PREA Amendment
Justice for All Reauthorization Act of 2016
Fact Sheet

Signed into law on December 16, 2016, the PREA amendment under section 7 of the Justice for All Reauthorization Act (JFARA) of 2016 (Pub. L. No. 114-324), includes a number of important changes to the PREA statute. This fact sheet provides governors and other stakeholders with obligations under PREA with an overview of key provisions in the PREA amendment, how the amendment will impact efforts across the country to implement the PREA Standards, and important information regarding the Department of Justice’s (DOJ’s) ongoing efforts to implement these new requirements. Please check this page frequently for updates, forthcoming frequently asked questions (FAQs) on the amendment, and more.

The following key provisions of the PREA amendment are described below:

I. New grant funding abeyance option for states submitting an assurance;
II. Impact on DOJ grant programs;
III. New reporting requirements; and
IV. Sunset of the assurance option.

For a more comprehensive understanding of the new provisions, we recommend that you examine the statute.

I. New Grant Funding Abeyance Option for States Submitting an Assurance
For three years following the date of enactment of the JFARA, governors not able to certify full compliance with the PREA Standards have two options:

1) Provide an assurance to DOJ that the State intends to adopt and achieve full compliance with the PREA standards, and, therefore, will use not less than 5 percent of impacted DOJ grant funds to work towards and achieve full compliance with the PREA Standards in future years; or

2) Request that the Attorney General hold these grant funds in abeyance.

Disposition of Grant Funds Held in Abeyance
The PREA amendment describes how funds held in abeyance will be managed and expended. See 42 U.S.C. §15607(e)(2)(E).

- States\(^1\) that select the abeyance option and that are able to submit a certification within three years following enactment of the JFARA will, upon submission of the certification, be able to reclaim the balance of funds held in abeyance to be used for the original purpose(s) of the impacted DOJ grant program(s).

- States that select the abeyance option and that are unable to submit a certification in the 3-year period following enactment of the JFARA that have audited at least 2/3 of facilities under the operational control of the executive branch will have the option to submit an assurance and receive the funds held in abeyance to be used solely for PREA compliance purposes.

- States that select the abeyance option and, within the 3-year period following enactment of the JFARA, are unable to certify full compliance or provide an assurance that at least 2/3 of applicable facilities have been audited will relinquish the balance held in abeyance for redistribution to other states to be used for the original purpose(s) of the impacted DOJ grant program(s).

\(^1\) In this fact sheet, “state” refers to states, U.S. territories, and the District of Columbia.
Abeyance Timeline
By statute, the abeyance option sunsets on December 16, 2019. Therefore, governors who submit an assurance had three opportunities to use the abeyance option: FY 2017, FY 2018, and FY 2019. The certification and assurance submission deadline for FY 2019 on October 15, 2018 was the final opportunity for governors to select the abeyance option. The final disposition of funds held in abeyance is determined based on governors’ certification and assurance submissions for Audit Year 3 of Cycle 2 (August 20, 2018 – August 19, 2019). The deadline for this certification/assurance submission is October 15, 2019 and will impact FY 2020 DOJ grant funds. Information on submission requirements was provided to states in September 2019.

II. Impact on DOJ Grant Programs
The PREA amendment exempts grant programs administered by the Office on Violence Against Women; therefore, starting in FY 2017, the Services, Training, Officers, and Prosecutors (STOP) Violence Against Women Formula Grant Program was no longer subject to the 5 percent reallocation or reduction under the PREA statute. See 42 U.S.C. § 15607(e)(1)(A).

Two remaining DOJ grant programs are subject to this statutory provision:

1) Edward Byrne Memorial Justice Assistance Grant Program administered by the Bureau of Justice Assistance, and

2) Juvenile Justice and Delinquency Prevention Act Formula Grant Program administered by the Office of Juvenile Justice and Delinquency Prevention.

New Reporting Requirements
The PREA amendment includes several new reporting requirements for states submitting certifications and assurances, as well as additional provisions designed to enhance transparency regarding states’ ongoing work to implement the PREA Standards. The PREA amendment requires:

- Governors to provide certain compliance information with their annual certification or assurance submission to DOJ. The annual letter to governors regarding the PREA certification and assurance process provides important information about how to comply with the required reporting requirements.

- The Attorney General to post all final audit reports for facilities considered to be under the operational control of each state’s executive branch to an appropriate website, and to update this website annually. Please visit the following website to view final audit reports for facilities considered to be under the operational control of each state’s executive branch: www.bja.gov/state-PREA-submissions/.

III. Sunset of the Assurance Option
The PREA statute provides that, if a governor is not able to certify to DOJ that his/her state is in full compliance with the PREA Standards, the governor has the option to submit an assurance to DOJ that not less than 5 percent of certain DOJ grant funds will be used solely for the purpose of enabling the state to achieve and certify full compliance with the Standards in future years, or held in abeyance pursuant to the PREA amendment under the JFARA. See 42 U.S.C. §15607(e)(2)(A)(ii). The PREA amendment makes the following additional changes to the assurance option:

- The assurance option will sunset on December 16, 2022. Therefore, the final opportunity for governors to submit an assurance to DOJ is for Audit Year 3 of Cycle 3 (August 20, 2021 – August 19, 2022), which will affect FY 2022 DOJ grant funds. The deadline for this certification/assurance submission is October 17, 2022.

- The PREA amendment also provides that, for two years following the assurance sunset, a governor who can certify that the state has had audits for at least 90% of facilities covered by the
certification/assurance may request that the Attorney General allow submission of an emergency assurance. Therefore, following the sunset of the assurance on December 16, 2022, governors who meet the above criteria have two opportunities to submit an emergency assurance. The first opportunity is for Audit Year 1 of Cycle 4 (August 20, 2022 – August 19, 2023), which impacts FY 2023 DOJ grant funds. The deadline for this certification or emergency assurance submission is October 16, 2023. The second and final opportunity is for audit Year 2 of Cycle 4 (August 20, 2023 – August 19, 2024), which impacts FY 2024 DOJ grant funds. The deadline for this certification or emergency assurance submission is October 15, 2024.

Additional information regarding implementation of the above provisions will be forthcoming. This update is provided for information purposes only and does not constitute legal advice.