Global Highlights

Clobal Justice
Information
Sharing
Initiative
United States
Department of Justice

Fall 2008



Dear Justice Professionals~

Our neighbors, communities, and nation deserve the best possible commission of justice through improved information sharing, and they appropriately expect protection of privacy and civil liberties in those exchanges. I'm proud that in this arena, the U.S. Department of Justice's (DOJ) Global Justice Information Sharing Initiative (Global) is again "leading the way," ensuring that *enhanced sharing* and *privacy protections* aren't mutually exclusive propositions.

In this issue of *Global Highlights*, we spotlight privacy-related recommendations and accomplishments—leveraged by local, state, and tribal agencies and powerful federal allies alike—of Global, particularly its Privacy and Information Quality Working Group (GPIQWG), led by Global Advisory Committee (GAC) Vice Chair Carl Wicklund. Resources available at www.it.ojp.gov/global include:

- GPIQWG's fundamental resource, Privacy and Civil Liberties Policy Development Guide and Implementation Templates ("Guide"), a practical, easyto-use, hands-on tool. I suggest practitioners charged with developing or revising their agency's privacy and civil liberties policy begin here.
- Policy Development Checklist and 10 Steps to Privacy (see page 4), new resources enhancing use of the Guide.
- Global's Implementing Privacy Policy in Justice Information Sharing: A Technical Framework, outlining the automation of privacy policies.

Global groups have also significantly contributed to the revision of 28 Code of Federal Regulations (CFR) Part 23, governing operating policies of criminal intelligence systems that receive federal funding. As this edition goes to press, the regulations are undergoing refinement to ensure that systems "are not utilized in violation of the privacy and constitutional rights of individuals" in the new post-9/11

information sharing environment. Stay tuned to the Global Web site for developments on the revision.

Funding times are tougher than ever, but with all the positive momentum the initiative is generating in critical areas such as privacy, it is imperative that we vigorously communicate Global achievements to our federal supporters. Responsively, on April 10, GAC members unanimously supported the Resolution (page 2) asking DOJ that the "highest priority be placed on providing sustainment funding...." In June, a Global team (pictured below) met with U.S. DOJ Deputy Attorney General (DAG)

Mark Filip to personally deliver that Resolution and underscore the importance of Global continuation for the million-plus practitioners we serve. A good sign: on June 26, the Global Charter was reauthorized for two years. I appreciate this expression of confidence by the DAG and remain optimistic about accompanying resources.

Thanks go out to all our partners who "spread the word" about Global and its resources, such as the Justice Research and Statistics Association (JRSA), which recently featured Global in its newsletter (including an interview with GPIQWG member Phillip Stevenson, page 3). I encourage more Global member agencies and collaborators to follow JRSA's lead.

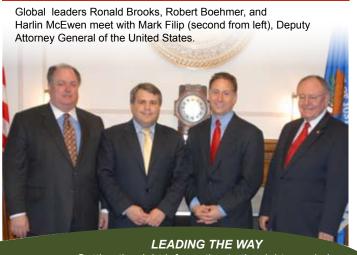
I'll close by congratulating Global federal officials

Patrick McCreary and Christopher Traver and Global

Executive Steering Committee (GESC) member

Harlin McEwen for their recent accolades (pages 4, 6, and 8). Global is a success because of volunteers and practitioners like you who put our resources to use.

I look forward to seeing you October 23 at the fall GAC meeting.



Getting the right information to the right people in the right place at the right time

The unanimously supported GAC recommendation to DOJ for continued Global support

Resolution

Global Justice Information Sharing Initiative

WHEREAS, the Global Justice Information Sharing Initiative (Global) was created in 1998 under the Federal Advisory Committee Act (FACA) to serve as an Advisory Committee to the U.S. Attorney General;

WHEREAS, Global serves as the formal vehicle for local, state, and tribal justice entities to provide recommendations to the U.S. Attorney General promoting information sharing and interoperability;

WHEREAS, several hundred representatives from leading organizations within the justice community volunteer countless hours to the Global Initiative through participation on the Global Advisory Committee and Global's five working groups;

WHEREAS, the time commitment and expertise provided by Global's local, state, tribal, and private sector volunteers are immeasurable, both in terms of cost savings and benefits to the justice community;

WHEREAS, Global membership provides a ready cadre of subject-matter experts to support the development of tools, as well as policy and practice recommendations for information sharing to assist the justice and homeland security communities;

WHEREAS, Global assists the U.S. Department of Justice and its bureaus, as well as the U.S. Department of Homeland Security, the Office of the Director of National Intelligence, and the Office of the Program Manger, Information Sharing Environment, in achieving their information sharing missions;

WHEREAS, Committee members report to their respective organizations and constituents to ensure consistent adoption and implementation of Global recommendations and information sharing solutions;

WHEREAS, Global is leading the way in supporting the development of national standards promoting information sharing in the areas of privacy, intelligence, infrastructure, and security;

WHEREAS, Global resources—such as the National Criminal Intelligence Sharing Plan, the Fusion Center Guidelines, the Privacy and Civil Liberties Policy Development Guide and Implementation Templates, and the National Information Exchange Model—are widely recognized throughout the justice and homeland security communities as the blueprints and leading sources of information in their topic areas;

WHEREAS, Global, because of its broad local, state, and tribal representation, is recognized as a credible forum for justice solutions;

WHEREAS, any lapse in funding for this important initiative would result in critical loss of momentum and continuity; delay or halt progress on the development of important tools and guidelines for the justice community; and eliminate an important vehicle for local, state, and tribal input regarding information sharing solutions;

WHEREAS, as a new administration transitions into office and is faced with addressing information sharing challenges, such as protecting privacy and civil liberties; safeguarding our nation's children through information sharing capabilities such as the Amber Alert and the Dru Sjodin National Sex Offender Public Website; protecting the sharing capabilities such as the Amber Alert and the Dru Sjodin National Sex Offender Public Website; protecting the homeland through fusion centers; providing the public with a more efficient, effective commission of justice through national standards that enable sharing between all levels of government in a cost-effective manner; and building strong partnerships across agencies and department lines;

THEREFORE, BE IT RESOLVED and strongly recommended that the Global Initiative be recognized and strongly supported in a systematic and ongoing manner, through institutionalized funding measures, to further its vital mission and to continue the development of recommendations and solutions that benefit the local, tribal, state, and federal justice communities, and indeed the entire nation.

Editor's Note:

Spreading the Word About Global

Mr. Phillip Stevenson

Director, Arizona Statistical Analysis Center Justice Research and Statistics Association (JRSA) Executive Committee member and JRSA representative on the Global Privacy and Information Quality Working Group

Editor [E]: Director Stevenson, how is JRSA involved in the U.S. Department of Justice's Global Justice Information Sharing Initiative?

Phillip Stevenson [PS]: As a member of JRSA's Executive Committee and chair of the Training, Technology, and Technical Assistance Committee, I represent our association on the Global Privacy and Information Quality Working Group (GPIQWG).

E: How long have you contributed your expertise to the Global Initiative?

PS: I was asked to join GPIQWG in August of 2007.

E: Are there similarities between the underlying missions of Global and JRSA/Statistical Analysis Centers (SACs)?

PS: I think the missions of Global and JRSA are very complementary. Global's mission of ensuring timely, accurate, complete, and accessible justice-related information in a secure and trusted environment will enhance the scope and value of work conducted by JRSA and SACs. Criminal justice researchers and policy analysts—who make up the membership of JRSA and staff the SACs—recognize the challenges of linking data from disparate systems and the valuable knowledge that can be obtained from successful efforts to do so.

Of particular importance to JRSA and SACs are the development, analysis, and dissemination of objective and accurate information to enhance the effectiveness of criminal justice practice and policy at the local, state, and national levels. These things can only be accomplished when we have access to timely, accurate, and complete data—and that's where contributions of Global come into play.

E: Which Global activities and/or Global resources do you think hold the greatest promise for the JRSA/SACs community?

PS: I am very excited about the work that the GPIQWG is doing in the area of information quality.

Currently, we are working on an information quality assessment process that will assist justice agency personnel responsible for data in better understanding the strengths and weaknesses of their agencies' information, particularly in relation to the organization's mission. At the same time,



this process emphasizes that because of data sharing, the quality of any single agency's information impacts its justice partners' ability to conduct work effectively, efficiently, and accurately. The fact sheet Information Quality: The Foundation for Justice Decision Making [available at www.it.ojp.gov/documents/IQ Fact Sheet Final.pdf] provides a number of examples of why data quality is critical to information sharing and the "business" of effective justice. In conjunction with the information quality assessment process, we are also working on an Information Quality Guidebook. This framework will assist justice agency personnel in developing a program for their agency to ensure the information collected, used, and disseminated is of the highest quality. Here again is recognition of the previously mentioned tenet: As we continue to enhance justice agencies' abilities to share data, facilitating the highest quality of that shared information is critical to the success of the entire system.

E: Why should a reader care about Global? What do you think makes this initiative unique, something to which you are willing to volunteer your valuable time?

PS: What Global is doing—supporting the development of standards and technologies that facilitate the efficient sharing of information among justice agencies—will enhance the ability of criminal justice researchers to do relevant work. This is what drives those of us doing applied criminal justice research: the belief that our efforts can inform the policies and practices of justice agencies and enhance the effectiveness of the criminal justice system.

I am honored to have the opportunity to participate on the GPIQWG and to work with colleagues who are committed to the working group's mission and activities. Memberships of the GPIQWG and larger Global Advisory Committee

include individuals representing a wide range of criminal justice and related organizations at the local, state, tribal, and federal levels who are embracing the challenges of our work, actively participating in meetings and working group activities, and—most important—getting things done. It is also particularly noteworthy that GAC and GPIQWG members include all levels of professionals, from agency executives to the actual consumers of information—the people who make critical decisions every day, based on shared justice information, that ultimately impact the lives and safety of our communities and our nation. The participation of those practitioners—those data consumers using our information daily—keeps us grounded as to why this work is so important.

The Justice Research and Statistics Association (JRSA) is a national nonprofit organization of state Statistical Analysis Center (SAC) Directors and other researchers and practitioners throughout government, academia, and criminal justice organizations. JRSA conducts and publishes policy-relevant research on justice issues, provides training and technical assistance, and maintains a clearinghouse of state criminal justice activities. SACs are state agencies that collect, analyze, and disseminate justice data. They contribute to effective state policies through statistical services, research, evaluation, and policy analysis.

This interview was first published in the March 2008 edition of JRSA's *The Forum* newsletter. To learn more about JRSA and view association resources, including *The Forum*, visit www.jrsainfo.org/.

Global Unveils Improved Web Site

www.it.ojp.gov

- ◆ Cleaner, more intuitive layout = easier navigation
- Google-enabled search capabilities, centralized help areas, and leading "how do I?" questions = finding what you're looking for, even if you're not sure where to start
- Customizable features—user profiles, content and events calendar filters, and e-mail subscriptions = information that is relevant to you, delivered to your "doorstep" (desktop, laptop, or PDA)

 An upgraded one-stop shop for Global news, events, products, and publications



New Global Resources

At the spring 2008 GAC meeting, Committee members unanimously recommended the following GPIQWG resources:

◆ Privacy and Civil Liberties Policy Development Guide and Implementation Templates: Policy Development Checklist—This product is a companion piece to



the GPIQWG's hallmark resource, the privacy Guide, and serves as a self-assessment checklist to assist privacy policy authors, project teams, and agency administrators in evaluating whether

their draft policy has incorporated all of the components outlined in the Guide.

 10 Steps to Privacy—This one-page executive overview is targeted to high-level, managerial, and administrative



functions within an agency to demonstrate the ten core steps an agency can follow to develop a privacy policy.

What's next for this Working Group?

Coming next is the release of the Information Quality Program Guide, a resource highlighting key components of an agency information quality (IQ) program. Chapters include an IQ

overview; a process for ensuring IQ within an organization; how to analyze and establish organization IQ standards; IQ program elements; and implementation, evaluation, and tools (including an IQ assessment questionnaire that can be generically applied to justice processes). Look for this Guide in late fall.

Privacy resources are available online at www.it.ojp.gov /topic.jsp?topic_id=55 or in hard-copy format from Global staff at drinehart@iir.com.

High-Profile Spotlight

Global on the Hill

In recent months, Global has been spotlighted multiple times on Capitol Hill.

- In his statement on fusion centers to the U.S. Senate Committee on Homeland Security and Governmental Affairs, U.S. DOJ Chief Information Officer (CIO) Van Hitch stated: "The success we have seen so far with the establishment of fusion centers is mainly due to a select group of committed State and local law enforcement and homeland security professionals.... In particular, the steady leadership of the Global Justice Information Sharing Initiative's Criminal Intelligence Coordinating Council has not only nurtured the idea, framework, and guidelines...but has also worked to ensure these fusion centers are successful.... I cannot conclude my comments...without highlighting the important work of the Global community.... As the Federal organizations develop new ideas and tools related to sharing, Global is our sounding board." (Read the entire statement at www.usdoj.gov /jmd/ocio/04-17-08hitchtestimony-fsioncenters.pdf.)
- In testimony before the same Committee, Under Secretary Charles E. Allen, Intelligence and Analysis, U.S. Department of Homeland Security (DHS), highlighted the "...significant progress [DHS] has made in working with our Federal partners —most notably the DOJ/FBI, the DNI, and NCTC—to ensure that the Federal government is working in concert on these issues to maximize the benefit of our actions.... I [also] want to describe the myriad ways DHS and our Federal partners work with our non-Federal partners [including state, local, and tribal agencies] to ensure that information is gathered and shared among all of us working to protect our country and all who live here." (Read the entire testimony at www.dhs.gov/xnews /testimony/testimony 1216992676837.shtm.)
- In the second Annual Report to the Congress on the Information Sharing Environment (ISE), issued in June 2008 by the Office of the Program Manager, ISE (PM-ISE), the Global Initiative is referenced as a fundamental information sharing effort leveraged by the PM-ISE. The report outlines the state of the ISE, highlights areas of improved information sharing, and demonstrates ISE's value to the nation's counterterrorism mission. (The report is available at www.fas.org/irp /agency/ise/2008report.pdf.)

Collectively, the testimonies and PM-ISE report highlight Global-associated activities and deliverables, including the National Fusion Center Conferences, the Fusion Center Guidelines, Privacy and Civil Liberties Policy Development Guide and Implementation Templates and other privacy-related efforts, the Law Enforcement National Data Exchange (N-DEx), Suspicious Activity Reporting (SAR), and the National Information Exchange Model (NIEM). They also feature the President's National Strategy for Information Sharing, in which the Global Initiative is specifically referenced.

Global Partner's Updated Resource for Justice Practitioners

Standard Functional Specifications for Law Enforcement Records Management Systems Version II

is now available!

The International Association of Chiefs of Police's (IACP) Law Enforcement Information Technology Standards Council (LEITSC or "Council") is excited to announce the release of the Standard Functional Specifications for Law Enforcement Records Management Systems Version II.

With support from the Office of Justice Programs (OJP), BJA, and the National Institute of Justice (NIJ), LEITSC recently released the latest version of these tools, providing significant guidance to agencies developing a request for proposal (RFP) for purchasing or upgrading a Records Management System (RMS). The tools are designed to inform law enforcement about the basic functional requirements that all RMS systems should consider to promote interoperability.

Version II retains all 25 of the original functions from Version I but has added such updates as:

- Information regarding new technologies relevant to RMS—such as Service-Oriented Architecture (SOA), Global's Justice Reference Architecture (JRA), and Software as a Service (SaaS)—as well as privacy and data quality requirements
- Current information related to Crash Reporting
- Updates to the Protection Order module
- Incorporation of the Federal Bureau of Investigation's N-DEx program
- Inclusion of the evolving Suspicious Activity Report (SAR) process
- Information regarding Statutory Registrations

Readers can access this resource at www.leitsc.org/Files /LawEnforcementRMSv2.pdf.

IACP's Law Enforcement Information Technology Standards Council (LEITSC) seeks to foster the growth of strategic planning and implementation of integrated justice systems. LEITSC is a valuable collaborator with and contributor to Global efforts, and the organizations enjoy a long-standing, mutually beneficial relationship. For more information on the Council, visit www.leitsc.org/.

Criminal Intelligence Training Master Calendar

http://mastercalendar.ncirc.gov/ Your One-Stop Shop for Intelligence Training!

The availability of intelligence-related training has grown exponentially during the last few years. This, in itself, is a good thing. However, this increase in offerings has often served to complicate finding the right training, necessitating sifting through multiple sources in multiple media. Locating training in close proximity to an agency to reduce travel expenses and time out of the office creates further difficulties.

The solution? An exciting new tool is available to law enforcement agencies from coast to coast: the Criminal Intelligence Training Master Calendar. Serving as a

- Nationwide listing
- Current training available
- Reduce travel expenses
- Reduce time out of the office
- Single point of access

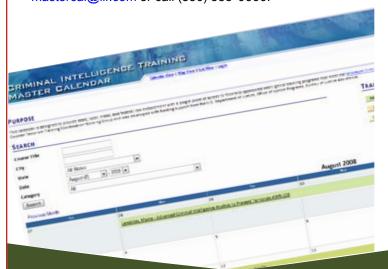
one-stop shop for all intelligence-related law enforcement training nationwide, the Calendar provides a current list of training in an easily accessible format.

Gone are the days

of searching multiple Web sites to find a specific type of training offered during specific dates in a particular location.

This comprehensive resource provides local, state, tribal, and federal law enforcement with a single point of access to federally sponsored intelligence training programs that meet the requirements of the *Minimum Criminal Intelligence Training Standards for Law Enforcement and Other Criminal Justice Agencies in the United States*. The Calendar is an initiative of the Counter-Terrorism Training Coordination Working Group and was developed with funding support from BJA.

If you have any questions or need assistance, please e-mail mastercal@iir.com or call (850) 385-0600.

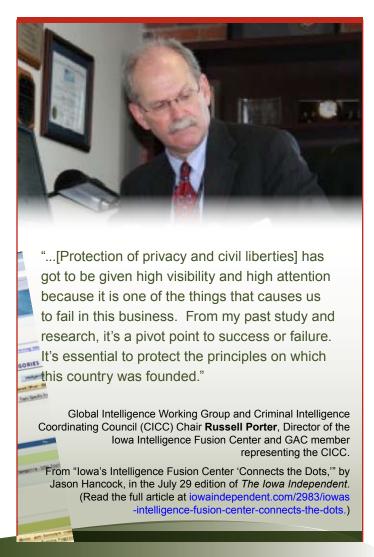


Christopher Traver — Accolade for NIEM Leadership

Congratulations to BJA Senior Policy Advisor Chris Traver, named a Federal Computer Week 2008 "Rising Star." Award



selection was based on individuals who "exemplify the strengths of the emerging generation of IT leaders, mixing technical savvy and innovative thinking with an instinct for collaboration and a commitment to the government's mission." Mr. Traver is honored for his exemplary leadership in driving the development of NIEM. More information is available at www.gcn.com/print/27_20/46878-1.html.





Global-Supported Resources in Action

National Information Exchange Model (NIEM)

DHS Collaboration and Support Vital to NIEM Success

On September 15, DOJ CIO Van Hitch announced that Ms. Donna Roy, DHS, has been appointed Acting NIEM Executive Director. Mr. Justin Murphy, DOJ, will serve as Acting Deputy Director.

NIEM has a proud history of exemplifying the Global tenet of strength through partnership: the ideal that the sum is greater than the parts. From inception, the NIEM program has been a joint DOJ and DHS effort. DHS has recently demonstrated exceptionally

strong leadership and provided critical funding support for NIEM. This is particularly important, since during the past 12 months, NIEM has experienced significant growth as an adopted standard in areas beyond the justice and public safety communities. This is a key to our collective national efforts to share data consistent with the President's *National Strategy for Information Sharing* and the 9/11 Commission Act.

For more information, visit www.niem.gov.

Case Study: Texas Path to NIEM

Agency Overview

The Texas Department of Public Safety (DPS) provides public safety services to people in Texas by enforcing laws, administering regulatory programs, managing records, educating the public, and managing emergencies, both directly and through interaction with other agencies.

The Challenge

Texas was faced with coordinating the development and operation of justice systems maintained or managed by participating state and local justice entities using the NIEM standards so that these systems are able to share information consistently and accurately in a manner that maximizes the services provided to justice information users in Texas.

The Solution

The five-year-old Texas Justice Information Exchange Strategic Plan needed to be updated, and NIEM 2.0-conformant Information Exchange Package Documentation (IEPD) for 28 high-priority information exchanges needed to be developed.

The Results

DPS has an updated plan reflecting its current environment and direction, and it has 28 completed IEPDs ready for system implementation.

This case study first ran in the May 30, 2008, edition of the NIEM Newsletter.

Case Study: Alabama Criminal Justice Information Center

The Background

This case study highlights the successful development of two NIEM 2.0-conformant IEPDs and electronic data transmission involving the N-DEx subset of Uniform Crime Report (UCR) incident/offense and arrest data and Suspicious Activity Report (SAR) data, through the Alabama Criminal Justice Information Center (ACJIC).

The Challenge

The ACJIC intrastate UCR Local Template for Reporting and Analysis (ULTRA) was developed prior to the establishment of NIEM 2.0 as the national standard. Additionally, ACJIC's intrastate Secure Homeland Access and Reporting Environment (SHARE) must be complemented by the amount of SAR information that it collects and has been limited by the amount of information entered by law enforcement and private sector security personnel.

The Solution

ACJIC support by the National Governors Association (NGA) and BJA led to the development of two NIEM IEPDs to facilitate sharing of Alabama's UCR data with other states through N-DEx and SAR data generated by Alabama law enforcement agencies and private security personnel with fusion centers located in other states.

Results

Results include the ultimate creation of two NIEM 2.0-conformant IEPDs.

This case study first ran in the July 31, 2008, edition of the NIEM Newsletter.

Chief Harlin R. McEwen Lauded by Industry

IJIS Executive Director Paul Wormeli (left) at the August 5 ceremony honoring Chief Harlin McEwen as the recipient of the 2008 Robert P. Shumate Contributor of Excellence Award.

Photo courtesy of Matthew D'Alessandro, Motorola/IJIS Institute and member of the Global Outreach Working Group.



Sincere congratulations are extended to GESC member Harlin McEwen, Chairman, IACP Communications and Technology Committee (a post he has held for almost 30 years), and GAC representative from the IACP. On August 5, to an enthusiastic standing ovation, Chief McEwen was honored with the Robert P. Shumate Contributor of Excellence Award by the IJIS Institute Board of Directors.

The prestigious award is given to the person from either industry or the public sector who IJIS Institute members feel made the most valuable contribution to justice and public safety information sharing during the past year. The presentation was made by IJIS Institute President Glenn Archer on behalf of the IJIS members and affiliated companies at the annual justice and technology forum sponsored by the IJIS Institute, the National Criminal Justice Association, and BJA.

Fellow GESC member Steven Correll, Executive Director and GAC representative from Nlets—The International Justice and Public Safety Network, received the award last year.

The IJIS Institute is Global's long-standing private industry partner. Readers are encouraged to learn more about the Institute at www.ijis.org.



"As Global continues its efforts to improve the justice field's ability to effectively share information, we must be vigilant to ensure privacy and civil liberties concerns are adequately addressed, or our best efforts will be rightly suspect in the eyes of the public."

Carl Wicklund, GPIQWG Chair

Upcoming Global-Related Events

Global Infrastructure/Standards Working Group Meeting

October 14-15, 2008-Cincinnati, OH

Global Executive Steering Committee Meeting October 22, 2008—National Harbor, MD

Fall 2008 Global Advisory Committee (GAC) Meeting October 23, 2008—National Harbor, MD

*This event is open to the public; please e-mail drinehart@iir.com for more information and registration details.

Global Privacy and Information Quality Working Group Meeting

December 16, 2008—Washington, DC, area

Spring 2009 GAC Meeting

April 23, 2009—Falls Church, VA

For information on other training programs, conferences, and meetings that may be of interest, please visit the Event Calendar on the OJP IT Initiatives Web site at www.it.ojp.gov.



Save the Date!

The 2009 National Fusion Center Conference will be held March 10–12, 2009, in Kansas City, Missouri.

Registration opens soon!

The conference agenda will provide attendees with an opportunity to learn more about issues directly affecting fusion centers. Featuring both plenary and breakout sessions, the conference will cover a variety of topics, including Funding and Sustainment, Privacy, Baseline Capabilities, Suspicious Activity Reporting Projects, Threats/Alerts/Warnings, Security, Training, Technical Assistance, and MORE.

