Reduction (CBCR) Program

#### 2. Funding Opportunity #: BJA-2020-17118

For information on each registration and submission step, see the <u>OJP Grant Application</u> <u>Resource Guide</u>.

## E. Application Review Information

#### **Review Criteria**

Applications that meet basic minimum requirements will be evaluated by peer reviewers using the following review criteria.

- 1. Statement of the Problem (20 percent)
  - Describe the recent history of the target neighborhood and how serious and violent crime, including gun violence, and drug abuse have impacted it. Detail neighborhood assets, challenges, and any experiences that have restricted or limited opportunities for community and economic development. Describe any issues of mutual trust or mistrust between residents and law enforcement and their impact on efforts to effect neighborhood improvement.
  - Identify the geographic boundaries of the target neighborhood, the ZIP code(s), as well as the population size and demographic makeup of the population of both the overall jurisdiction and the target neighborhood where the CBCR strategy is proposed.
  - Describe the nature and scope of crime in the targeted community, including the number and location of the specific city blocks or other hot spots where crime or types of crime is concentrated, as compared with crime rates in the overall jurisdiction. Provide any local/state data and a trend analysis for the target area (including Uniform Crime Reporting data, FBI violent crime rates, calls for service, and survey results from target area residents) to support the application. Crime data

must be provided for at least 3 years or longer to demonstrate persistent serious and violent crime issues.  $\!\!\!^4$ 

- Explain the jurisdiction's limitations in funding the program adequately without federal assistance.
- Indicate if the proposed project will directly support Project Guardian.

#### 2. Project Design and Implementation (40 percent)

- Identify the program objectives and describe the vision for the target neighborhood, specifically how this vision will address the problems identified in the Statement of the Problem. Ensure each objective is precise and measurable, and identify strategies, programs, and policies to achieve the objectives.
- Describe the proposed planning process and resulting potential range of strategies in the action plan to address the specific crime drivers identified, which may include enforcement, prevention, intervention, and treatment strategies. Describe coordination of needed resources across various funding sources. Describe how the objectives support meaningful resident involvement in a manner that fosters trust and builds capacity of partners to sustain a long-term collaborative approach. Applicants should describe a plan for coordinating with the local U.S. Attorney's Office Project Safe Neighborhoods team, if applicable.
- Describe how the project design and overall strategy responds to the CBCR model<sup>5</sup> and will reduce and prevent crime in the identified crime hot spots. Describe how the solutions are based on evidence-based policies and practices, where available, or propose to employ innovative approaches to an identified target population.<sup>6</sup>

#### 3. Capabilities and Competencies (25 percent

Describe how the applicant will serve as the program leader who oversees coordination of a consortium of agencies, organizations, and community stakeholders. The applicant must demonstrate its capacity, commitment, and support from key stakeholders in the community to serve as program leader, providing the following information:

- a. Describe how the applicant and partnership will:
  - Analyze crime problems and develop solutions
  - Monitor strategy implementation and achievement of objectives

<sup>&</sup>lt;sup>4</sup> Applicants should provide data that are verifiable and part of an official records system, and additional information about what is causing the crime in the hot spots. BJA and peer reviewers will use this information to understand crime trends and confirm that the target area generates persistent, high crime over a period of time (at a minimum three years).

<sup>&</sup>lt;sup>5</sup> For information on the CBCR model see: <u>https://bja.ojp.gov/microsite-subpage/overview</u>.

<sup>&</sup>lt;sup>6</sup> Resources on evidence-based programs and practices are available at BJA Evaluation Scan (June 2016),

Crimesolutions.gov, and BJA Innovation Suite of Programs.

- Utilize a full-time site coordinator to manage and lead day-to-day activities during implementation
- Manage any subaward(s) of CBCR funds
- Work collaboratively with the CBCR TTA provider and subject matter experts
- Govern changes or modifications to the strategy
- Ensure project and fiscal accountability
- Collect, collate, and submit timely performance data, semi-annual progress reports, and quarterly financial reports
- b. Describe the applicant's capacity to lead community outreach and trust building efforts and to engage in building leadership skills, including collaboration with community-based organizations.
- c. Describe the applicant's capacity to manage partnerships to effectively implement place-based, community-oriented crime and community revitalization strategies and community trust building. This includes a plan for bringing local law enforcement and community stakeholders together for a collaborative approach towards crime reduction.
- d. Describe crime analysis and action research capacity and expertise, including work of the law enforcement agency. The partner organization should demonstrate knowledge of community-oriented, place-based crime strategies and evaluation methods, and describe any history of a relationship or familiarity with the police agency (i.e., has it worked with the applicant and/or police agency in the past, or is this a new endeavor). For rural or tribal areas, it may be more appropriate for the partner organization to describe expertise specific to the issues and needs of the targeted community.
- e. Describe the capacity to assist the police agency with data collection issues and analysis. Include a paragraph about the methods that will be used for the project.

# 4. Plan for Collecting the Data Required for this Solicitation's Performance Measures (5 percent)

a. **Performance Management**: For each project goal, identify the criteria that will determine how and if the objectives have been successfully met and one or more specific measurable outcome(s) and the data sources that will be used to determine whether or not the outcome was accomplished.

All applicants must indicate their willingness and ability to collect and report performance and outcome data through BJA's reporting tools (no personally identifiable information shall be collected through the PMT). Applicants are expected to report on behalf of any subawardees. Additionally, please see page 11 that discusses willingness to cooperate with any evaluation efforts. Describe the process for measuring project performance. Identify who will collect the data, who is responsible for performance measurement, and how the information will be used to guide and assess the program. If applicable, describe the process to accurately report implementation findings. Assess the quality and usefulness of any proposed evaluation activities, findings, and reports.

Describe the steps the applicant will take with the CBCR team to develop a performance management plan. The plan should include strategies to collect data, review data, and use data to improve program performance. The plan should also discuss how the CBCR team will work with the research partner, including any evaluation plans.

b. **Sustainability Strategies:** Describe how the applicant will strategically leverage federal, state, local, and tribal funding to ensure sustainability of this effort with neighborhood partners that demonstrate the long-term commitment to neighborhood change.

#### 5. Budget (10 percent)

Budgets should be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should demonstrate generally how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the objectives of the project. Cost sharing will be considered favorably in the review process, consistent with the guidance provided in the solicitation, supporting cross-sector approaches.

#### **Review Process**

BJA reviews an application to make sure that the information presented is reasonable, understandable, measurable, achievable, and consistent with the solicitation requirements.

The following five paragraphs in this solicitation expressly modify the "Application Review Information" provisions in the OJP Grant Application Resource Guide. An applicant is to follow the guidance in these five paragraphs instead of the guidance stated under the "Application Review Information" heading in the Guide.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. For purposes of assessing whether an application meets basic minimum requirements and should proceed to further consideration, OJP screens applications for compliance with those requirements. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).

- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.

For a list of the application elements that MUST be included in the application submission in order for an application to meet the basic minimum requirements, see "What an Application Should Include" under Section D. Application and Submission Information.

Peer review panels will evaluate, score, and rate applications that meet basic minimum requirements. BJA may use internal peer reviewers, external peer reviewers, or a combination, to assess applications on technical merit using the solicitation's review criteria. An external peer reviewer is an expert in the subject matter of a given solicitation who is not a current DOJ employee. An internal reviewer is a current DOJ employee who is well versed or has expertise in the subject of this solicitation. Peer reviewers' ratings and any resulting recommendations are advisory only, although reviewer views are considered carefully.

Other important considerations for BJA include geographic diversity, strategic priorities (specifically including, but not limited to, those mentioned above relating to addressing specific challenges that rural communities face, addressing specific challenges presented by poverty, demonstrable potential enhancement to public safety in one or more federally designated Qualified Opportunity Zones, and enhancing criminal justice and public safety through award conditions regarding cooperation with federal law enforcement, noninterference with federal law enforcement, and/or nondisclosure of federal law enforcement information), available funding, and the extent to which the Budget Detail Worksheet and Budget Narrative accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, (FAPIIS)).

**Important note on FAPIIS:** An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may take into account not only peer review ratings and BJA recommendations, but also other factors as indicated in this section.

## F. Federal Award Administration Information

### Please see the OJP Grant Application Resource Guide for information on the following:

### Federal Award Notices

#### Administrative, National Policy, and Other Legal Requirements

OJP strongly encourages prospective applicants to review information on post-award legal requirements and common OJP award conditions **prior** to submitting an application.

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions, and all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance).

For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the <u>OJP Grant Application Resource</u> <u>Guide</u>.

#### Information Technology (IT) Security Clauses

**General Information About** <u>Post-Federal Award Reporting Requirements</u> In addition to the deliverables described in <u>Section A. Program Description</u>, any recipient of an award under this solicitation will be required to submit certain reports and data.

<u>Required reports</u>. Recipients typically must submit quarterly financial reports, semiannual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the <u>OJP Grant Application Resource Guide</u> for additional information on specific postaward reporting requirements, including performance measures data.

## G. Federal Awarding Agency Contact(s)

For OJP contact(s), see page 2.

For contact information for Grants.gov, see page see page 2.

## H. Other Information

Please see the OJP Grant Application Resource Guide for information on the following:

Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

Provide Feedback to OJP

#### Appendix A

## Certification regarding Cooperation with Federal Law Enforcement

I am an authorized official of the State or local government applicant entity named below and I have the authority to make this certification on behalf of the applicant. I understand that the Department will rely upon this certification as a material representation in any decision regarding an award to the applicant. On behalf of the State or local government applicant named below, and in order to receive priority consideration for its application, I certify to the Office of Justice Programs ("OJP"), U.S. Department of Justice ("USDOJ"), the following:

1. The State or local government applicant entity named below operates at least one correctional facility (that is, operates at least one "*place for the confinement or rehabilitation of offenders or individuals charged with or convicted of criminal offenses*" (34 U.S.C. 10251(a)(7))).

2. The applicant agrees to comply with the following four award conditions (or conditions substantially to the same effect) if it is selected to receive an award made by OJP under this solicitation:

# CONDITION 1: Noninterference (within the funded "program or activity") with federal law enforcement: Interrogation of certain aliens

SCOPE. This condition applies with respect to the "program or activity" that is funded (in whole or in part) by this award, as of the date the recipient accepts this award, and throughout the remainder of the period of performance for the award. Its provisions must be among those included in any subaward (at any tier).

1. Noninterference with statutory law enforcement access to correctional facilities

Consonant with federal law enforcement statutes and regulations -- including 8 U.S.C. 1357(a), under which certain federal officers and employees "have power without warrant ... to interrogate any alien or person believed to be an alien as to his right to be or to remain in the United States," and 8 C.F.R. 287.5(a), under which that power may be exercised "anywhere in or outside the United States" -- within the funded program or activity, no State or local government entity, -agency, or -official may interfere with the exercise of that power to interrogate "without warrant" (by agents of the United States acting under color of federal law) by impeding access to any State or local government (or government-contracted) correctional facility by such agents for the purpose of "interrogat[ing] any alien or person believed to be an alien as to his [or her] right to be or to remain in the United States."

2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions (e.g., training) designed to ensure compliance with this condition.

4. Rules of construction

A. For purposes of this condition:

(1) The term "alien" means what it means under section 101 of the Immigration and Nationality Act (INA) (see 8 U.S.C. 1101(a)(3)), except that, with respect to a juvenile offender, it means "criminal alien."

(2) The term "juvenile offender" means what it means under 28 C.F.R. 31.304(f) (as in effect on Jan. 1, 2020).

(3) The term "criminal alien" means, with respect to a juvenile offender, an alien who is deportable on the basis of—

(a) conviction described in section 237(a)(2) of the INA (see 8 U.S.C. 1227(a)(2)), or

(b) conduct described in section 237(a)(4) of the INA (see 8 U.S.C. 1227(a)(4)).

(4) The term "conviction" means what it means under section 101 of the INA (see 8 U.S.C. 1101(a)(48)). (Adjudication of a juvenile as having committed an offense does not constitute "conviction" for purposes of this condition.)

(5) The term "correctional facility" means what it means under the title I of the Omnibus Crime Control and Safe Streets Act of 1968 (see 34 U.S.C. 10251(a)(7)) as of January 1, 2020.

(6) The term "impede" includes taking or continuing any action, or implementing or maintaining any law, policy, rule, or practice, that—

(a) is designed to prevent or to significantly delay or complicate, or

(b) has the effect of preventing or of significantly delaying or complicating.

(7) "State" and "local government" include any agency or other entity thereof (including any public institution of higher education), but not any Indian tribe.

(8) A "public" institution of higher education is defined as one that is owned, controlled, or directly funded (in whole or in substantial part) by a State or local government. (Such a public institution is considered to be a "government entity," and its officials to be "government officials.")

(9) "Program or activity" means what it means under title VI of the Civil Rights Act of 1964 (see 42 U.S.C. 2000d-4a).

B. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, any State or local government, any public institution of higher education, or any other entity (or individual) to violate any federal law, including any applicable civil rights or nondiscrimination law.

IMPORTANT NOTE: Any questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

# CONDITION 2: No use of funds to interfere with federal law enforcement: Interrogation of certain aliens

SCOPE. This condition applies as of the date the recipient accepts this award, and throughout the remainder of the period of performance for the award. Its provisions must be among those included in any subaward (at any tier).

1. No use of funds to interfere with statutory law enforcement access to correctional facilities

Consonant with federal law enforcement statutes and regulations -- including 8 U.S.C. 1357(a), under which certain federal officers and employees "have power without warrant ... to interrogate any alien or person believed to be an alien as to his right to be or to remain in the United States," and 8 C.F.R. 287.5(a), under which that power may be exercised "anywhere in or outside the United States" -- no State or local government entity, -agency, or -official may use funds under this award to interfere with the exercise of that power to interrogate "without warrant" (by agents of the United States acting under color of federal law) by impeding access to any State or local government (or government-contracted) correctional facility by such agents for the purpose of "interrogat[ing] any alien or person believed to be an alien as to his [or her] right to be or to remain in the United States."

#### 2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

#### 3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions (e.g., training) designed to ensure compliance with this condition.

#### 4. Rules of construction

A. For purposes of this condition:

(1) The term "alien" means what it means under section 101 of the Immigration and Nationality Act (INA) (see 8 U.S.C. 1101(a)(3)), except that, with respect to a juvenile offender, it means "criminal alien."

(2) The term "juvenile offender" means what it means under 28 C.F.R. 31.304(f) (as in effect on Jan. 1, 2020).

(3) The term "criminal alien" means, with respect to a juvenile offender, an alien who is deportable on the basis of—

(a) conviction described in section 237(a)(2) of the INA (see 8 U.S.C. 1227(a)(2)), or

(b) conduct described in section 237(a)(4) of the INA (see 8 U.S.C. 1227(a)(4)).

(4) The term "conviction" means what it means under section 101 of the INA (see 8 U.S.C. 1101(a)(48)). (Adjudication of a juvenile as having committed an offense does not constitute "conviction" for purposes of this condition.)

(5) The term "correctional facility" means what it means under the title I of the Omnibus Crime Control and Safe Streets Act of 1968 (see 34 U.S.C. 10251(a)(7)).

(6) The term "impede" includes taking or continuing any action, or implementing or maintaining any law, policy, rule, or practice, that—

(a) is designed to prevent or to significantly delay or complicate, or

(b) has the effect of preventing or of significantly delaying or complicating.

(7) "State" and "local government" include any agency or other entity thereof (including any public institution of higher education), but not any Indian tribe.

(8) A "public" institution of higher education is defined as one that is owned, controlled, or directly funded (in whole or in substantial part) by a State or local government. (Such a public institution is considered to be a "government entity," and its officials to be "government officials.")

(9) "Program or activity" means what it means under title VI of the Civil Rights Act of 1964 (see 42 U.S.C. 2000d-4a).

B. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, any State or local government, any public institution of higher education, or any other entity (or individual) to violate any federal law, including any applicable civil rights or nondiscrimination law.

IMPORTANT NOTE: Any questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

# CONDITION 3: Noninterference (within the funded "program or activity") with federal law enforcement: Notice of scheduled release

SCOPE. This condition applies with respect to the "program or activity" that is funded (in whole or in part) by the award, as of the date the recipient accepts the award, and throughout the remainder of the period of performance. Its provisions must be among those included in any subaward at any tier.

1. Noninterference with "removal" process: Notice of scheduled release date and time

Consonant with federal law enforcement statutes -- including 8 U.S.C. 1231 (for an alien incarcerated by a State or local government, a 90-day "removal period" during which the federal government "shall" detain and then "shall" remove an alien from the U.S. "begins" no later than "the date the alien is released from ... confinement"; also, the federal government is expressly authorized to make payments to a "State or a political subdivision of the State ... with respect to the incarceration of [an] undocumented criminal alien"); 8 U.S.C. 1226 (the federal government "shall take into custody" certain criminal aliens "when the alien is released"); and 8 U.S.C. 1366 (requiring an annual report to Congress on "the number of illegal alien[ felons] in Federal and State prisons" and programs underway "to ensure the prompt removal" from the U.S. of removable "criminal aliens") -- within the funded program or activity, no State or local government entity, -agency, or -official (including a government-contracted correctional facility) may interfere with the "removal" process by failing to provide -- as early as practicable (see para. 4.C. below) -- advance notice to DHS of the scheduled release date and time for a particular alien, if a State or local government (or government-contracted) correctional facility receives from DHS a formal written request pursuant to the INA that seeks such advance notice.

2. Monitoring

24 BJA-2020-17118 The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

#### 3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions (e.g., training) designed to ensure compliance with this condition.

#### 4. Rules of construction

A. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, any State or local government, or any other entity or individual to maintain (or detain) any individual in custody beyond the date and time the individual otherwise would have been released.

#### B. Applicability

(1) Current DHS practice is ordinarily to request advance notice of scheduled release "as early as practicable (at least 48 hours, if possible)." (See DHS Form I-247A (3/17)). If (e.g., in light of the date DHS made such request) the scheduled release date and time for an alien are such as not to allow for the advance notice that DHS has requested, it shall NOT be a violation of this condition to provide only as much advance notice as practicable.

(2) Current DHS practice is to use the same form for a second, distinct purpose -- to request that an individual be detained for up to 48 hours AFTER the scheduled release. This condition does NOT encompass such DHS requests for detention.

C. Both the "Rules of Construction" and the "Important Note" set out in the "Noninterference (within the funded "program or activity") with federal law enforcement: Interrogation of certain aliens" award condition are incorporated by reference as though set forth here in full.

# CONDITION 4: No use of funds to interfere with federal law enforcement: Notice of scheduled release

SCOPE. This condition applies as of the date the recipient accepts the award, and throughout the remainder of the period of performance. Its provisions must be among those included in any subaward at any tier.

1. No use of funds to interfere with "removal" process: Notice of scheduled release date and time

Consonant with federal law enforcement statutes -- including 8 U.S.C. 1231 (for an alien incarcerated by a State or local government, a 90-day "removal period" during which the federal government "shall" detain and then "shall" remove an alien from the U.S. "begins" no later than "the date the alien is released from ... confinement"; also, the federal government is expressly authorized to make payments to a "State or a political subdivision of the State ... with respect to the incarceration of [an] undocumented criminal alien"); 8 U.S.C. 1226 (the federal government "shall take into custody" certain criminal aliens "when the alien is released"); and 8 U.S.C. 1366 (requiring an annual report to Congress on "the number of illegal alien[ felons] in Federal and State prisons" and programs underway "to ensure the prompt removal" from the U.S. of removable "criminal aliens") -- no State or local government entity, -agency, or -official (including a government-contracted correctional facility) may use funds under this award to interfere with the "removal" process by failing to provide -- as early as practicable (see para. 4.C. below) -- advance notice to DHS of the scheduled release date and time for a particular alien, if a State or local government (or

government-contracted) correctional facility receives from DHS a formal written request pursuant to the INA that seeks such advance notice.

#### 2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

#### 3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions (e.g., training) designed to ensure compliance with this condition.

#### 4. Rules of construction

A. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, any State or local government, or any other entity or individual to maintain (or detain) any individual in custody beyond the date and time the individual otherwise would have been released.

#### B. Applicability

(1) Current DHS practice is ordinarily to request advance notice of scheduled release "as early as practicable (at least 48 hours, if possible)." (See DHS Form I-247A (3/17)). If (e.g., in light of the date DHS made such request) the scheduled release date and time for an alien are such as not to allow for the advance notice that DHS has requested, it shall NOT be a violation of this condition to provide only as much advance notice as practicable.

(2) Current DHS practice is to use the same form for a second, distinct purpose -- to request that an individual be detained for up to 48 hours AFTER the scheduled release. This condition does NOT encompass such DHS requests for detention.

C. Both the "Rules of Construction" and the "Important Note" set out in the "No use of funds to interfere with federal law enforcement: Interrogation of certain aliens" award condition are incorporated by reference as though set forth here in full.

Signature

Date

Printed Name

Title

Name of State or Local Government Applicant Entity

#### Appendix B

# Certification regarding Noninterference with Federal Law Enforcement

I am an authorized official of the State or local government applicant entity named below and I have the authority to make this certification on behalf of the applicant. I understand that the Department will rely upon this certification as a material representation in any decision regarding an award to the applicant. On behalf of the State or local government applicant named below, and in order to receive priority consideration for its application, I certify to the Office of Justice Programs ("OJP"), U.S. Department of Justice ("USDOJ"), the following:

The applicant agrees to comply with the following four award conditions (or conditions substantially to the same effect) if it is selected to receive an award made by OJP under this solicitation:

# CONDITION 1: Noninterference (within the funded "program or activity") with federal law enforcement: information-communication restrictions; ongoing compliance

1. With respect to the "program or activity" funded in whole or part under this award (including any such program or activity of any subrecipient at any tier), throughout the period of performance, no State or local government entity, -agency, or -official may prohibit or in any way restrict-- (1) any government entity or - official from sending or receiving information regarding citizenship or immigration status to/from DHS; or (2) a government entity or -agency from sending, requesting or receiving, or exchanging information regarding immigration status to/from/with DHS, or from maintaining such information. Any prohibition (or restriction) that violates this condition is an "information-communication restriction" under this award.

2. The recipient's monitoring responsibilities include monitoring of subrecipient compliance with the requirements of this condition.

3. Allowable costs. Compliance with these requirements is an authorized and priority purpose of this award. To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) that the recipient, or any subrecipient at any tier that is a State, a local government, or a public institution of higher education, incurs to implement this condition.

- 4. Rules of Construction
- A. For purposes of this condition:

(1) "State" and "local government" include any agency or other entity thereof (including any public institution of higher education), but not any Indian tribe.

(2) A "public" institution of higher education is defined as one that is owned, controlled, or directly funded (in whole or in substantial part) by a State or local government. (Such a public institution is considered to be a "government entity," and its officials to be "government officials.")

(3) "Program or activity" means what it means under title VI of the Civil Rights Act of 1964 (see 42 U.S.C. 2000d-4a).

(4) "Immigration status" means what it means under 8 U.S.C. 1373 and 8 U.S.C. 1644; and terms that are defined in 8 U.S.C. 1101 mean what they mean under that section 1101, except that "State" also includes American Samoa.

(5) "DHS" means the U.S. Department of Homeland Security.

B. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, any State or local government, any public institution of higher education, or any other entity (or individual) to violate any federal law, including any applicable civil rights or nondiscrimination law.

IMPORTANT NOTE: Any questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

#### CONDITION 2: No use of funds to interfere with federal law enforcement: informationcommunication restrictions; ongoing compliance

1. Throughout the period of performance, no State or local government entity, -agency, or -official may use funds under this award (including under any subaward, at any tier) to prohibit or in any way restrict--(1) any government entity or -official from sending or receiving information regarding citizenship or immigration status to/from DHS; or (2) a government entity or -agency from sending, requesting or receiving, or exchanging information regarding immigration status to/from/with DHS, or from maintaining such information. Any prohibition (or restriction) that violates this condition is an "information-communication restriction" under this award.

2. The recipient's monitoring responsibilities include monitoring of subrecipient compliance with the requirements of this condition.

3. Allowable costs. Compliance with these requirements is an authorized and priority purpose of this award. To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) that the recipient, or any subrecipient at any tier that is a State, a local government, or a public institution of higher education, incurs to implement this condition.

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(2) A "public" institution of higher education is defined as one that is owned, controlled, or directly funded (in whole or in substantial part) by a State or local government. (Such a public institution is considered to be a "government entity," and its officials to be "government officials.")

(3) "Program or activity" means what it means under title VI of the Civil Rights Act of 1964 (see 42 U.S.C. 2000d-4a).

(4) "Immigration status" means what it means under 8 U.S.C. 1373 and 8 U.S.C. 1644; and terms that are defined in 8 U.S.C. 1101 mean what they mean under that section 1101, except that "State" also includes American Samoa.

(5) "DHS" means the U.S. Department of Homeland Security.

B. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, any State or local government, any public institution of higher education, or any other entity (or individual) to violate any federal law, including any applicable civil rights or nondiscrimination law.

IMPORTANT NOTE: Any questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

# CONDITION 3: Authority to obligate award funds contingent on noninterference (within the funded "program or activity") with federal law enforcement: information-communication restrictions; unallowable costs; notification

1. If the recipient is a "State," a local government, or a "public" institution of higher education:

A. The recipient may not obligate award funds if, at the time of the obligation, the "program or activity" of the recipient (or of any subrecipient at any tier that is a State, a local government, or a public institution of higher education) that is funded in whole or in part with award funds is subject to any "information-communication restriction."

B. In addition, with respect to any project costs it incurs "at risk," the recipient may not obligate award funds to reimburse itself if -- at the time it incurs such costs -- the program or activity of the recipient (or of any subrecipient at any tier that is a State, a local government, or a public institution of higher education) that would be reimbursed in whole or in part with award funds was subject to any information-communication restriction.

C. Any drawdown of award funds by the recipient shall be considered, for all purposes, to be a material representation by the recipient to OJP that, as of the date the recipient requests the drawdown, the recipient and each subrecipient (regardless of tier) that is a State, local government, or public institution of higher education, is in compliance with the award condition entitled "Noninterference (within the funded 'program or activity') with federal law enforcement: information-communication restrictions; ongoing compliance."

D. The recipient must promptly notify OJP (in writing) if the recipient, from its requisite monitoring of compliance with award conditions or otherwise, has credible evidence that indicates that the funded program or activity of the recipient, or of any subrecipient at any tier that is either a State or a local government or a public institution of higher education, may be subject to any information-communication restriction. In addition, any subaward (at any tier) to a subrecipient that is a State, a local government, or a public institution of higher education must require prompt notification to the entity that made the subaward, should the subrecipient have such credible evidence regarding an information-communication restriction.

29 BJA-2020-17118 2. Any subaward (at any tier) to a subrecipient that is a State, a local government, or a public institution of higher education must provide that the subrecipient may not obligate award funds if, at the time of the obligation, the program or activity of the subrecipient (or of any further such subrecipient at any tier) that is funded in whole or in part with award funds is subject to any information-communication restriction.

3. Absent an express written determination by DOJ to the contrary, based upon a finding by DOJ of compelling circumstances (e.g., a small amount of award funds obligated by the recipient at the time of a subrecipient's minor and transitory non-compliance, which was unknown to the recipient despite diligent monitoring), any obligations of award funds that, under this condition, may not be made shall be unallowable costs for purposes of this award. In making any such determination, DOJ will give great weight to evidence submitted by the recipient that demonstrates diligent monitoring of subrecipient compliance with the requirements set out in the "Noninterference ... information-communication restrictions; ongoing compliance" award condition.

#### 4. Rules of Construction

A. For purposes of this condition "information-communication restriction" has the meaning set out in the "Noninterference ... information-communication restrictions; ongoing compliance" condition.

B. Both the "Rules of Construction" and the "Important Note" set out in the "Noninterference ... information-communication restrictions; ongoing compliance" condition are incorporated by reference as though set forth here in full.

# CONDITION 4: Authority to obligate award funds contingent on no use of funds to interfere with federal law enforcement: information-communication restrictions; unallowable costs; notification

1. If the recipient is a "State," a local government, or a "public" institution of higher education:

A. The recipient may not obligate award funds if, at the time of the obligation, the "program or activity" of the recipient (or of any subrecipient at any tier that is a State, a local government, or a public institution of higher education) that is funded in whole or in part with award funds is subject to any "information-communication restriction."

B. In addition, with respect to any project costs it incurs "at risk," the recipient may not obligate award funds to reimburse itself if -- at the time it incurs such costs -- the program or activity of the recipient (or of any subrecipient at any tier that is a State, a local government, or a public institution of higher education) that would be reimbursed in whole or in part with award funds was subject to any information-communication restriction.

C. Any drawdown of award funds by the recipient shall be considered, for all purposes, to be a material representation by the recipient to OJP that, as of the date the recipient requests the drawdown, the recipient and each subrecipient (regardless of tier) that is a State, local government, or public institution of higher education, is in compliance with the award condition entitled "No use of funds to interfere with federal law enforcement: information-communication restrictions; ongoing compliance."

D. The recipient must promptly notify OJP (in writing) if the recipient, from its requisite monitoring of compliance with award conditions or otherwise, has credible evidence that indicates that the funded program or activity of the recipient, or of any subrecipient at any tier that is either a State or a local government or a public institution of higher education, may be subject to any information-communication restriction. In addition, any subaward (at any tier) to a subrecipient that is a State, a local government, or a public institution of higher education must require prompt notification to the entity that made the

subaward, should the subrecipient have such credible evidence regarding an information-communication restriction.

2. Any subaward (at any tier) to a subrecipient that is a State, a local government, or a public institution of higher education must provide that the subrecipient may not obligate award funds if, at the time of the obligation, the program or activity of the subrecipient (or of any further such subrecipient at any tier) that is funded in whole or in part with award funds is subject to any information-communication restriction.

3. Absent an express written determination by DOJ to the contrary, based upon a finding by DOJ of compelling circumstances (e.g., a small amount of award funds obligated by the recipient at the time of a subrecipient's minor and transitory non-compliance, which was unknown to the recipient despite diligent monitoring), any obligations of award funds that, under this condition, may not be made shall be unallowable costs for purposes of this award. In making any such determination, DOJ will give great weight to evidence submitted by the recipient that demonstrates diligent monitoring of subrecipient compliance with the requirements set out in the "No use of funds to interfere ... information-communication restrictions; ongoing compliance" award condition.

4. Rules of Construction

A. For purposes of this condition "information-communication restriction" has the meaning set out in the "No use of funds to interfere ... information-communication restrictions; ongoing compliance" condition.

B. Both the "Rules of Construction" and the "Important Note" set out in the "No use of funds to interfere ... information-communication restrictions; ongoing compliance" condition are incorporated by reference as though set forth here in full.

Signature

Date

Printed Name

Title

Name of State or Local Government Applicant Entity

#### Appendix C

# Certification regarding Nondisclosure of Federal Law-Enforcement Information

I am an authorized official of the State or local government applicant entity named below and I have the authority to make this certification on behalf of the applicant. I understand that the Department will rely upon this certification as a material representation in any decision regarding an award to the applicant. On behalf of the State or local government applicant named below, and in order to receive priority consideration for its application, I certify to the Office of Justice Programs ("OJP"), U.S. Department of Justice ("USDOJ"), the following:

The applicant agrees to comply with the following two award conditions (or conditions substantially to the same effect) if it is selected to receive an award made by OJP under this solicitation:

# CONDITION 1: Noninterference (within the funded "program or activity") with federal law enforcement: No public disclosure of certain law-enforcement-sensitive information

SCOPE. This condition applies with respect to the "program or activity" that is funded (in whole or in part) by the award, as of the date the recipient accepts this award, and throughout the remainder of the period of performance. Its provisions must be among those included in any subaward (at any tier).

1. Noninterference: No public disclosure of federal law-enforcement information in order to conceal, harbor, or shield

Consistent with the purposes and objectives of federal law enforcement statutes and federal criminal law (including 8 U.S.C. 1324 and 18 U.S.C. chs. 1, 49, 227), no public disclosure may be made of any federal law-enforcement information in a direct or indirect attempt to conceal, harbor, or shield from detection any fugitive from justice under 18 U.S.C. ch. 49, or any alien who has come to, entered, or remains in the United States in violation of 8 U.S.C. ch. 12 -- without regard to whether such disclosure would constitute (or could form a predicate for) a violation of 18 U.S.C. 1071 or 1072 or of 8 U.S.C. 1324(a).

2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions (e.g., training) designed to ensure compliance with this condition.

4. Rules of construction

A. For purposes of this condition--

(1) the term "alien" means what it means under section 101 of the Immigration and Nationality Act (see 8 U.S.C. 1101(a)(3));

(2) the term "federal law-enforcement information" means law-enforcement-sensitive information communicated or made available, by the federal government, to a State or local government entity, - agency, or -official, through any means, including, without limitation-- (1) through any database, (2) in connection with any law enforcement partnership or -task-force, (3) in connection with any request for law enforcement assistance or -cooperation, or (4) through any deconfliction (or courtesy) notice of planned, imminent, commencing, continuing, or impending federal law enforcement activity;

(3) the term "law-enforcement-sensitive information" means records or information compiled for any lawenforcement purpose; and

(4) the term "public disclosure" means any communication or release other than one-- (a) within the recipient, or (b) to any subrecipient (at any tier) that is a government entity.

B. Both the "Rules of Construction" and the "Important Note" set out in the "Noninterference (within the funded "program or activity") with federal law enforcement: information-communication restrictions; ongoing compliance" award condition are incorporated by reference as though set forth here in full.

# CONDITION 2: No use of funds to interfere with federal law enforcement: No public disclosure of certain law-enforcement-sensitive information

SCOPE. This condition applies as of the date the recipient accepts this award, and throughout the remainder of the period of performance. Its provisions must be among those included in any subaward (at any tier).

1. No use of funds to interfere: No public disclosure of federal law-enforcement information in order to conceal, harbor, or shield

Consistent with the purposes and objectives of federal law enforcement statutes and federal criminal law (including 8 U.S.C. 1324 and 18 U.S.C. chs. 1, 49, 227), no funds under this award may be used to make any public disclosure of any federal law-enforcement information in a direct or indirect attempt to conceal, harbor, or shield from detection any fugitive from justice under 18 U.S.C. ch. 49, or any alien who has come to, entered, or remains in the United States in violation of 8 U.S.C. ch. 12 -- without regard to whether such disclosure would constitute (or could form a predicate for) a violation of 18 U.S.C. 1071 or 1072 or of 8 U.S.C. 1324(a).

#### 2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

#### 3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions (e.g., training) designed to ensure compliance with this condition.

#### 4. Rules of construction

A. For purposes of this condition--

(1) the term "alien" means what it means under section 101 of the Immigration and Nationality Act (see 8 U.S.C. 1101(a)(3));

(2) the term "federal law-enforcement information" means law-enforcement-sensitive information communicated or made available, by the federal government, to a State or local government entity, - agency, or -official, through any means, including, without limitation-- (1) through any database, (2) in connection with any law enforcement partnership or -task-force, (3) in connection with any request for law enforcement assistance or -cooperation, or (4) through any deconfliction (or courtesy) notice of planned, imminent, commencing, continuing, or impending federal law enforcement activity;

(3) the term "law-enforcement-sensitive information" means records or information compiled for any lawenforcement purpose; and

(4) the term "public disclosure" means any communication or release other than one-- (a) within the recipient, or (b) to any subrecipient (at any tier) that is a government entity.

B. Both the "Rules of Construction" and the "Important Note" set out in the "No use of funds to interfere with federal law enforcement: information-communication restrictions; ongoing compliance" award condition are incorporated by reference as though set forth here in full.

Signature

Date

Printed Name

Title

Name of State or Local Government Applicant Entity

#### Appendix D: Application Checklist FY 2020 Innovations in Community-Based Crime Reduction Program

This application checklist has been created as an aid in developing an application.

### What an Applicant Should Do:

#### Prior to Registering in Grants.gov:

	or to registering in Grante.gov.			
	Acquire a DUNS Number	(see <u>OJP Gra</u>	nt Application Resource Guide)	
	Acquire or renew registration with SAM	(see OJP Gra	nt Application Resource Guide)	
То	Register with Grants.gov:			
	Acquire AOR and Grants.gov username <u>Resource Guide</u> )	/password	(see OJP Grant Application	
	Acquire AOR confirmation from the E-Bi <u>Resource Guide</u> )	z POC	(see OJP Grant Application	
To Find Funding Opportunity:				
	Search for the Funding Opportunity on ( <u>Resource Guide</u> )	Grants.gov	(see OJP Grant Application	
	Access Funding Opportunity and Applica Resource Guide)	ation Package	(see OJP Grant Application	
	Sign up for Grants.gov email <u>notification</u> <u>Resource Guide</u> )	<mark>s</mark> (optional)	(see OJP Grant Application	
	Read Important Notice: Applying for Grants in Grants.gov			
	Read OJP policy and guidance on conference approval, planning, and reporting			

 Read OJP policy and guidance on conference approval, planning, and reporting available at <u>ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm</u> (see <u>OJP Grant Application Resource Guide</u>)

### After Application Submission, Receive Grants.gov Email Notifications That:

- □ (1) application has been received
- (2) application has either been successfully validated or rejected with errors (see <u>OJP</u> <u>Grant Application Resource Guide</u>)

If No Grants.gov Receipt, and Validation or Error Notifications are Received:

□ Contact the NCJRS Response Center regarding technical difficulties (see page 2)

### **Overview of Post-Award Legal Requirements:**

Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2020 Awards" in the OJP Funding Resource Center.

### Scope Requirement:

□ The federal amount requested is within the allowable limit of \$1,000,000

**Eligibility Requirement:** Eligible applicants are limited to institutions of higher education (including tribal institutions of higher education), units of local government, nonprofit

organizations (including tribal nonprofit organizations), and federally recognized Indian tribal governments (as determined by the Secretary of the Interior) acting as a fiscal agent thereof

### What an Application Should Include:

The following items are critical application elements required to pass Basic Minimum Requirements review. An application that OJP determines does not include the application elements that must be included in the application submission in order for the application to meet the basic minimum requirements, will neither proceed to peer review, nor receive further consideration.

- Program Narrative (see page 10)
  Budget Narrative and Worksheet <u>Guide</u>)
  MOU/Letter of Support (see page 13)
- Application for Federal Assistance (SF-424) <u>Resource Guide</u>)

(see OJP Grant Application

Project Abstract (see page 9)

#### **Additional Attachments:**

- □ Timeline (see page 14)
- □ Résumé or job description of key staff (see page 15)
- □ If seeking Project Guardian priority consideration, include a letter of interest from the United States Attorney that demonstrates how this project will directly support Project Guardian.
- Request and Justification for Employee Compensation; Waiver (if applicable) (see page 8)
- Documentation of rural challenges (if applicable) (see page 12)
- Documentation of high-poverty areas or persistent poverty counties (if applicable) (see page 12)
- Documentation of enhanced public safety in federally designated Qualified Opportunity Zones (if applicable) (see page 12)
- □ Certifications regarding enhancing criminal justice and public safety through lawenforcement-related award conditions (if applicable) (see page 13)
  - Certification regarding Cooperation with Federal Law Enforcement (if applicable) (see page 13)
  - Certification regarding Noninterference with Federal Law Enforcement (if applicable) (see page 13)
  - Certification regarding Nondisclosure of Federal Law-Enforcement Information (if applicable) (see page 13)